

## 4868 BUSINESS RULES FOR TAX YEAR 2014

### Version 2014v1.0

| Rule Number  | Rule Text   | Error Category | Severity | Rule Status |
|--------------|---|----------------|----------|-------------|
| F4868-001-02 | Form 4868 can only be filed after the 'TaxPeriodEndDate' in the IRS Submission Manifest and on or before the due date to which the extension applies, unless Line 8 checkbox or Line 9 checkbox is checked.                                       | Not on time    | Reject   | Active      |
| F4868-002-01 | If Form 4868, Line 8 checkbox or Line 9 checkbox is checked, then the form can only be filed after 'TaxPeriodEndDate' in the IRS Submission Manifest and on or before the extended due date for taxpayers out of the country.                     | Not on time    | Reject   | Active      |
| F4868-003-01 | If 'SpouseSSN' has a value in the Return Header, then Filer Name in the Return Header 'NameLine1Txt' must contain an ampersand.   | Incorrect Data | Reject   | Active      |
| F4868-007-01 | If IRS Payment Record or IRS ES Payment Record is present, and 'PINTypeCd' has the value "Self-Select Practitioner", then 'JuratDisclosureCd' in the Return Header must have the value "Form 4868".   | Incorrect Data | Reject   | Active      |
| F4868-008-01 | If IRS Payment Record or IRS ES Payment Record is present, and 'PINTypeCd' has the value "Practitioner" in the Return Header, then 'JuratDisclosureCd' in the Return Header must have the value "Form 4868 with Practitioner PIN and EFW".        | Incorrect Data | Reject   | Active      |
| F4868-009-01 | If IRS Payment Record or IRS ES Payment Record is present, and 'PINTypeCd' has the value "Self-Select On-Line", then 'JuratDisclosureCd' in the Return Header must have the value "Form 4868".  | Incorrect Data | Reject   | Active      |
| FPYMT-045-02 | 'EstimatedPaymentDueDt' in the IRS ES Payment Record must not be the same as another IRS ES Payment Record filed with the same return.  | Incorrect Data | Reject   | Active      |
| FPYMT-046-04 | If the processing date is after December 31, 2013, but prior to January 16, 2014, then the 'EstimatedPaymentDueDt' in the IRS ES Payment Record must be April 15, 2014 or June 16, 2014 or September 15, 2014.                                    | Incorrect Data | Reject   | Active      |
| FPYMT-047-04 | If the processing date is after April 20, 2014, but prior to June 22, 2014, then the 'EstimatedPaymentDueDt' in the IRS ES Payment Record must be June 16, 2014 or September 15, 2014 or January 15, 2015.  | Incorrect Data | Reject   | Active      |
| FPYMT-050-01 | If IRS Payment Record is present, then 'RequestedPaymentDt' in the IRS Payment Record must be on or before the due date but not more than 5 days prior to the received date, unless Form 4868 Line 8 checkbox or Line 9 checkbox is checked.      | Incorrect Data | Reject   | Active      |
| FPYMT-051-01 | If IRS Payment Record is present and Form 4868 Line 8 checkbox or Line 9 checkbox is checked, then 'RequestedPaymentDt' in the IRS Payment Record must be on or before the extended due date but not more than 5 days prior to the received date. | Incorrect Data | Reject   | Active      |
| FPYMT-052-01 | If IRS Payment Record is present, then 'PaymentAmt' in the IRS Payment Record must be equal to Form 4868, Line 7 'TaxpayerIsPayingAmt'.   | Data Mismatch  | Reject   | Active      |
| FPYMT-056-03 | If the processing date is after January 15, 2014, but prior to April 21, 2014, then 'EstimatedPaymentDueDt' in the IRS ES Payment Record must be April 15, 2014 or June 16, 2014 or September 15, 2014 or January 15, 2015.                       | Incorrect Data | Reject   | Active      |
| FPYMT-068-01 | 'PaymentAmt' in the IRS Payment Record must not be greater than 99,999,999.   | Incorrect Data | Reject   | Active      |

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| FPYMT-069-01 | 'PaymentAmt' in the IRS ES Payment Record must not be greater than 99,999,999.   | Incorrect Data            | Reject          | Active |
| FPYMT-086    | 'EstimatedPaymentDueDt' in the IRS ES Payment Record must not be more than 5 days prior to the received date.  | Incorrect Data            | Reject          | Active |
| FPYMT-087    | 'EstimatedPaymentDueDt' in the IRS ES Payment Record must not be more than one year after the received date.   | Incorrect Data            | Reject          | Active |
| IND-002      | The 'TaxPeriodEndDate' in the Submission Manifest must be less than the "Received Date".   | Incorrect Data            | Reject          | Active |
| IND-006      | 'SpouseSSN' in the Return Header must not be equal to 'PrimarySSN' in the Return Header.   | Incorrect Data            | Reject          | Active |
| IND-010      | 'TaxYear' must equal the year of the 'TaxPeriodBeginDate' ("YYYY" digits) in the Submission Manifest.  | Incorrect Data            | Reject and Stop | Active |
| IND-011      | 'TaxYear' must equal the year of the 'TaxPeriodEndDate' ("YYYY" digits) in the Submission Manifest.  | Incorrect Data            | Reject and Stop | Active |
| IND-052      | The TIN present in the IRS Submission Manifest must be equal to the Primary SSN in the Return Header.  | Data Mismatch             | Reject and Stop | Active |
| IND-664-01   | If the Primary SSN appears more than once in the e-File database, then 'PINTypeCd' in the Return Header must not have the value "Self-Select On-Line".   | Database Validation Error | Reject          | Active |
| IND-665-01   | If the Primary SSN appears more than once in the e-File database, then 'PINTypeCd' in the Return Header must not have the value "Self-Select Practitioner".  | Database Validation Error | Reject          | Active |
| IND-666-01   | If the Spouse SSN appears more than once in the e-File database, then 'PINTypeCd' must not have the value "Self-Select On-Line".   | Database Validation Error | Reject          | Active |
| IND-667-01   | If the Spouse SSN appears more than once in the e-File database, then 'PINTypeCd' must not have the value "Self-Select Practitioner".  | Database Validation Error | Reject          | Active |
| IND-674-01   | If the Primary Taxpayer is under the age of 16 and has never filed a tax return, then 'PINTypeCd' in the Return Header must not have the value "Self-Select On-Line".  | Database Validation Error | Reject          | Active |
| IND-675-01   | If the Primary Taxpayer is under the age of 16 and has never filed a tax return, then 'PINTypeCd' in the Return Header must not have the value "Self-Select Practitioner".   | Database Validation Error | Reject          | Active |
| IND-679-01   | If the Spouse SSN in the Return Header is under the age of 16 and did not file a tax return in the previous year, then the 'PINTypeCd' must not have the value "Self-Select On-Line".  | Database Validation Error | Reject          | Active |
| IND-680-01   | If the Spouse SSN in the Return Header is under the age of 16 and did not file a tax return in the previous year, then the 'PINTypeCd' must not have the value "Self-Select Practitioner".   | Database Validation Error | Reject          | Active |
| IND-699-01   | If 'PINTypeCd' in the Return Header has the value "Practitioner", then the following must not have an entry: 'PrimaryPriorYearAGIAmt' and 'PrimaryPriorYearPIN' and 'PrimaryElectronicFilingPIN' and 'SpousePriorYearAGIAmt' and 'SpousePriorYearPIN' and 'SpouseElectronicFilingPIN'. | Incorrect Data            | Reject          | Active |
| IND-900      | Primary SSN in the Return Header must not be equal to the Primary SSN of any previously accepted extension.  | Duplicate Condition       | Reject          | Active |
| R0000-002    | The return type must be specified for each return in the return header.  | Missing Data              | Reject and Stop | Active |

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| R0000-003    | The return version (attribute 'returnVersion' of the Return element) must be specified for each return in the return header.  | Missing Data   | Reject and Stop | Active  |
| R0000-004-01 | 'ReturnTypeId' (specified in the Return Header) and the return version (specified by the 'returnVersion' attribute of the 'Return' element) of the return must match the return type and the version supported by the Modernized e-File system. | Data Mismatch  | Reject and Stop | Active  |
| R0000-007-01 | For US Addresses, the first five digits of 'ZipCd' of the Filer's address in the Return Header must be within the valid ranges of zip codes for the corresponding 'StateAbbreviationCd'. See Publication 4164.                                  | Incorrect Data | Reject          | Deleted |
| R0000-007-02 | For US Addresses, the first five digits of the Zip Code of the Filer's address in the Return Header must be within the valid ranges of zip codes for the corresponding State Abbreviation. See Publication 4164.                                | Incorrect Data | Reject          | New     |
| R0000-019    | The number of return documents (forms, schedules, and supporting documents) included in the return must equal the document count specified in the Return Data (documentCount attribute of the ReturnData element of the Return).                | Data Mismatch  | Reject          | Active  |
| R0000-020    | If the Filer has a US address in the Return Header and State Abbreviation has the value "AA" then the first three digits of the zip code must be 340.   | Incorrect Data | Reject          | Deleted |
| R0000-020-01 | If the Filer has a US address in the Return Header and 'StateAbbreviationCd' has the value "AA" then the first three digits of the 'ZipCd' must be 340.   | Incorrect Data | Reject          | New     |
| R0000-022    | If the Filer has a US address in the Return Header and State Abbreviation has the value "AE" then the first three digits of the zip code must be in the range 090 - 098.  | Incorrect Data | Reject          | Deleted |
| R0000-022-01 | If the Filer has a US address in the Return Header and 'StateAbbreviationCd' has the value "AE" then the first three digits of the 'ZipCd' must be in the range 090 - 098.  | Incorrect Data | Reject          | New     |
| R0000-024    | If the Filer has a US address in the Return Header and State Abbreviation has the value "AP" then first three digits of the zip code must be in the range 962 - 966.  | Incorrect Data | Reject          | Deleted |
| R0000-024-01 | If the Filer has a US address in the Return Header and 'StateAbbreviationCd' has the value "AP" then first three digits of the 'ZipCd' must be in the range 962 - 966.  | Incorrect Data | Reject          | New     |
| R0000-030-01 | In the Return Header, if 'PractitionerPINGrp' is provided, then 'EFIN' in the 'PractitionerPINGrp' must be the same as 'EFIN' in the 'OriginatorGrp'.   | Data Mismatch  | Reject          | Active  |
| R0000-046    | For US Addresses, the fourth and fifth digit of the Zip Code of the Filer's address in the Return Header cannot both be zeros ("00"), except when the Zip code is 00800, 20500, 34000, 00600, 96100, 96900.                                     | Incorrect Data | Reject          | Deleted |
| R0000-046-01 | For US Addresses, the fourth and fifth digit of the 'ZipCd' of the Filer's address in the Return Header cannot both be zeros ("00"), except when the 'ZipCd' is 00800, 20500, 34000, 00600, 96100, 96900.                                       | Incorrect Data | Reject          | New     |
| R0000-051-01 | 'FederalSubmissionType' in the IRS Submission Manifest must match 'ReturnTypeId' indicated in the Return Header.  | Data Mismatch  | Reject and Stop | Active  |
| R0000-052-01 | 'TaxYear' specified in the IRS Submission Manifest must match 'TaxYr' in the Return Header.   | Data Mismatch  | Reject and Stop | Active  |
| R0000-054-01 | The 'EFIN' in the IRS Submission Manifest must match 'EFIN' in the 'OriginatorGrp' in the Return Header.  | Data Mismatch  | Reject and Stop | Active  |
| R0000-060    | The EFIN in the Submission ID (the first six digits) must match the EFIN in the Submission Manifest.  | Incorrect Data | Reject and Stop | Active  |
| R0000-075-01 | 'RoutingTransitNum' (RTN) must conform to the banking industry RTN algorithm.   | Incorrect Data | Reject          | Deleted |

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| R0000-075-02 | 'RoutingTransitNum' (RTN) must conform to the banking industry RTN algorithm.   | Incorrect Data | Reject          | New     |
| R0000-080-01 | 'TaxPeriodBeginDate' present in the IRS Submission Manifest must match 'TaxPeriodBeginDt' provided in the Return Header.  | Data Mismatch  | Reject and Stop | Active  |
| R0000-081-01 | The TaxPeriodEndDate present in the IRS Submission Manifest must match 'TaxPeriodEndDt' provided in the Return Header.  | Data Mismatch  | Reject and Stop | Active  |
| R0000-082    | The TaxPeriodBeginDate and TaxPeriodEndDate must be provided in the IRS Submission Manifest.  | Missing Data   | Reject and Stop | Active  |
| R0000-092-01 | 'PrimarySSN' in the Return Header must be within the valid range of SSN/ITIN and must not be an ATIN.   | Incorrect Data | Reject          | Active  |
| R0000-093-01 | If 'SpouseSSN' in the Return Header has a value, then it must be within the valid range of SSN/ITIN and must not be an ATIN.  | Incorrect Data | Reject          | Active  |
| R0000-095-02 | 'PrimarySignaturePIN' in the Return Header must not equal all zeros.  | Incorrect Data | Reject          | Active  |
| R0000-096-02 | 'SpouseSignaturePIN' in the Return Header must not equal all zeros.   | Incorrect Data | Reject          | Active  |
| R0000-098-01 | If IRS Payment Record or IRS ES Payment Record is present, then 'PINTypeCd' and 'PrimarySignaturePIN' must have a value in the Return Header.   | Incorrect Data | Reject          | Active  |
| R0000-099-01 | If IRS Payment Record or IRS ES Payment Record is present and 'SpouseSSN' has a value in the Return Header, then 'PINTypeCd' and 'SpouseSignaturePIN' must have a value in the Return Header.                 | Missing Data   | Reject          | Active  |
| R0000-114    | The Submission Manifest's 'TaxPeriodBeginDate' value for month and day should be January 1.   | Incorrect Data | Reject          | Active  |
| R0000-115    | The Submission Manifest's 'TaxPeriodEndDate' value for month and day should be December 31.   | Incorrect Data | Reject          | Active  |
| R0000-116-01 | If the Filer has a US address in the Return Header and if the value of City is "APO" or "DPO" or "FPO", then State must have the value "AA", "AE" or "AP".  | Incorrect Data | Reject          | Deleted |
| R0000-116-02 | If the Filer has a US address in the Return Header and if the value of 'CityNm' is "APO" or "DPO" or "FPO", then 'StateAbbreviationCd' must have the value "AA", "AE" or "AP".                                | Incorrect Data | Reject          | New     |
| R0000-117    | If the Filer has a US address in the Return Header and State Abbreviation has the value "AA", "AE" or "AP" then the City must be "APO" OR "DPO" OR "FPO".   | Incorrect Data | Reject          | Deleted |
| R0000-117-01 | If the Filer has a US address in the Return Header and 'StateAbbreviationCd' has the value "AA", "AE" or "AP" then the 'CityNm' must be "APO" OR "DPO" OR "FPO".  | Incorrect Data | Reject          | New     |
| R0000-118-01 | If first two digits of the EFIN in the IRS Submission Manifest are 10, 21, 32, 44 or 53, then 'OriginatorTypeCd' in the Return Header must have the value "OnlineFiler".                                      | Incorrect Data | Reject and Stop | Active  |
| R0000-119-01 | If 'OriginatorTypeCd' in the Return Header has the value "OnlineFiler", then the first two digits of the EFIN in the IRS Submission Manifest must be 10 or 21 or 32 or 44 or 53.                              | Incorrect Data | Reject and Stop | Active  |
| R0000-120    | The IRS Submission type that was filed is not being accepted by Modernized e-File (MeF) at this time. Please check the MeF web page under irs.gov for more information. The submission type filed was <type>. | Unsupported    | Reject and Stop | Active  |

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| R0000-121    | The ETIN associated with the Individual submission is not a participating transmitter. Please check the Modernized e-File (MeF) web page under irs.gov for more information on participation rules.  | Unsupported               | Reject and Stop | Active |
| R0000-123-01 | If 'NameLine1Txt' in the Return Header contains an ampersand, then 'SpouseSSN' in the Return Header must have a value.   | Missing Data              | Reject          | Active |
| R0000-125-01 | 'NameLine1Txt' in the Return Header must contain a less-than sign (<).   | Incorrect Data            | Reject          | Active |
| R0000-126-01 | There can be no more than two less-than signs (<) in 'NameLine1Txt' in the Return Header.  | Incorrect Data            | Reject          | Active |
| R0000-127-01 | The character following the first less-than sign in 'NameLine1Txt' in the Return Header must be an alphabetic character.   | Incorrect Data            | Reject          | Active |
| R0000-129-01 | The 4th and 5th digits of the 'PrimarySSN' in the Return Header must be equal to "00" for testing.   | Incorrect Data            | Reject          | Active |
| R0000-130-01 | The 4th and 5th digits of the 'SpouseSSN' in the Return Header must be equal to "00" for testing.  | Incorrect Data            | Reject          | Active |
| R0000-143    | Tax Year in the IRS Submission Manifest must have a value.   | Missing Data              | Reject and Stop | Active |
| R0000-178    | The Primary SSN in the Return Header is locked per taxpayer's request or the SSN has been identified as that of a deceased person per Social Security Administration records.  | Database Validation Error | Reject          | Active |
| R0000-179    | The Spouse SSN in the Return Header is locked per taxpayer's request or the SSN has been identified as that of a deceased person per Social Security Administration records.   | Database Validation Error | Reject          | Active |
| R0000-180    | 'TaxYear' specified in the Submission Manifest must equal the year of the schema version ('YYYY' digits of the attribute 'returnVersion' of the Return) that is being used to file the return.   | Incorrect Data            | Reject and Stop | Active |
| R0000-192    | Phone Number provided in the return must not be all zeros.   | Incorrect Data            | Reject          | Active |
| R0000-193    | Bank Account Number provided in the return must not be all zeros.  | Incorrect Data            | Reject          | Active |
| R0000-194    | Return must not be a duplicate of a previously accepted return.  | Duplicate Condition       | Reject and Stop | Active |
| R0000-195    | Submission must not contain a Binary Attachment.   | Incorrect Data            | Reject and Stop | Active |
| R0000-500-01 | 'PrimarySSN' and 'PrimaryNameControlTxt' in the Return Header must match the e-File database.  | Database Validation Error | Reject and Stop | Active |
| R0000-503-01 | 'SpouseSSN' and the 'SpouseNameControlTxt' in the Return Header (or Line 6b 'ExemptSpouseNameControl' in the return - For 1040/1040A returns) must match the e-File database.  | Database Validation Error | Reject and Stop | Active |
| R0000-670-01 | If IRS Payment Record or IRS ES Payment Record is present, and PIN Type Code in the Return Header has the value "Self-Select Practitioner", then 'PractitionerPINGrp', 'PrimaryBirthDt', [ 'PrimaryPriorYearAGIAmt' or 'PrimaryPriorYearPIN' or 'PrimaryElectronicFilingPIN' ], 'PrimaryPINEnteredByCd', 'PrimarySignaturePIN', 'PrimarySignatureDt' and 'JuratDisclosureCd' must have a value in the Return Header. | Missing Data              | Reject          | Active |

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| R0000-671-01 | If IRS Payment Record or IRS ES Payment Record is present, PIN Type Code in the Return Header has the value "Self-Select Practitioner", and Spouse SSN in the Return Header has a value, then 'PractitionerPINGrp', 'SpouseBirthDt', [ 'SpousePriorYearAGIAmt' or 'SpousePriorYearPIN' or 'SpouseElectronicFilingPIN' ], 'SpousePINEnteredByCd', 'SpouseSignaturePIN' and 'JuratDisclosureCd' must have a value in the Return Header. | Missing Data              | Reject          | Active  |
| R0000-679-01 | 'PrimaryPriorYearPIN' or 'PrimaryElectronicFilingPIN' or 'PrimaryPriorYearAGIAmt' in the Return Header must match the e-File database.  | Database Validation Error | Reject          | Active  |
| R0000-680-01 | SpousePriorYearPIN' or 'SpouseElectronicFilingPIN' or 'SpousePriorYearAGIAmt' in the Return Header must match the e-File database.  | Database Validation Error | Reject          | Active  |
| R0000-681-01 | If IRS Payment Record or IRS ES Payment Record is present, and PIN Type Code in the Return Header has the value "Self-Select On-Line", then 'PrimaryDateOfBirth', [ 'PrimaryPriorYearAGI' or 'PrimaryPriorYearPIN' or 'PrimaryElectronicFilingPIN' ], 'PrimaryPINEnteredBy', 'PrimarySignature', 'PrimarySignatureDate' and 'JuratDisclosureCode' must have a value in the Return Header.   | Missing Data              | Reject          | Active  |
| R0000-682-02 | If IRS Payment Record or IRS ES Payment Record is present and 'PINTypeCd' in the Return Header has the value "Self-Select On-Line", and 'SpouseSSN' in the Return Header has a value, then 'SpouseBirthDt' and [ 'SpousePriorYearAGIAmt' or 'SpousePriorYearPIN' or 'SpouseElectronicFilingPIN' ] and 'SpousePINEnteredByCd' and 'SpouseSignaturePIN' and 'JuratDisclosureCd' must have a value in the Return Header.                 | Missing Data              | Reject          | Active  |
| R0000-697-01 | If IRS Payment Record or IRS ES Payment Record is present, and 'PINTypeCd' in the Return Header has the value "Practitioner", then 'PractitionerPINGrp' and 'PrimaryPINEnteredByCd' and 'PrimarySignaturePIN' and 'PrimarySignatureDt' and 'JuratDisclosureCd' must have a value in the Return Header.  | Missing Data              | Reject          | Active  |
| R0000-698-01 | If IRS Payment Record or IRS ES Payment Record is present, 'PINTypeCd' in the Return Header has the value "Practitioner", and 'SpouseSSN' has a value, then 'PractitionerPINGrp' and 'SpousePINEnteredByCd' and 'SpouseSignaturePIN' and 'JuratDisclosureCd' must have a value in the Return Header.  | Missing Data              | Reject          | Active  |
| R0000-902-01 | Taxpayer TIN in the Return Header must not be the same as a TIN of a previously accepted electronic return for the return type and tax period indicated in the tax return.  | Duplicate Condition       | Reject and Stop | Active  |
| R0000-904-03 | Software ID in the Return Header must have passed testing for the form family and 'TaxYr'.  | Database Validation Error | Reject and Stop | Active  |
| R0000-905-01 | Electronic Filing Identification Number (EFIN) in the Return Header must be listed in the e-File database and in accepted status.   | Database Validation Error | Reject and Stop | Active  |
| R0000-906-01 | Routing Transit Number (RTN) included in the return must be present in the e-File database.   | Database Validation Error | Reject          | Deleted |
| R0000-906-02 | Routing Transit Number (RTN) included in the return must be present in the e-File database.   | Database Validation Error | Reject          | New     |
| T0000-013    | The Message ID must be globally unique.   | Incorrect Data            | Reject and Stop | Active  |
| T0000-014    | The Submission ID must be globally unique.  | Incorrect Data            | Reject and Stop | Active  |
| T0000-015    | The ETIN in the Message ID (the first five digits) must match the ETIN provided with the request.   | Incorrect Data            | Reject and Stop | Active  |
| T0000-016    | The Message ID must be 20 positions in length and conform to the following format: 12 digits followed by 8 alphanumeric characters (only lower case alphabetic characters allowed).   | Incorrect Data            | Reject and Stop | Active  |

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| T0000-017 | The Submission ID must be 20 characters in length.  | Incorrect Data      | Reject and Stop | Active |
| T0000-900 | Transmission cannot be a duplicate of a previously accepted transmission.   | Duplicate Condition | Reject and Stop | Active |
| X0000-005 | The XML data has failed schema validation.  | XML Error           | Reject and Stop | Active |
| X0000-008 | The namespace declarations in the root element of the return ('Return' element) must be as follows:The default namespace shall be set to "http://www.irs.gov/efile" (xmlns= "http://www.irs.gov/efile").The namespace prefix "efile" shall be bound to the namespace "http://www.irs.gov" (xmlns:efile="http://www.irs.gov/efile"). | XML Error           | Reject and Stop | Active |
| X0000-009 | The SOAP envelope structure in the Transmission file must conform to the SOAP 1.1 specification.  | XML Error           | Reject and Stop | Active |
| X0000-010 | For each SubmissionID provided in the transmission manifest there must be a submission zip archive entry present in the Attachment Zip file whose name (without the ".zip" extension) matches the SubmissionID.   | Incorrect Data      | Reject and Stop | Active |
| X0000-011 | All entries in the submission zip archive (zip file that is the submission) must begin with "manifest/" or "/manifest/" or "xml/" or "/xml/" or "attachment/" or "/attachment/" (all lower case characters).  | Incorrect Data      | Reject and Stop | Active |
| X0000-015 | Each zip entry in the Attachment Zip file must end with ".zip" extension.   | Data Mismatch       | Reject and Stop | Active |
| X0000-017 | ZIP Entry names in the transmission ZIP archive must be unique.   | Duplicate Condition | Reject and Stop | Active |
| X0000-018 | A submission zip archive (zip file that is the submission) must contain exactly one entry that begins with "manifest/" or "/manifest/" and is followed by the file name "manifest.xml". The entry name must use lower case characters ('a' through 'z') only and the separator must be the forward slash character.                 | Incorrect Data      | Reject and Stop | Active |
| X0000-019 | A submission zip archive (zip file that is the submission) must contain exactly one entry that consists of "xml/" or "/xml/" (all lower case characters) followed by a file name.   | Incorrect Data      | Reject and Stop | Active |
| X0000-020 | A submission zip archive (zip file that is the submission) may contain zero or more entries that begin with "attachment/" or "/attachment/" and each is followed by a file name. The entry name must use lower case characters ('a' through 'z') only and the separator must be the forward slash character.                        | Incorrect Data      | Reject and Stop | Active |
| X0000-021 | The submission zip archive entries (zip files) in the container zip file must be in the root directory of the container zip file.   | Missing Data        | Reject and Stop | Active |
| X0000-022 | The names of ZIP Entries (files) within the attachments zip file (the container zip file that contains zip files for all submission) must be unique.  | Duplicate Condition | Reject          | Active |
| X0000-025 | Unable to read XML data from the Submission Zip Archive.  | Incorrect Data      | Reject and Stop | Active |
| X0000-026 | The count of SubmissionIDs provided in the transmission manifest must match the count of submission zip archive entries in the Attachment Zip file.   | Incorrect Data      | Reject and Stop | Active |
| X0000-027 | Year (YYYY) in the SubmissionID must be processing year.  | Incorrect Data      | Reject          | Active |
| X0000-030 | The size and CRC32 checksum value must be provided for the submission XML file (i.e. xml data file that starts with "xml/" or "/xml/").   | Incorrect Data      | Reject and Stop | Active |

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| X0000-031 | Zip Entry names must consist of ASCII characters only.  | Incorrect Data | Reject and Stop | Active |
| X0000-032 | Unable to extract submission Zip Archive from the Message Attachment zip file.                          | Incorrect Data | Reject and Stop | Active |
| X0000-033 | Modernized e-File (MeF) accepts submissions only in the Unicode Transformation Format-8 (UTF-8) format. | Incorrect Data | Reject and Stop | Active |



