

9465 BUSINESS RULES FOR CU

Version CUv6.0

Rule Number	Rule Text	Error Category	Severity	Rule Status
F9465-001-01	Form 9465, Line 7 'TaxDueAmt' must not be greater than 50000. If the tax due amount is greater than 50000, complete and mail Form 9465 with Form 433-F to the mailing address provided on www.irs.gov .	Incorrect Data	Reject	Deleted
F9465-001-02	Form 9465, Line 9 'TotalTaxDueAmt' must not be greater than 50000. If the tax due amount is greater than 50000, complete and mail Form 9465 with Form 433-F to the mailing address provided on www.irs.gov .	Incorrect Data	Reject	New
F9465-004-01	If 'SpouseSSN' has a value in the Return Header, then 'SpouseSignaturePIN' must have a value.	Missing Data	Reject	Active
F9465-005-01	If 'SpouseSSN' has a value in the Return Header, then 'SpouseSignatureDt' must have a value.	Missing Data	Reject	Active
F9465-006-01	If 'PINTypeCd' in the Return Header has the value "Self-Select Practitioner", then 'PrimaryBirthDt' must have a value.	Missing Data	Reject	Active
F9465-007-01	If 'PINTypeCd' in the Return Header has the value "Self-Select Practitioner" and 'SpouseSSN' has a value, then 'SpouseBirthDt' must have a value.	Missing Data	Reject	Active
F9465-008-01	If 'PINTypeCd' in the Return Header has the value "Self-Select On-Line", then 'PrimaryBirthDt' must have a value.	Missing Data	Reject	Active
F9465-009-01	If 'PINTypeCd' in the Return Header has the value "Self-Select On-Line" and 'SpouseSSN' has a value, then 'SpouseBirthDt' must have a value.	Missing Data	Reject	Active
F9465-010-01	If 'PINTypeCd' in the Return Header has the value "Self-Select Practitioner", then 'PrimaryPriorYearAGIAmt' or 'PrimaryPriorYearPIN' or 'PrimaryElectronicFilingPIN' must have a value.	Missing Data	Reject	Active
F9465-011-01	If 'PINTypeCd' in the Return Header has the value "Self-Select Practitioner" and 'SpouseSSN' has a value, then 'SpousePriorYearAGIAmt' or 'SpousePriorYearPIN' or 'SpouseElectronicFilingPIN' must have a value.	Missing Data	Reject	Active
F9465-012-01	If 'PINTypeCd' in the Return Header has the value "Self-Select On-Line", then 'PrimaryPriorYearAGIAmt' or 'PrimaryPriorYearPIN' or 'PrimaryElectronicFilingPIN' must have a value.	Missing Data	Reject	Active
F9465-013-01	If 'PINTypeCd' in the Return Header has the value "Self-Select On-Line" and 'SpouseSSN' has a value, then 'SpousePriorYearAGIAmt' or 'SpousePriorYearPIN' or 'SpouseElectronicFilingPIN' must have a value.	Missing Data	Reject	Active
F9465-014	Form 9465, Line 1 'PrimarySSN' must be equal to either the 'PrimarySSN' or 'SpouseSSN' in the Return Header.	Incorrect Data	Reject	Active

F9465-015	If Form 9465, Line 1 'SpouseSSN' has a value, then it must be equal to either the 'PrimarySSN' or 'SpouseSSN' in the Return Header.	Incorrect Data	Reject	Active
F9465-016	If Form 9465, Line 11a 'RoutingTransitNumber' has a value, then Line 11b 'BankAccountNumber' must also have a value.	Missing Data	Reject	Deleted
F9465-016-01	If Form 9465, Line 13a 'RoutingTransitNum' has a value, then Line 13b 'BankAccountNum' must also have a value.	Missing Data	Reject	New
F9465-017	If Form 9465, Line 11b 'BankAccountNumber' has a value, then Line 11a 'RoutingTransitNumber' must also have a value.	Missing Data	Reject	Deleted
F9465-017-01	If Form 9465, Line 13b 'BankAccountNum' has a value, then Line 13a 'RoutingTransitNum' must also have a value.	Missing Data	Reject	New
F9465-018	In Form 9465, one of the following must have a value: Line 3 Home 'PhoneNumber' or Line 4 Work 'PhoneNumber' or Line 3 Home 'ForeignPhoneNumber' or Line 4 Work 'ForeignPhoneNumber'.	Missing Data	Reject	Deleted
F9465-018-01	In Form 9465, one of the following must have a value: Line 3 Home 'PhoneNum' or Line 4 Work 'PhoneNum' or Line 3 Home 'ForeignPhoneNum' or Line 4 Work 'ForeignPhoneNum'.	Missing Data	Reject	New
F9465-019	If IRS Payment Record is present in the return, then Form 9465, Line 8 'PaymentAmt' must be equal to 'PaymentAmount' in IRS Payment Record.	Incorrect Data	Reject	Deleted
F9465-019-01	If IRS Payment Record is present in the return, then Form 9465, Line 8 'PaymentAmt' must be equal to 'PaymentAmt' in IRS Payment Record.	Incorrect Data	Reject	New
F9465-020	If Form 9465, Line 1 'SpouseName' has a value, then 'SpouseNameControl' must also have a value.	Missing Data	Reject	Deleted
F9465-020-01	If Form 9465, Line 1a 'SpouseName' has a value, then 'SpouseNameControlTxt' must also have a value.	Missing Data	Reject	New
F9465-021	If any of the following on Form 9465 has a value, it must not be all zeros: [Line 3 Home 'PhoneNumber' and Line 4 Work 'PhoneNumber' and Line 3 Home 'ForeignPhoneNumber' and Line 4 Work 'ForeignPhoneNumber'].	Incorrect Data	Reject	Deleted
F9465-021-01	If any of the following on Form 9465 has a value, it must not be all zeros: [Line 3 Home 'PhoneNum' and Line 4 Work 'PhoneNum' and Line 3 Home 'ForeignPhoneNum' and Line 4 Work 'ForeignPhoneNum'].	Incorrect Data	Reject	New
F9465-022	If Form 9465, Line 7 'TaxDueAmt' is greater than 25000 but not more than 50000, then Line 11a 'RoutingTransitNumber' and Line 11b 'BankAccountNumber' must have a value.	Missing Data	Reject	Deleted
F9465-023	Form 9465, Line 2 'EIN' and 'BusinessNameControlTxt' in the Return Header must match data in the e-File database.	Database Validation Error	Reject	New
F9465-024	If 'F9465TaxReturnTypeCd' has the value of "FORM 940" or "FORM 940PR" or "FORM 941" or "FORM 941PR" or "FORM 941SS" or "FORM 943" or "FORM 943PR" or "FORM 944" or "FORM 945" or "FORM 720" or "FORM 2290", then 'EIN' must have a value in the Form 9465 Return Header.	Incorrect Data	Reject	New
F9465-025	If Form 9465, Line 2 'BusinessNameLine1Txt' has a value, then Line 1a 'SpouseName' and 'SpouseSSN' must not have a value.	Incorrect Data	Reject	New

F9465-026	Form 9465, Line 14 checkbox 'PayrollDeductionAgreementInd' must not be checked. If the Payroll Deduction Agreement box is checked, complete and mail Form 9465 with Form 2159 to the mailing address provided on www.irs.gov.	Incorrect Data	Reject	New
F9465-027	Form 9465, Line 10 'PaymentDueAmt' can not be less than Line 11 'CalculatedMonthlyPymtAmt'. If the payment due amount is less than Line 11, calculated monthly payment amount, complete and mail Form 9465 with Form 433-F to the mailing address provided on www.irs.gov.	Incorrect Data	Reject	New
F9465-028	If 'PINTypeCd' in the Return Header has the value "Self-Select On-Line", then 'PrimaryBirthDt' in the Return Header must match the e-File database.	Incorrect Data	Reject	New
F9465-029	If Form 9465 is attached to Form 1040 or Form 1040A or Form 1040EZ or Form 1040PR or Form 1040SS, then F9465TaxReturnTypeCd must not have the following values: "FORM 940" or "FORM 940PR" or "FORM 941" or "FORM 941PR" or "FORM 941SS" or "FORM 943" or "FORM 943PR" or "FORM 944" or "FORM 945" or "FORM 720" or "FORM 2290".	Incorrect Data	Reject	New
F9465-030	If Form 9465 'F9465TaxReturnTypeCd' has a value of "FORM 1040" or "FORM 1040A" or "FORM 1040EZ" or "FORM 1040SS" or "FORM 1040PR", then 'IATaxYearDt' must have a value.	Missing Data	Reject	New
F9465-031	If 'EIN' is present in Form 9465 Return Header, then 'BusIATaxYearDt' must have a value.	Missing Data	Reject	New
F9465-502	Form 9465 EIN is not present in the e-File database. Please confirm EIN.	Incorrect Data	Reject	New
IND-006	'SpouseSSN' in the Return Header must not be equal to 'PrimarySSN' in the Return Header.	Incorrect Data	Reject	Active
IND-031-01	'PrimaryPriorYearPIN' or 'PrimaryElectronicFilingPIN' or 'PrimaryPriorYearAGIAmt' in the Return Header must match the e-File database.	Incorrect Data	Reject	Active
IND-032-01	'SpousePriorYearPIN' or 'SpouseElectronicFilingPIN' or 'SpousePriorYearAGIAmt' in the Return Header must match the e-File database.	Incorrect Data	Reject	Active
IND-051	'BankAccountNumber' in Form 9465 must not be equal to all zeros.	Incorrect Data	Reject	Active
IND-052	The TIN present in the IRS Submission Manifest must be equal to the Primary SSN in the Return Header.	Data Mismatch	Reject and Stop	Active
IND-672-01	In the Return Header, if 'PINTypeCd' has the value "Practitioner" or "Self-Select Practitioner", then 'PractitionerPINGrp' must have a value.	Missing Data	Reject	Active
IND-673-01	In the Return Header, if 'PINTypeCd' has the value "Self-Select On-Line", then 'PractitionerPINGrp' must not have a value.	Missing Data	Reject	Active
IND-674-01	If the Primary Taxpayer is under the age of 16 and has never filed a tax return, then 'PINTypeCd' in the Return Header must not have the value "Self-Select On-Line".	Database Validation Error	Reject	Active
IND-675-01	If the Primary Taxpayer is under the age of 16 and has never filed a tax return, then 'PINTypeCd' in the Return Header must not have the value "Self-Select Practitioner".	Database Validation Error	Reject	Active
IND-679-01	If the Spouse SSN in the Return Header is under the age of 16 and did not file a tax return in the previous year, then the 'PINTypeCd' must not have the value "Self-Select On-Line".	Database Validation Error	Reject	Active

IND-680-01	If the Spouse SSN in the Return Header is under the age of 16 and did not file a tax return in the previous year, then the 'PINTypeCd' must not have the value "Self-Select Practitioner".	Database Validation Error	Reject	Active
IND-689-01	The year of the 'PrimarySignatureDt' in the Return Header must be equal to the processing year.	Missing Document	Reject	Active
IND-699-01	If 'PINTypeCd' in the Return Header has the value "Practitioner", then the following must not have an entry: 'PrimaryPriorYearAGIAmt' and 'PrimaryPriorYearPIN' and 'PrimaryElectronicFilingPIN' and 'SpousePriorYearAGIAmt' and 'SpousePriorYearPIN' and 'SpouseElectronicFilingPIN'.	Incorrect Data	Reject	Active
R0000-002	The return type must be specified for each return in the return header.	Missing Data	Reject and Stop	Active
R0000-003	The return version (attribute 'returnVersion' of the Return element) must be specified for each return in the return header.	Missing Data	Reject and Stop	Active
R0000-004-01	'ReturnTypesCd' (specified in the Return Header) and the return version (specified by the 'returnVersion' attribute of the 'Return' element) of the return must match the return type and the version supported by the Modernized e-File system.	Data Mismatch	Reject and Stop	Active
R0000-007-01	For US Addresses, the first five digits of the Zip Code of the Filer's address in the Return Header must be within the valid ranges of zip codes for the corresponding State Abbreviation. See Publication 4164.	Incorrect Data	Reject	Active
R0000-019	The number of return documents (forms, schedules, and supporting documents) included in the return must equal the document count specified in the Return Data (documentCount attribute of the ReturnData element of the Return).	Data Mismatch	Reject	Active
R0000-020	If the Filer has a US address in the Return Header and State Abbreviation has the value "AA" then the first three digits of the zip code must be 340.	Incorrect Data	Reject	Active
R0000-021	The Employer Identification Number (EIN) of the Filer in the Return Header must not equal all nines.	Incorrect Data	Reject	New
R0000-022	If the Filer has a US address in the Return Header and State Abbreviation has the value "AE" then the first three digits of the zip code must be in the range 090 - 098.	Incorrect Data	Reject	Active
R0000-024	If the Filer has a US address in the Return Header and State Abbreviation has the value "AP" then first three digits of the zip code must be in the range 962 - 966.	Incorrect Data	Reject	Active
R0000-030-01	In the Return Header, if 'PractitionerPINGrp' is provided, then 'EFIN' in the 'PractitionerPINGrp' must be the same as 'EFIN' in the 'OriginatorGrp'.	Data Mismatch	Reject	Active
R0000-046	For US Addresses, the fourth and fifth digit of the Zip Code of the Filer's address in the Return Header cannot both be zeros ("00"), except when the Zip code is 00800, 20500, 34000, 00600, 96100, 96900.	Incorrect Data	Reject	Active
R0000-051-01	'FederalSubmissionType' in the IRS Submission Manifest must match 'ReturnTypesCd' indicated in the Return Header.	Data Mismatch	Reject and Stop	Active
R0000-054-01	The 'EFIN' in the IRS Submission Manifest must match 'EFIN' in the 'OriginatorGrp' in the Return Header.	Data Mismatch	Reject and Stop	Active
R0000-057	Every attached file (i.e. binary attachment) must be referenced by the XML document that represents it.	Missing Data	Reject	Active

R0000-060	The EFIN in the Submission ID (the first six digits) must match the EFIN in the Submission Manifest.	Incorrect Data	Reject and Stop	Active
R0000-075-01	'RoutingTransitNum' (RTN) must conform to the banking industry RTN algorithm.	Incorrect Data	Reject	Active
R0000-092-01	'PrimarySSN' in the Return Header must be within the valid range of SSN/ITIN and must not be an ATIN.	Incorrect Data	Reject	Active
R0000-093-01	If 'SpouseSSN' in the Return Header has a value, then it must be within the valid range of SSN/ITIN and must not be an ATIN.	Incorrect Data	Reject	Active
R0000-095-02	'PrimarySignaturePIN' in the Return Header must not equal all zeros.	Incorrect Data	Reject	Active
R0000-096-02	'SpouseSignaturePIN' in the Return Header must not equal all zeros.	Incorrect Data	Reject	Active
R0000-116-01	If the Filer has a US address in the Return Header and if the value of City is "APO" or "DPO" or "FPO", then State must have the value "AA", "AE" or "AP".	Incorrect Data	Reject	Active
R0000-117	If the Filer has a US address in the Return Header and State Abbreviation has the value "AA", "AE" or "AP" then the City must be "APO" OR "DPO" OR "FPO".	Incorrect Data	Reject	Active
R0000-118-01	If first two digits of the EFIN in the IRS Submission Manifest are 10, 21, 32, 44 or 53, then 'OriginatorTypeCd' in the Return Header must have the value "OnlineFiler".	Incorrect Data	Reject and Stop	Active
R0000-119-01	If 'OriginatorTypeCd' in the Return Header has the value "OnlineFiler", then the first two digits of the EFIN in the IRS Submission Manifest must be 10 or 21 or 32 or 44 or 53.	Incorrect Data	Reject and Stop	Active
R0000-120	The IRS Submission type that was filed is not being accepted by Modernized e-File (MeF) at this time. Please check the MeF web page under irs.gov for more information. The submission type filed was <type>.	Unsupported	Reject and Stop	Active
R0000-121	The ETIN associated with the Individual submission is not a participating transmitter. Please check the Modernized e-File (MeF) web page under irs.gov for more information on participation rules.	Unsupported	Reject and Stop	Active
R0000-129-01	The 4th and 5th digits of the 'PrimarySSN' in the Return Header must be equal to "00" for testing.	Incorrect Data	Reject	Active
R0000-130-01	The 4th and 5th digits of the 'SpouseSSN' in the Return Header must be equal to "00" for testing.	Incorrect Data	Reject	Active
R0000-178	The Primary SSN in the Return Header is locked per taxpayer's request or the SSN has been identified as that of a deceased person per Social Security Administration records.	Database Validation Error	Reject	Active
R0000-179	The Spouse SSN in the Return Header is locked per taxpayer's request or the SSN has been identified as that of a deceased person per Social Security Administration records.	Database Validation Error	Reject	Active
R0000-500	Primary SSN and the Primary Name Control in the Return Header must match the e-File database.	Database Validation Error	Reject and Stop	Disabled
R0000-503	Spouse SSN and the Spouse Name Control in the Return Header (or Line 6b 'ExemptSpouseNameControl' in the return - For 1040/1040A returns) must match the e-File database.	Database Validation Error	Reject and Stop	Disabled

R0000-904-02	Software ID in the Return Header must have passed testing for the form.	Database Validation Error	Reject and Stop	Active
R0000-905-01	Electronic Filing Identification Number (EFIN) in the Return Header must be listed in the e-File database and in accepted status.	Database Validation Error	Reject and Stop	Active
R0000-906-01	Routing Transit Number (RTN) included in the return must be present in the e-File database.	Database Validation Error	Reject	Active
T0000-013	The Message ID must be globally unique.	Incorrect Data	Reject and Stop	Active
T0000-014	The Submission ID must be globally unique.	Incorrect Data	Reject and Stop	Active
T0000-015	The ETIN in the Message ID (the first five digits) must match the ETIN provided with the request.	Incorrect Data	Reject and Stop	Active
T0000-016	The Message ID must be 20 positions in length and conform to the following format: 12 digits followed by 8 alphanumeric characters (only lower case alphabetic characters allowed).	Incorrect Data	Reject and Stop	Active
T0000-017	The Submission ID must be 20 characters in length.	Incorrect Data	Reject and Stop	Active
T0000-900	Transmission cannot be a duplicate of a previously accepted transmission.	Duplicate Condition	Reject and Stop	Active
X0000-005	The XML data has failed schema validation.	XML Error	Reject and Stop	Active
X0000-008	The namespace declarations in the root element of the return ('Return' element) must be as follows: The default namespace shall be set to "http://www.irs.gov/efile" (xmlns="http://www.irs.gov/efile"). The namespace prefix "efile" shall be bound to the namespace "http://www.irs.gov" (xmlns:efile="http://www.irs.gov/efile").	XML Error	Reject and Stop	Active
X0000-009	The SOAP envelope structure in the Transmission file must conform to the SOAP 1.1 specification.	XML Error	Reject and Stop	Active
X0000-010	For each SubmissionID provided in the transmission manifest there must be a submission zip archive entry present in the Attachment Zip file whose name (without the ".zip" extension) matches the SubmissionID.	Incorrect Data	Reject and Stop	Active
X0000-011	All entries in the submission zip archive (zip file that is the submission) must begin with "manifest/" or "/manifest/" or "xml/" or "/xml/" or "attachment/" or "/attachment/" (all lower case characters).	Incorrect Data	Reject and Stop	Active
X0000-015	Each zip entry in the Attachment Zip file must end with ".zip" extension.	Data Mismatch	Reject and Stop	Active
X0000-017	ZIP Entry names in the transmission ZIP archive must be unique.	Duplicate Condition	Reject and Stop	Active
X0000-018	A submission zip archive (zip file that is the submission) must contain exactly one entry that begins with "manifest/" or "/manifest/" and is followed by the file name "manifest.xml". The entry name must use lower case characters ('a' through 'z') only and the separator must be the forward slash character.	Incorrect Data	Reject and Stop	Active

X0000-019	A submission zip archive (zip file that is the submission) must contain exactly one entry that consists of "xml/" or "/xml/" (all lower case characters) followed by a file name.	Incorrect Data	Reject and Stop	Active
X0000-020	A submission zip archive (zip file that is the submission) may contain zero or more entries that begin with "attachment/" or "/attachment/" and each is followed by a file name. The entry name must use lower case characters ('a' through 'z') only and the separator must be the forward slash character.	Incorrect Data	Reject and Stop	Active
X0000-021	The submission zip archive entries (zip files) in the container zip file must be in the root directory of the container zip file.	Missing Data	Reject and Stop	Active
X0000-022	The names of ZIP Entries (files) within the attachments zip file (the container zip file that contains zip files for all submission) must be unique.	Duplicate Condition	Reject	Active
X0000-025	Unable to read XML data from the Submission Zip Archive.	Incorrect Data	Reject and Stop	Active
X0000-026	The count of SubmissionIDs provided in the transmission manifest must match the count of submission zip archive entries in the Attachment Zip file.	Incorrect Data	Reject and Stop	Active
X0000-027	Year (YYYY) in the SubmissionID must be processing year.	Incorrect Data	Reject	Active
X0000-030	The size and CRC32 checksum value must be provided for the submission XML file (i.e. xml data file that starts with "xml/" or "/xml/".	Incorrect Data	Reject and Stop	Active
X0000-031	Zip Entry names must consist of ASCII characters only.	Incorrect Data	Reject and Stop	Active
X0000-032	Unable to extract submission Zip Archive from the Message Attachment zip file.	Incorrect Data	Reject and Stop	Active
X0000-033	Modernized e-File (MeF) accepts submissions only in the Unicode Transformation Format-8 (UTF-8) format.	Incorrect Data	Reject and Stop	Active