
Attention:

- Telephone requests for the forms, schedules, and instructions for the 2008 Form 5500-series will not be filled until December 10, 2008.
- Requests for the 2008 Form 5500-series products can be made on the Internet (see below) beginning December 10, 2008. Requests made prior to that date will be filled with the 2007 version of the products.

The product you are about to view is provided for information purposes and should not be reproduced on personal computer printers by individual taxpayers for filing.

The Forms 5500 and 5500-EZ (and related schedules) are printed on special paper with dropout ink so they can be processed by the computerized processing system "EFAST." These forms and schedules may be obtained by calling 1-800-TAX-FORM (1-800-829-3676). Be sure to order using the IRS form number.

Note: You can also use the Internet link [Forms and Publications by U.S. Mail](#) to request a *limited* number of these forms and schedules.

Check the Department of Labor's website at www.efast.dol.gov for additional information concerning the processing system, electronic filing, software, and "non-standard" filings.

Note: There is no Schedule B (Form 5500) for filing 2008 plan year actuarial information. Instead, file the 2008 Schedule MB (Form 5500), Multiemployer Defined Benefit Plan and Certain Money Purchase Plan Actuarial Information, or the Schedule SB (Form 5500), Single-Employer Defined Benefit Plan Actuarial Information, as applicable. For only plan year 2008 filings, paper Schedules MB and SB are provided in the format presented for completion by pen or typewriter.

**SCHEDULE E
(Form 5500)**

Department of the Treasury
Internal Revenue Service

ESOP Annual Information

Under section 6047(e) of the Internal Revenue Code

▶ **File as an attachment to Form 5500 or 5500-EZ.**

Official Use Only

OMB No. 1210-0110

2008

**This Form is NOT Open
to Public Inspection.**

For calendar plan year 2008
or fiscal plan year beginning

MM / DD / YYYY

and ending

MM / DD / YYYY

A Name of plan

B Three-digit
plan number ▶

□ □ □

C Plan sponsor's name as shown on line 2a of Form 5500 or 5500-EZ

D Employer Identification Number

□ □ - □ □ □ □ □ □ □ □

Yes No

1a Is the ESOP maintained by an S corporation?

If "Yes," answer line 1b. (Also, "2Q" must be entered on Form 5500, line 8a.)

b Were any prohibited allocations of securities in an S corporation made to any disqualified person?

2a Did the employee stock ownership plan (ESOP) have an outstanding securities acquisition loan within the meaning of Code section 133 during the plan year?

b Did the employer maintaining the ESOP pay dividends (deductible under section 404(k)) on the employer's stock held by the ESOP during the employer's tax year in which the plan year ends?

If both line **2a** and line **2b** are "No," DO NOT complete any other questions on this schedule. Attach the schedule to the Form 5500 or 5500-EZ you file for your ESOP plan.

3 What is the total value of the ESOP assets?

□ □ □ □ □ □ □ □ □ □ .00

4 If the ESOP holds preferred stock, under what formula(s) is the preferred stock convertible into common stock of the employer corporation?

□ □ □ □ □ □ □ □ □ □

5 If unallocated employer securities were released from a loan suspense account, indicate below the methods used:

- a** Principal and interest (Excise Tax Regulations section 54.4975-7(b)(8)(i));
- b** Principal only (Excise Tax Regulations section 54.4975-7(b)(8)(ii));
- c** Other (attach an explanation.)

Yes No

6 Were unallocated securities or proceeds from the sale of unallocated securities used to repay any exempt loan (within the meaning of Code section 4975(d)(3))? If "Yes," attach a description of the transaction

If the ESOP or the employer corporation has one or more outstanding securities acquisition loans intended to satisfy Code section 133, complete lines 7 through 12, otherwise skip to line 13.

7a Was the ESOP loan part of a "back to back" loan? (See instructions for definition of "back to back" loan.)

b If line **7a** is "Yes," are the terms of the two loans substantially similar?

c Do the two loans have the same amortization schedule? If "No," attach an explanation of how the amortization schedules differ

1 3 0 8 0 0 0 1 0 D



- | | Yes | No |
|--|--------------------------|--------------------------|
| 17a Were any dividends subject to an election by participants or their beneficiaries under Code section 404(k)(2)(A)(iii) to reinvest the dividends in employer securities? | <input type="checkbox"/> | <input type="checkbox"/> |
| If "Yes," answer lines 17b and 17c. If "No," skip to line 18a. | | |
| b Did the election comply with the requirements of Notice 2002-2? | <input type="checkbox"/> | <input type="checkbox"/> |
| c Are dividends reinvested in employer securities pursuant to the election fully vested? | <input type="checkbox"/> | <input type="checkbox"/> |

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18 Complete the following information for each class of stock owned by the ESOP:

(a) Class of stock

Grid for class of stock

(b) Common stock (C)
Preferred stock (P)

Grid for (b)

(c) Readily tradable*
Yes (Y) No (N)

Grid for (c)

(d) Dividend rate during plan year**

Grid for (d)

(e) Dividends paid to participants***

Grid for (e)

(f) Dividends used to repay exempt loan

(1) allocated stock

Grid for (f) (1)

(2) unallocated stock

Grid for (f) (2)

(a) Class of stock

Grid for class of stock

(b) Common stock (C)
Preferred stock (P)

Grid for (b)

(c) Readily tradable*
Yes (Y) No (N)

Grid for (c)

(d) Dividend rate during plan year**

Grid for (d)

(e) Dividends paid to participants***

Grid for (e)

(f) Dividends used to repay exempt loan

(1) allocated stock

Grid for (f) (1)

(2) unallocated stock

Grid for (f) (2)

(a) Class of stock

Grid for class of stock

(b) Common stock (C)
Preferred stock (P)

Grid for (b)

(c) Readily tradable*
Yes (Y) No (N)

Grid for (c)

(d) Dividend rate during plan year**

Grid for (d)

(e) Dividends paid to participants***

Grid for (e)

(f) Dividends used to repay exempt loan

(1) allocated stock

Grid for (f) (1)

(2) unallocated stock

Grid for (f) (2)

Totals of dividends reported on lines 18(e) and (f) for all classes of stock (including any reported on attachments, see instructions)

(e) Dividends paid to participants***

Grid for (e)

(f) Dividends used to repay exempt loan

(1) allocated stock

Grid for (f) (1)

(2) unallocated stock

Grid for (f) (2)

* If the stock is readily tradable on an established securities market within the meaning of Code section 409(l), enter "Y," otherwise enter "N."
** Dividend rate paid for each class of stock during the plan year.
*** Dividends paid directly to or distributed to participants.

