

Withholding Foreign Partnership/Trust Correspondence Audit
(WP/WT's with reportable amounts not exceeding \$500,000)

Part #1 - General Information

1	Name of WP/WT	_____
2	Name on WP/WT Agreement (if different than above)	_____
3	WP/WT-EIN	_____
4	Name of Responsible Party	_____
5	Title	_____
6	Telephone Number	_____
7	Address	_____ _____ _____
8	Fax Number	_____
9	E-mail Address	_____
10	Name of Contact Person	_____
11	Title	_____
12	Telephone Number	_____
13	Address	_____ _____ _____
14	Fax Number	_____
15	E-mail Address	_____

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Part #2 - Reportable Amounts and Withholding

- 1 Reportable amounts shown on Year? Forms 1042-S issued to the WP/WT in its capacity as a WP/WT _____
- 2 a) Reportable amounts shown on Year? Forms 1042-S issued by the WP/WT _____
- b) Reportable amounts included in amounts shown on Forms 1099 issued by the WP/WT _____
- c) Total (Add lines 2a and 2b) _____
- 3 Subtract line 2c from line 1. This is your *variance*. _____
- 4 Explanation of variance (Fill in a short description for any amount listed below on lines 4a through 4e)
- a) _____ _____
- b) _____ _____
- c) _____ _____
- d) _____ _____
- e) Other (Use the space below to provide an explanation) _____

- 5 Total explained variance (Add lines 4a through 4e) _____
- 6 Unexplained variance (Subtract line 5 from line 3) _____
- 7 Total tax withheld by the WP/WT from the amount shown on line 1 _____
- 8 Total amount claimed as withheld by other withholding agents (_____ Form 1042, line 66) _____
 (Attach a copy of all _____ Form 1042-s issued to the WP/WT which fully support the amount claimed as withheld by other withholding agents. Where line 66 is not fully explained by Forms 1042-S, attach an explanatory statement.)

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Part #3 - Reportable Amounts and Withholding by Type of Account Holder

1 For each type of direct account holder, enter the number of account holders (as defined in WP/WT Agreement Sec 2.01) which received reportable amounts during _____, the reportable amounts paid to the account holders during _____ and the amount of tax withheld by the WP/WT on those reportable amounts.

	Column 1 Total number of direct account holders	Column 2 Total reportable amounts paid to direct account holders	Column 3 Total tax withheld by WP/WT on Column 2 amounts
a) U.S. Exempt (WP/WT Agreement Sec 4.08)			
b) U.S. Non-Exempt (WP/WT Agmt Sec 4.09)			
c) Intermediary (WP/WT Agmt Sec 2.13 / 2.15)			
d) Flow-Through Entity (WP/WT Agmt Sec 2.06 / 2.07)			
e) Others (i.e. Foreign Direct Account Holder)			
f) Total (Add lines 1a through 1e)			

2 For each type of indirect account holder, enter the number of account holders (as defined in WP/WT Agreement Sec 2.01) which received reportable amounts during _____, the reportable amounts paid to the account holders during _____ and the amount of tax withheld by the WP/WT on those reportable amounts.

	Column 1 Total number of indirect account holders	Column 2 Total reportable amounts paid to indirect account holders	Column 3 Total tax withheld by WP/WT on Column 2 amounts
a) U.S. Exempt (WP/WT Agreement Sec 4.08)			
b) U.S. Non-Exempt (WP/WT Agmt Sec 4.09)			
c) Intermediary (WP/WT Agmt Sec 2.13 / 2.15)			
d) Flow-Through Entity (WP/WT Agmt Sec 2.06 / 2.07)			
e) Others (i.e. Foreign Beneficiary or Owner)			
f) Total (Add lines 2a through 2e)			

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Part #4 - Documentation by Type of Account Holder

- 1 For each type of direct account holder which received reportable amounts during _____, enter the number of each type of documentation obtained from the account holder. Enter only one type of documentation for each account holder.

	W-9	W-8BEN	W-8EXP	W-8ECI	W-8IMY	Undocu- mented
a) U.S. Exempt						
b) U.S. Non-Exempt						
c) Intermediary						
d) Flow-Through Entity						
e) Other						
f) Total (Add lines 1a through 1e)						

- 2 For each type of indirect account holder which received reportable amounts during _____, enter the number of each type of documentation obtained from the account holder. Enter only one type of documentation for each account holder.

	W-9	W-8BEN	W-8EXP	W-8ECI	W-8IMY	Undocu- mented
a) U.S. Exempt						
b) U.S. Non-Exempt						
c) Intermediary						
d) Flow-Through Entity						
e) Other						
f) Total (Add lines 2a through 2e)						

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Part #5 - Declaration of Responsible Party

Check all that apply.

- The WP/WT is not in material violation or under investigation for violation of any of the know-your-customer rules, practices or procedures applicable to any branches of the WP/WT located in countries named in the attachments to the WP/WT Agreement.
- No event of default under section 9.06 of the WP/WT Agreement has occurred during the audit year.
- The WP/WT was in compliance with the WP/WT agreement throughout the _____ year.

Under penalties of perjury, I declare that I have examined this document, including any accompanying statements, and, to the best of my knowledge and belief, it is true, correct and complete.

Signature of WP/WT Responsible Party

Name (Please Print)

Date (MM/DD/YYYY)