



# MANUAL TRANSMITTAL

Department of the Treasury  
Internal Revenue Service

2.3.53

MAY 31, 2024

## EFFECTIVE DATE

(05-31-2024)

## PURPOSE

- (1) This transmits revised IRM 2.3.53, IDRS Terminal Responses, Command Code PMFOL.

## MATERIAL CHANGES

- (1) Exhibit 2.3.53-1 and Exhibit 2.3.53-9 reflect current tax year changes.
- (2) All Tax Year references have been updated.

## EFFECT ON OTHER DOCUMENTS

IRM 2.3.53, dated May 03, 2023, is superseded.

## AUDIENCE

LB&I, SB/SE, TE/GE, TS.

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2.3.53

Command Code PMFOL

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2.3.53.1  
(05-31-2024)  
**Program Scope and Objectives**

- (1) **Overview:** Payer Master File (PMF) research is accomplished by use of the Command Code (CC) PMFOL (Payer Master File Online), which provides information from the PMF at the Enterprise Computing Center Martinsburg (ECC-MTB) to all other IDRS Users.
- (2) **Purpose:** Provide general information on PMFOL command, and instructions to IDRS users on how to request the different type of available PMF data.
- (3) **Audience:** LB&I, SB/SE, TE/GE, TS.
- (4) **Policy Owner:** Chief Information Officer.
- (5) **Program Owner:** SB/SE Exam, Field & Campus Policy, BMF Document Matching.
- (6) **Primary Stakeholders:** Office of Servicewide Penalties, Examination Operations, Headquarters Examination and Field & Campus Policy, BMF Matching.
- (7) **Program Goals:** This IRM provides the fundamental information, knowledge, and instructions for IDRS users. By following these procedures, the users will be able to utilize PMFOL as a method for researching various PMF information.

2.3.53.1.1  
(05-31-2024)  
**Background**

- (1) The display of PMF information will depend on the PMFOL Request. There are three basic request types: Summary, Detail, and Backup Withholding. Civil penalty information is included in the Summary request.
- (2) Payer Master File (PMF) Accounts for TY2023 will not be available until May 2024.

2.3.53.1.2  
(05-31-2024)  
**Authority**

- (1) Command Code PMFOL was developed to allow access to review and research on the IDRS (Integrated Data Retrieval System) for Entity data and certain payment information.

2.3.53.1.3  
(05-31-2024)  
**Responsibilities or Roles and Responsibilities**

- (1) Applications Development is responsible for operations and maintenance of the command codes.

2.3.53.1.4  
(05-31-2024)  
**Program Management and Review**

- (1) (1) Audience: TS, SB/SE, LB&I, and TE/GE.
- (2) (2) Program Effectiveness: N/A

2.3.53.1.5  
(05-31-2024)  
**Program Controls**

- (1) Primary Stakeholders: TS, SB/SE, LB&I, and TE/GE.

2.3.53.1.6  
(05-31-2024)  
**Terms/Definitions/ Acronyms**

- (1) Acronyms

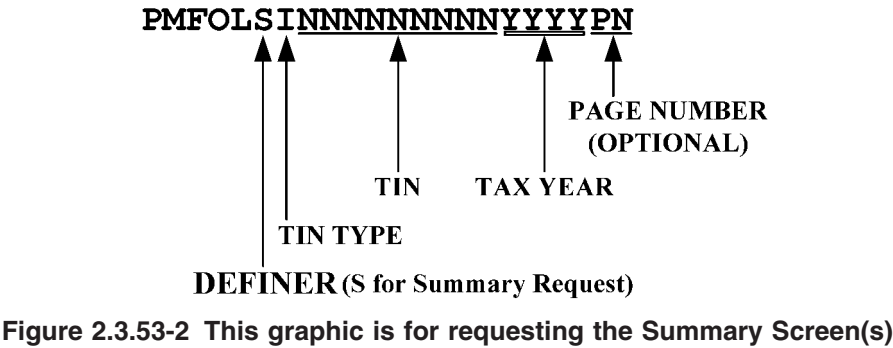
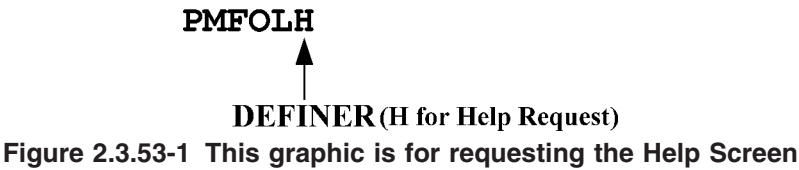
Acronym	Definition
BMF	Business Master File
IDRS	Integrated Data Retrieval System
IRM	Internal Revenue Manual
LB&I	Large Business and International
PMF	Payer Master File
PMFOL	Payer Master File Online
TE/GE	Tax Exempt and Government Entities
TIN	Taxpayer Identification Number
SB/SE	Small Business Self-Employed
TS	Taxpayer Services

2.3.53.1.7  
(05-31-2024)  
Related Resources

(1) IRM 2.3.53

2.3.53.2  
(05-31-2024)  
PMFOL Request Format

(1) The graphics below show the format of PMFOL requests for Help Screen, Summary Screen(s), Detail Page(s) Screen, and Backup Withholding Screen. Valid value for each request parameter is show in sub-sections of this section.



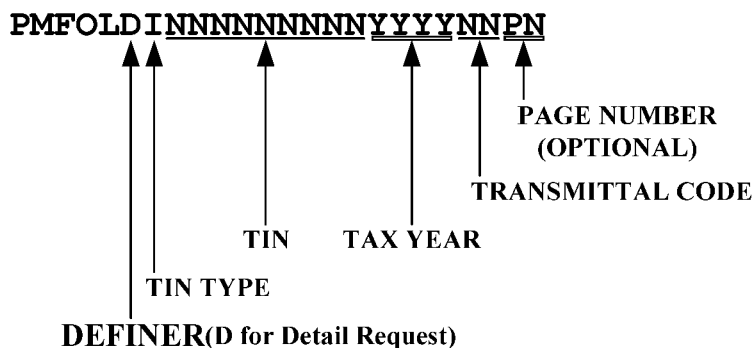


Figure 2.3.53-3 This graphic is for requesting the Detail Page(s) Screen

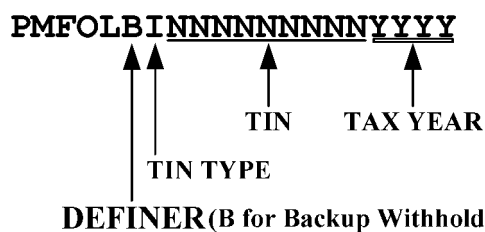


Figure 2.3.53-4 This graphic is for requesting the Backup Withholding Screen

2.3.53.2.1  
(12-14-2011)  
**Valid Definer**

- (1) **DEFINER:** The character “H”, “S”, “D”, or “B” which identifies the type of request being made:

“H”	Request for Help Screen
“S”	Request for Summary Screen
“D”	Request for Detail Page(s) Screen
“B”	Request for Backup Withholding Screen

2.3.53.2.2  
(05-31-2024)  
**Valid TIN Type**

- (1) **TIN TYPE (I):** A number which identifies the type of account being requested:

“1”	Request for SSN Account
“2”	Request for EIN Account

2.3.53.2.3  
(12-14-2011)  
**Valid TIN**

- (1) **TIN (NNNNNNNNN):** The unedited 9 digit SSN or EIN.

2.3.53.2.4  
(05-31-2024)  
**Valid Tax Year**

- (1) TAX YEAR (YYYY): Valid Tax Year for PMFOL request is shown in the following table. Only one tax year can be requested at a time.

Tax Year Reference	Before May 2024	After May 2024
TY-5	2018	2019
TY-4	2019	2020
TY-3	2020	2021
TY-2	2021	2022
TY-1	2022	2023

2.3.53.2.5  
(05-31-2024)  
**Valid Transmittal Code**

- (1) TRANSMITTAL CODE (AKA Document Code): This two character field signifies the type of Payer document being requested. Acceptable values are shown in the following table:

**Note:** In TY2019 or prior, Transmittal Code (Document Code) 71 is used for Form 1099-H. In TY2020 and later, Transmittal Code (Document Code) 71 will be used for Form 1099-NEC.

**Note:** Transmittal Code (Document Code) 90 is used for Form 1099-H in TY2022 and beyond.

**Note:** Transmittal Code of "00" means to show all available Payer documents for the requested TIN.



Transmittal/Doc Code	Type of Document
91	Form 1099-DIV
92	Form 1099-INT
96	Form 1099-OID
97	Form 1099-PATR
95	Form 1099-MISC
90	Form 1099-H
32	Form W-2G
75	Form 1099-S
79	Form 1099-B
80	Form 1099-A
81	Form 1098
86	Form 1099-G
28	Form 5498
21	Form W-2
85	Form 1099-C
94	Form 1099-SA
27	Form 5498-MSA
93	Form 1099-LTC
83	Form 1098-T
84	Form 1098-E
02	Form 1042-S
31	Form 1099-Q
71	Form 1099-NEC
72	Form 5498-ESA
73	Form 1099-CAP
78	Form 1098-C
25	Form 3921
26	Form 3922
16	Form 1099-LS
50	Form 1097-BTC
10	Form 1099-K
74	Form 1098-Q
98	Form 1099-R

Transmittal/Doc Code	Type of Document
56	Form 1095-B
60	Form 1095-C
1A	Form 1099-QA
2A	Form 5498-QA
43	Form 1099-SB
03	Form 1098-F
00	All Available Document

### 2.3.53.2.6 (05-31-2024)

#### Valid Page Number

- (1) PAGE NUMBER (PN): Valid value is "01", "02", or "03" for Summary Screen request; "00" through "99" for Detail Page(s) Screen request.
- (2) PAGE NUMBER (PN) is optional when entering a request. It is applicable to Summary Screen request and Detail Page(s) Screen request only.
- (3) For Summary Screen request, PAGE NUMBER is entered (and displayed) after the TAX YEAR parameter. For Detail Page(s) Screen request, it is entered (and displayed) after the TRANSMITTAL CODE parameter.
- (4) Upon successful execution of a Summary Screen request, the page number in PMFOL command line will show the next available page number.
- (5) If multiple pages of Detail Page(s) Screen is available, the page number in PMFOL command line will show the next available page number.
- (6) User can over-type the displayed page number on command line to navigate to the desired page, or press the enter key for the next available page of screen data.

### 2.3.53.3 (05-31-2024)

#### Terminal Messages

- (1) When a PMFOL request is entered with improper format or invalid value, the PMFOL Help screen will be displayed as shown in Exhibit 2.3.53-1.
- (2) Sometimes a request for an account will result in the display of data for a different account because of TIN changes on BMF. For this type of response, the TIN Literal and formatted TIN in line 2 of Summary Screen and Backup Withholding Screen, as well as line 4 (and line 15, if applicable) of Detail Page(s) screen will appear in reverse video to call attention to the change.
  - a. PMF files are created with valid SSN's and valid BMF EIN's.
  - b. When BMF consolidates two EIN's with PMF linkage, a record will be sent to PMF to change the EIN to agree with the BMF EIN. If a request is made using the original EIN, the response screen will show the TIN Literal and formatted BMF EIN in reverse video. The original EIN in line 1 of the command line will remain the same as entered.
  - c. SSN accounts on PMF are validated with the DM-1; invalid accounts are not established on PMF.
- (3) When there is issue with PMF files or data, a PMFOL request will result in an

Error Message being displayed. Error messages and their causes are shown in IRM 2.3.53.4.

2.3.53.4  
(05-31-2024)  
**Error Messages**

- (1) When PMF is not available at ECC-MTB for some reason, or the TIN entered is incorrect, an error message will be displayed on the PMFOL Access Response Screen, Exhibit 2.3.53-2. The following error messages may occur:
- a. THE PAYER MASTER FILE IS NOT AVAILABLE NOW TRY LATER. When this message is received, notify your manager or support unit. When notified that PMF is available try again.
  - b. THERE IS NO ACCOUNT ON THE PAYER MASTER FILE FOR nn-nnnnnnn. This message indicates that a TIN Type of “2” for BMF was requested, but PMF does not have an account for the TIN input as BMF. The request could be made again with a TIN Type of “1” for IMF or check the TIN for a transcription error.
  - c. THERE IS NO ACCOUNT ON THE PAYER MASTER FILE FOR nnn-nnnnn. This message indicates that a TIN Type of “1” for IMF was requested, but PMF does not have an account for the TIN input as an SSN. The request could be made again with a TIN Type of “2” for BMF or check the TIN for a transcription error.
  - d. INCONSISTENT DATA ON THE PAYER MASTER FILE FOR nn-nnnnnnn. This message indicates that a TIN Type of “2” for BMF is on the PMF, but there is inconsistent data on PMF and the proper screen display can not be created. Inconsistent data could mean the PMF Entity has an indicator that the requested Tax Year data is available, but there are no Tax Year modules available. Prepare Form 5715, Systems Production Evaluation Report, and attach a print of the screen for the account with the above message. Route the Form 5715 through your manager to the National Office PMF developer.
  - e. INCONSISTENT DATA ON THE PAYER MASTER FILE FOR nnn-nnnnn. The message indicates that a TIN Type of “1” for IMF is on the PMF, but there is inconsistent data on the PMF and the proper screen display can not be created. Inconsistent data could mean the PMF Entity has an indicator that the requested Tax Year data is available, but there are no Tax Year modules available. Prepare Form 5715, Systems Production Evaluation Report, and attach a print of the screen for the account with the above message. Route the Form 5715 through your manager to the National Office PMF developer.

**Note:** If the “INCONSISTENT DATA ON THE PAYER MASTER FILE FOR...” response occurs for a number of PMFOL Requests, the manager should notify the National Office PMF developer immediately with TIN Type and TIN via a KISAM ticket. This response suggests that data on the Payer Master File has been corrupted.

- (2) When there are data issues with Payer Document for a request, an error message will be displayed on either Line 6 or 9 of the PMFOL Error Response Screen, Exhibit 2.3.53-3. The following error messages may occur:
- a. THERE ARE NO PAYER DOCUMENTS POSTED TO THE PMF FOR TYnnnn. The Tax Year requested is not present on PMF. The screen display will show the tax years that are available. Determine the Tax Year needed, enter the correct Tax Year, and re-input the request. PMF does not remove Entities from the file; therefore, the Tax Years available may

be blank. This happens when the account has not filed a Form 1096 since 1996, or the Entity was established on PMF even though a Form 1096 was never filed.

- b. THE DOCUMENT FILE FOR TYnnnn IS NOT AVAILABLE AT THIS TIME - TRY LATER. This message is similar to the error listed above.
- c. THERE WERE NO BACKUP WITHHOLDING DOCUMENTS FOR THIS TIN. No Backup Withholding information is available in PMF for the requested TIN within current five tax years.

- (3) When there are data issues with Detail Page(s) Screen request, an error screen will be displayed on the Detail Page Error Response Screen, Exhibit 2.3.53-8. Correct the error and resubmit the request.

2.3.53.5  
(05-31-2024)

#### Entity Data Displayed on Screen

- (1) Entity Data of PMF is copied from BMF or IMF at the beginning of each year when PMF is linked to BMF and IMF. The PMF Entity will also be updated weekly when the Entity on BMF or IMF is changed. If the PMF Entity data is not linked to BMF or IMF, it is the information from Form 1096 or other PMF transactions.
- (2) Screen display of Entity Data is for **Summary Response Screen** and **Backup Withholding Response Screen** only, and it is the same for all tax years.
- (3) If there are no tax modules available for the tax year requested, Entity Data will be displayed with appropriate message as shown in Exhibit 2.3.53-3 PMFOL Error Response Screen.

**Note:** Unavailable TAX MODULES for a request of Detail Page(s) Screen will result in the display of Entity Data with error message too.

- (4) Entity data fields are displayed across the line from Line 2 through Line 5. Some displayed fields do not have a literal preceding the information being displayed. The following subsections provide description of the displayed fields.

2.3.53.5.1  
(05-31-2024)

#### Primary Name

- (1) This is the primary name of the taxpayer on the PMF Entity record.

2.3.53.5.2  
(05-31-2024)

#### Taxpayer Identification Number (TIN)

- (1) This is the formatted TIN of the taxpayer filing Form 1096s; it can be an EIN or a SSN.
  - a. The formatted TIN will display in reverse video if BMF has made an EIN change due to merge or consolidation. In these cases, the formatted TIN on the display will be different from the requested TIN.
  - b. If the TIN Linked Tag (see IRM 2.3.53.5.3) is also displayed in reverse video, then the TIN that was validated as EPMF has now been validated as BMF. In these cases, the PMF data is now maintained under the BMF validated TIN. The TIN requested and the TIN on the BMF can be the same.

2.3.53.5.3  
(05-31-2024)

#### TIN Linked Tag

- (1) All accounts are validated on BMF and established if the account matches a BMF EIN.

- (2) If the requested TIN on PMF matches a TIN on BMF or IMF, **"LINKED"** is displayed after the formatted TIN. If the Entity data on PMF is from Form 1096 or other PMF transactions, **"NOT LINKED"** is displayed after the formatted TIN.

2.3.53.5.4  
(05-31-2024)  
**Second Name**

- (1) This is the second name of the taxpayer on the PMF Entity record. The second name is created as follows:
- For IMF accounts, the display is the second name of the IMF account.
  - For BMF accounts, the display is selected in the following priority:

Foreign Address, if present, or
Sort Name, if present, or
Continuation Primary Name, if present, or
In-Care-Of-Name, if present.

2.3.53.5.5  
(05-31-2024)  
**Name Control**

- (1) This is the name control on the PMF Entity record. In general, it is the first 4 characters of the Primary Name (see IRM 2.3.53.5.1).

2.3.53.5.6  
(04-01-2004)  
**Years Filed**

- (1) This identifies the Tax Years on PMF for which the taxpayer filed a Form 1096. The Tax Years are displayed in fixed positions in ascending order. If PMF does not have a Payer Document for any of the five valid tax years, it will be blank on the screen display.

2.3.53.5.7  
(05-31-2024)  
**Street Address**

- (1) This is the Street Address of the taxpayer on the PMF Entity record.

2.3.53.5.8  
(05-31-2024)  
**Years Warned**

- (1) When the taxpaying entity has been warned that it is filing Form 1096 late, an indicator is placed on PMF for the offending year and a notice is sent. The Tax Year displayed indicates the tax year for which the taxpayer was warned of the violation.
- (2) The Tax Years are displayed in fixed positions in ascending order.
- (3) If PMF does not have a Payer Document or has a Payer Document without warning indicator for any of the five valid tax years, the corresponding display on the screen will be blank.
- (4) For Backup Withholding request, the literal displayed on screen is changed from **"WARN"** to **"BK-W"**.

2.3.53.5.9  
(05-31-2024)  
**City/State/ZIP Code**

- (1) This is the City/State/Zip Code of the taxpayer on the PMF entity record.

2.3.53.5.10  
(05-31-2024)  
**BOD**

- (1) This is a two character field for Business Operating Division.

2.3.53.5.11  
(05-31-2024)  
**Years Penalized**

- (1) When the taxpaying entity has a proposed penalty, an indicator is placed on the PMF corresponding to the Tax Year for which a penalty was proposed.
- (2) The Tax Years are displayed in fixed positions in ascending order.
- (3) If PMF does not have a Payer Document or has a Payer Document without proposed penalty indicator for any of the five valid tax years, the corresponding display on the screen will be blank.

2.3.53.6  
(05-31-2024)  
**Screen Display of Payer Document Data**

- (1) This section is for Form 1096 data that is displayed in the Summary Screens for all Payer Documents. Displayed items in this section represent filing activities for the requested tax year. Information on the screen display includes Transmittals (Doc Code), number of payee documents, payee payment amounts, withholding amount, wavier indicator, Return Due Date as Extended (RDD as EXTND) and Civil Penalty data.

**Note:** See IRM 2.3.53.7 for Civil Penalty data information.

- (2) There are three pages of Summary Screen to display all available payer documents. See Exhibit 2.3.53-4, Exhibit 2.3.53-5, and Exhibit 2.3.53-6 for screen layouts.
- (3) The following Payer Document will be displayed in the Summary Screens in the order listed:
  - 1099-DIV - Form(s) 1096 filed to report and transmit Forms 1099-DIV, Dividends and Distributions.
  - 1099-INT - Form(s) 1096 filed to report and transmit Forms 1099-INT, Interest Income.
  - 1099-OID - Form(s) 1096 filed to report and transmit Forms 1099-OID, Original Issue Discount.
  - 1099-PATR - Form(s) 1096 filed to report and transmit Forms 1099-PATR, Taxable Distributions Received from Cooperatives.
  - 1099-MISC - Form(s) 1096 filed to report and transmit Forms 1099-MISC, Miscellaneous Information.
  - 1099-H - Form(s) 1096 filed to report and transmit Forms 1099-H, Health Coverage Tax Credit (HCTC) Advance Payments.

**Note:** 1099-H, transmittal code (Doc Code 90) for TY2022 and beyond.

  - W-2G - Form(s) 1096 filed to report and transmit Forms W-2G, Certain Gambling Winnings.
  - 1099-S - Form(s) 1096 filed to report and transmit Forms 1099-S, Proceeds from Real Estate Transactions.
  - 1099-B - Form(s) 1096 filed to report and transmit Forms 1099-B, Proceeds from Broker and Barter Exchange Transactions.
  - 1099-A - Form(s) 1096 filed to report and transmit Forms 1099-A, Acquisition or Abandonment of Secured Property.
  - 1098 - Form(s) 1096 filed to report and transmit Forms 1098, Mortgage Interest Statement.
  - 1099-G - Form(s) 1096 filed to report and transmit Forms 1099-G, Certain Government Payments.

- 5498 - Form(s) 1096 filed to report and transmit Forms 5498, IRA Contribution Information.
- W-2 - Form(s) W-3 filed to report and transmit Forms W-2, Wage and Tax Statement.

**Note:** The two asterisks after the W-2 Literal indicate that, unlike the 1099's, the only W-2 information posted to the Payer Master File are those accounts where the W-3 resulted in a proposed Civil Penalty being generated by PMF processing.

- 1099-C - Form(s) 1096 filed to Report and Transmit Forms 1099-C, Cancellation of Debt.
- 1099-SA - Form(s) 1096 filed to report and transmit Forms 1099-SA, Health savings account distributions.
- 5498-MSA - Form(s) 1096 filed to report and transmit Forms 5498-MSA, Archer MSA or Medicare+Choice MSA Information.
- 1099-LTC - Form(s) 1096 filed to report and transmit Forms 1099-LTC, Long-Term Care and Accelerated Death Benefits.
- 1098-T - Form(s) 1096 filed to report and transmit Forms 1098-T, Tuition Statement.
- 1098-E - Form(s) 1096 filed to report and transmit Forms 1098-E, Student Loan interest Statement.
- 1042-S - Form(s) 1042-T filed to report and transmit Forms 1042-S, Foreign Person's U.S. Source Income Subject to Withholding.
- 1099-Q - Form(s) 1096 filed to report and transmit Forms 1099-Q, Payments From Qualified Education Programs (Under Section 529 and 530).
- 1099-H - Form(s) 1096 filed to report and transmit Forms 1099-H, Health Coverage Tax Credit (HCTC) Advance Payments.

**Note:** 1099-H transmittal code (Doc Code 71) is valid up to TY2019. This form is not used for TY2020 and TY2021.

- 1099-NEC - Form(s) 1096 filed to report and transmit Forms 1099-NEC, Non-employee Compensation.

**Note:** 1099-NEC becomes valid in TY2020. It has the same doc code (71) as 1099-H.

- 5498-ESA - Form(s) 1096 filed to report and transmit Forms 5498-ESA, Coverdell ESA Contribution Information.
- 1099-CAP - Form(s) 1096 filed to report and transmit Forms 1099-CAP, Changes in Corporate Control and Capital Structure.
- 1098-C - Form(s) 1096 filed to report and transmit Forms 1098-C, Contributions of Motor Vehicles, Boats, and Airplanes.
- 3921 - Form(s) 1096 filed to report and transmit Forms 3921, Exercise on Incentive Stock Options Under Section 422(b)
- 3922 - Form(s) 1096 filed to report and transmit Forms 3922, Transfer of Stock Acquired Through an Employee Stock Purchase Plan Under Section 423(c)
- 1099-LS - Form(s) 1096 filed to report and transmit Forms 1099-LS, Reportable Life Insurance Sale.
- 1097-BTC - Form(s) 1096 filed to report and transmit Forms 1097-BTC, Bond Tax Credit.
- 1099-K - Form(s) 1096 filed to report and transmit Forms 1099-K, Payment Card and Third Party Network Transactions.



- 1098-Q - Form(s) 1096 filed to report and transmit Forms 1098-Q, Qualifying Longevity Annuity Contract Information.
  - 1099-R - Form(s) 1096 filed to report and transmit Forms 1099-R, Distributions from Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.
  - 1095-B - Form(s) 1094-B filed to report and transmit Forms 1095-B, Health Coverage.
  - 1095-C - Form(s) 1094-C filed to report and transmit Forms 1095-C, Employer-Provided Health Insurance Offer and Coverage.
  - 1099-QA - Form(s) 1096 filed to report and transmit Forms 1099-QA, Distributions from ABLE Accounts.
  - 5498-QA - Form(s) 1096 filed to report and transmit Forms 5498-QA, ABLE Account Contribution Information.
  - 1099-SB - Form(s) 1096 filed to report and transmit Forms 1099-SB, Seller's Investment in Life Insurance Contract.
  - 1098-F - Form(s) 1096 filed to report and transmit Forms 1098-F, Fines, Penalties and Other Amounts.
- (4) For each Form 1096 transmittal, the following information are displayed on the summary screen:
- a. Transmittals. This is a fixed literal containing abbreviated Document Name and the Doc Code. It identifies the documents filed in a 1096 transmittal.
  - b. Documents. This is the number of 1099's that were transmitted by a 1096. Display of this count is edited with commas for thousands. If the accumulated count is larger than the display field, it will be displayed as all asterisks. If the taxpayer is not filing this form it will be displayed with two right justified zeros.
  - c. Payments. This is the total payment for all the payees that received this form. The amount is dollars only, with the cents positions dropped. The display is edited with commas for thousands. If the accumulated amount is larger than the display field, it will be displayed as all asterisks. If the taxpayer is not filing this form it will be displayed with two right justified zeros.
  - d. Withheld. This is the total withheld amount for all the payees that received this form. The amount is dollars only, with the cents positions dropped. The display is edited with commas for thousands. If the accumulated amount is larger than the display field, it will be displayed as all asterisks. If the taxpayer is not filing this form it will be displayed with two right justified zeros.
  - e. Waiver. This field is either an "Y" for "Yes" if the taxpayer has received a waiver to file paper documents instead of electronic media; or an "N" for "No" if the taxpayer has not received such waiver.
  - f. RDD (Return Due Date) as EXTND (Extended). This is the Return Due Date for filing Form 1096 or the Extended Due Date if an extension was granted. The date is displayed as MM/DD. The year would be the same as the RDD year which is the year following the tax year.
  - g. Total. The total line contains totals for each column of amounts across all three pages of the Summary Screens. The total amounts are edited with commas for thousands. If the accumulated total amount is larger than the display field, it will be displayed as all asterisks. If the total amount for a column is zero, it will be displayed with two right justified zeros.



**Note:** Amounts on the total line are the same on all three pages of the Summary Screen.

2.3.53.7  
(05-31-2024)  
**Screen Display of Civil  
Penalty Data**

- (1) Civil Penalty Data will be displayed if applicable for the requested Tax Year.
- (2) Penalty information is displayed after the "RDD as EXTND " column on the Summary Screens.
- (3) The following gross Civil Penalty Data will be displayed for each document code:
  - a. ELF PEN (Electronic File Penalty). This penalty is for failure to file electronically when the taxpayer filed 250 or more of the same document type on paper. For Tax Year 2023 and thereafter, the penalty applies when more than 10 aggregate forms are paper filed. The amount displayed is not edited with commas for thousands. If PMF does not have a penalty for this form, the amount will be displayed with two right justified zeros. If the amount is larger than the display field, it will be displayed as all asterisks.
  - b. LATE PEN (Late Filing Penalty). This penalty is for failure to file Form 1096 by the Due Date or Extension date. The amount displayed is not edited with commas for thousands. If PMF does not have a penalty for this form, the amount will be displayed with two right justified zeros. If the amount is larger than the display field, it will be displayed as all asterisks.
  - c. TIN PEN (TIN Penalty). This penalty is for failure to provide valid payee TIN. The amount displayed is not edited with commas for thousands. If PMF does not have a penalty for this form, the amount will be displayed with two right justified zeros. If the amount is larger than the display field, it will be displayed as all asterisks.
  - d. TOTAL (Penalty Amount). This is the sum of each column of penalty amounts across all three pages of the Summary Screen on the total line. The total amounts are not edited with commas for thousands. If the total amount for a column is zero, it will be displayed with two right justified zeros. If the accumulated total amount is larger than the display field, it will be displayed as all asterisks.

**Note:** Amounts on the total line are the same on all three pages of the Summary Screen.

- e. CIV PEN PROPOSED (Civil Penalty Proposed). This amount is calculated within PMF based on all filed Form 1096s from a tax payer for a given tax year, and it is available in PMF only. This display is on the last line of pages 1 and 2 of the Summary Screen, and in line 22 of page 3 of the Summary Screen. If applicable, the displayed amount is edited with commas for thousands. If the amount is larger than the display field, it will be displayed as all asterisks. If there is no proposed penalty, the amount will be displayed with two right justified zeros.
- (4) The following civil penalty related information is displayed in page three of the Summary Screen (see Exhibit 2.3.53-6):
  - a. PENALTY REFERENCE CODE. The following table shows the displayed penalty code and its corresponding literal:

Penalty Code	Penalty Literal
500	LATE
501	ELF
502	TIN
504	ELF-LATE
505	TIN-LATE
507	TIN-ELF
510	TIN-ELF-LATE
Blanks	FED-NON-FILER
N/A	Blanks

- b. **PENALTY INFO.** This line displays up to four penalty information literals based on indicators in PMF Entity file and PMF Civil Penalties file. If applicable, these literals are displayed in priority order as follows:“- FEDERAL”, “- QUASI GOV”, “- FORCED FED”, “- INT DSRGRD”, “- COMM DRCTV”, “- CSTR SURPD”, “- FOREIGN”, “- MAILED”, “- DISASTER”, and “- NOT MAILED”.
- c. **CIVIL PENALTY GROSS.** If applicable, the displayed amount is edited with commas for thousands. If the amount is larger than the display field, it will be displayed as all asterisks. If there is no gross penalty, the amount will be displayed with two right justified zeros.
- d. **CIVIL PENALTY PROPOSED.** If applicable, the displayed amount is edited with commas for thousands. If the amount is larger than the display field, it will be displayed as all asterisks. If there is no proposed penalty, the amount will be displayed with two right justified zeros.
- e. **NAICS.** This display may be blank or contain all zeros.

2.3.53.8  
(05-31-2024)

#### Screen Display of Individual Form 1096

- (1) User can request individual Form 1096 transmittal or all Form 1096 transmittals from a taxpayer for a given tax year. Up to two Form 1096 transmittals will be displayed on one screen if the request is for all transmittals.
- (2) If multiple pages of Detail Page(s) Screen is available, total number of available pages is displayed in the last line of the screen. The PMFOL command line will show the next available page number. By pressing the enter key, the next page of screen will be displayed with page number increased by one on the command line. User has the option to change the page number on command line within range of total available pages to directly access the desired screen page.
- (3) Description of the individual display fields is detailed in the table under the Detail Page(s) Response Screen (see Exhibit 2.3.53-7).
- (4) If the Transmittal Code or Page Number is not valid in line 1 of the request, a Detail Page Error Message Response Screen will be displayed as shown in Exhibit 2.3.53-8. Follow the instruction to correct the error.
- (5) If there is an issue with PMF, or the TIN and/or Tax Year entered in command line of the request, one of the following error screens will be displayed:

- a. PMFOL Access Response Screen (Exhibit 2.3.53-2).
- b. PMFOL Error Response Screen (Exhibit 2.3.53-3).

See IRM 2.3.53.4. for details.

2.3.53.9  
(05-31-2024)

**Screen Display of  
Backup Withholding**

- (1) Backup Withholding Screen displays the following information:
  - a. Taxpayer Entity information.
  - b. No TIN without Backup Withholding amount.
  - c. Unassigned TIN.
  - d. Mismatched TIN.
- (2) All five Tax Years of backup withholding information will be displayed unless there are no payer documents for the specific Tax Year.
- (3) See Exhibit 2.3.53-9 for screen layout.

**This Page Intentionally Left Blank**

## Exhibit 2.3.53-1 (05-31-2024)

## PMFOL Help Response Screen

	1	2	3	4	5	6	7	8	
	12345678901	23456789012	34567890123	45678901234	56789012345	67890123456	78901234567	8901234567890	

1	PMFOLH		MM-DD-YYYY	HH:MM @MCC	1
2		COMMAND CODE PMFOL HELP SCREEN		VERSION NN.NN	2
3		SEE HIGHLIGHTED AREA FOR EXPLANATION			3
4		PMFOL REQUEST TYPES	POSITION	1234567890123456789012345	4
5		HELP REQUEST	PMFOLH		5
6	FIRST SUMMARY PAGE REQUEST		PMFOLSINNNNNNNNNNNYYYYPN		6
7	SECOND SUMMARY PAGE REQUEST		PMFOLSINNNNNNNNNNNYYYYPN		7
8	THIRD SUMMARY PAGE REQUEST		PMFOLSINNNNNNNNNNNYYYYPN		8
9	FIRST DETAIL PAGE REQUEST		PMFOLDINNNNNNNNNNNYYYYNNPN		9
10	SPECIFIC DETAIL PAGE REQUEST		PMFOLDINNNNNNNNNNNYYYYNNPN		10
1	BACKUP WITHHOLDING REQUEST		PMFOLBINNNNNNNNNNNYYYY		1
2		PMFOL ENTRY FIELDS	VALID ENTRIES		2
3	COMMAND CODE: PMFOL	PMFOL			3
4	DEFINER FIELD: H/S/D/B	H= HELP; S= SUMMARY; D= DETAIL; B= BACKUP-W			4
5	TIN-TYPE: I	1 (SSN) OR 2 (EIN)			5
6	TIN: NNNNNNNNN	9-DIGIT NUMBER			6
7	TAX YEAR: YYYY	2019 THRU 2023 SUMMARY/DETAIL/BACKUP ONLY			7
8	DOCUMENT CODE: NN	02 THROUGH 2A or 00 ON SUMMARY PAGE			8
9	PAGE NUMBER: PN =	01 THROUGH 99 (OPTIONAL FOR 1ST DETAIL PAGE)			9
20	01,02,03	(ON A DETAIL PAGE THE SYSTEM WILL RETURN			20
1		WITH THE NEXT PAGE NUMBER IF AVAILABLE			1
2		(PAGE COUNT IS LISTED ON SUMMARY PAGE);			2
3		CHANGE PN TO SKIP PAGES OR BACK UP)			3
4	ENTER 02 FOR PAGE TWO OR 03 FOR PAGE THREE OF THE SUMMARY SCREEN DIRECTLY				4

	1	2	3	4	5	6	7	8	
	12345678901	23456789012	34567890123	45678901234	56789012345	67890123456	78901234567	8901234567890	

Exhibit 2.3.53-2 (05-31-2024)  
PMFOL Access Response Screen

	1	2	3	4	5	6	7	8	
	1234567890123456789012345678901234567890123456789012345678901234567890								
1	PMFOLXINNNNNNNNNNNYYYYNNNP					MM-DD-YYYY	HH:MM	@MCC	1
2									2
3									3
4									4
5									5
6									6
7									7
8									8
9	XX								9
10									10
1									1
2									2
3									3
4									4
5									5
6									6
7									7
8									8
9									9
20									20
1									1
2									2
3									3
4									4
	1234567890123456789012345678901234567890123456789012345678901234567890								
	1	2	3	4	5	6	7	8	

**Exhibit 2.3.53-2 (Cont. 1) (05-31-2024)**  
**PMFOL Access Response Screen**

Line	Position	Description and Validity
1	1-5	<b>COMMAND CODE “PMFOL”</b>
	6-6	<b>DEFINER</b> - See IRM 2.3.53.2.1.
	7-7	<b>TIN TYPE</b> - See IRM 2.3.53.2.2.
	8-16	<b>TIN</b> - See IRM 2.3.53.2.3.
	17-20	<b>TAX YEAR</b> - See IRM 2.3.53.2.4.
	21-22	<b>TRANSMITTAL CODE</b> - See IRM 2.3.53.2.5. Valid for Detail Page(s) Screen Request only. This field is also used as <b>PAGE NUMBER</b> for Summary Screen Request.
	23-24	<b>PAGE NUMBER</b> - See IRM 2.3.53.2.6. Valid for Detail Page(s) Screen Request only.
	58-67	Current date in MM-DD-YYYY format
	70-74	Current time in HH:MM format
2 to 8	1–80	Blanks.
9	5 - 79	<b>ERROR MESSAGE</b> - See IRM 2.3.53.4 for details.
10 to 24	1-80	Blanks.

Exhibit 2.3.53-3 (05-31-2024)  
PMFOL Error Response Screen

	1	2	3	4	5	6	7	8	
	1234567890123456789012345678901234567890123456789012345678901234567890								
1	PMFOLXINN	NNNNNNNN	NNNNNNNN	NNNNNNNN	NNNNNNNN	NNNNNNNN	NNNNNNNN	NNNNNNNN	1
2	XX	N/C	TIN	XXXXXXXXXXXX	XXXXXXXXXXXX				2
3	XX	XXXX	YRS	FILD	XXXX	XXXX	XXXX	XXXX	3
4	XX	BOD	YRS	WARN	XXXX	XXXX	XXXX	XXXX	4
5	XX	XX	XXXXXXXXXX	XX	YRS	PENL	XXXX	XXXX	5
6	XX								6
7									7
8									8
9	XX								9
10									10
1									1
2									2
3									3
4									4
5									5
6									6
7									7
8									8
9									9
20									20
1									1
2									2
3									3
4									4
	1234567890123456789012345678901234567890123456789012345678901234567890								
	1	2	3	4	5	6	7	8	



**Exhibit 2.3.53-3 (Cont. 1) (05-31-2024)**  
**PMFOL Error Response Screen**

Line	Position	Description and Validity
1	1-5	<b>COMMAND CODE “PMFOL”</b>
	6-6	<b>DEFINER</b> - See IRM 2.3.53.2.1.
	7-7	<b>TIN TYPE</b> - See IRM 2.3.53.2.2.
	8-16	<b>TIN</b> - See IRM 2.3.53.2.3.
	17-20	<b>TAX YEAR</b> - See IRM 2.3.53.2.4.
	21-22	<b>TRANSMITTAL CODE</b> - See IRM 2.3.53.2.5. Valid for Detail Page(s) Screen Request only. This field is also used as <b>PAGE NUMBER</b> for Summary Screen Request.
	23-24	<b>PAGE NUMBER</b> - See IRM 2.3.53.2.6. Valid for Detail Page(s) Screen Request only.
	58-67	Current date in MM-DD-YYYY format
	70-74	Current time in HH:MM format
2	1-40	<b>FIRST NAME LINE</b>
	51-61	<b>TIN</b> - Edited SSN or EIN. It may be displayed in reverse video. See IRM 2.3.53.5.2 for details.
	63-72	<b>TIN LINKED TAG</b> - Fixed literal of “LINKED” or “NOT LINKED”. See IRM 2.3.53.5.3 for details.
3	1-40	<b>SECOND NAME LINE</b>
	42-45	<b>NAME CONTROL</b>
	56-59	<b>TY-5 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	61-64	<b>TY-4 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	66-69	<b>TY-3 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	71-74	<b>TY-2 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	76-79	<b>TY-1 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
4	1-40	<b>STREET ADDRESS</b>
	56-59	<b>TY-5 WARNED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	61-64	<b>TY-4 WARNED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.

**Exhibit 2.3.53-3 (Cont. 2) (05-31-2024)**  
**PMFOL Error Response Screen**

Line	Position	Description and Validity
	66-69	<b>TY-3 WARNED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	71-74	<b>TY-2 WARNED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	76-79	<b>TY-1 WARNED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
5	1-27	<b>CITY</b>
	29-30	<b>STATE CODE</b>
	32-40	<b>ZIP CODE</b>
	43-44	<b>BOD CODE</b>
	56-59	<b>TY-5 PENALTY</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	61-64	<b>TY-4 PENALTY</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	66-69	<b>TY-3 PENALTY</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	71-74	<b>TY-2 PENALTY</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	76-79	<b>TY-1 PENALTY</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
6	1-55	<b>ERROR MESSAGE</b> - See IRM 2.3.53.4 for details. <b>Note:</b> Only one error message will be displayed either in line 6 or line 9.
7 to 8	1-80	Blanks.
9	5 - 79	<b>ERROR MESSAGE</b> - See IRM 2.3.53.4 for details. <b>Note:</b> Only one error message will be displayed either in line 6 or line 9.
10-24	1-80	Blanks.

## Exhibit 2.3.53-4 (05-31-2024)

## Summary Response Screen (First Page)

```

1 2 3 4 5 6 7 8
123456789012345678901234567890123456789012345678901234567890
1 XXXXXXXXXXXXXXXXXXXX MM-DD-YYYY HH:MM @MCC 1
2 XXXXXXXXXXXXXXXXXXXX N/C TIN XXXXXXXXXXX XXXXXXXXXX 2
3 XXXXXXXXXXXXXXXXXXXX XXXX YRS FILD XXXX XXXX XXXX XXXX 3
4 XXXXXXXXXXXXXXXXXXXX BOD YRS WARN XXXX XXXX XXXX XXXX 4
5 XXXXXXXXXXXXXXXXXXXX XX XXXXXXXXXX XX YRS PENL XXXX XXXX XXXX XXXX 5
6 DOC FILING ACTIVITY FOR TY XXXX RDD AS GROSS CIVIL PENALTIES 6
7 CODE PGS DOCUMENTS PAYMENTS WITHHELD W EXTND ELF PEN LATE PEN TIN PEN 7
8 DIV 91 XX XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX X MM/DD XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX 8
9 INT 92 XX XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX X MM/DD XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX 9
10 OID 96 XX XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX X MM/DD XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX 10
1 PATR 97 XX XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX X MM/DD XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX 1
2 MISC 95 XX XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX X MM/DD XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX 2
3 NEC (Before Tax Year 2020) MM/DD 3
3 RESERVED (Tax Year 2020 and 2021) 3
3 1-H 90 XX XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX X MM/DD XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX 3
4 W2G 32 XX XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX X MM/DD XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX 4
5 S 75 XX XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX X MM/DD XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX 5
6 B 79 XX XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX X MM/DD XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX 6
7 A 80 XX XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX X MM/DD XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX 7
8 1098 81 XX XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX X MM/DD XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX 8
9 G 86 XX XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX X MM/DD XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX 9
20 5498 28 XX XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX X MM/DD XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX 20
1 W2 21 XX XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX X MM/DD XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX 1
2 C 85 XX XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX X MM/DD XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX 2
3 ALL 00 XX XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX XXXXXXXXXX 3
4 ADD'L INFO ON PAGES (02/03) OF SUMMARY SCR CIV PEN PROPOSED - XXXXXXXXXXXXXXXXXX 4
123456789012345678901234567890123456789012345678901234567890
1 2 3 4 5 6 7 8

```

In line 13, continue to display “NEC” when Doc Code is 95 and 1099-MISC-NEC-Ind of PMF Payer Entity file is not blank before TY2020. Between TY2020 to TY2021, replace “NEC” with “RESERVED” starting at position 3 and blank out “MM/DD” in the same line. For TY2022 and beyond, use this line to display Doc Code 90, with literal “1-H”.

Note: Red text is not part of the screen display.

In line 21, display “W2” as “W2\*” when Civil-Penalty (E42) is due to W3.

**Exhibit 2.3.53-4 (Cont. 1) (05-31-2024)**  
**Summary Response Screen (First Page)**

Line	Position	Description and Validity
1	1-5	<b>COMMAND CODE “PMFOL”</b>
	6-6	<b>DEFINER</b> - See IRM 2.3.53.2.1.
	7-7	<b>TIN TYPE</b> - See IRM 2.3.53.2.2.
	8-16	<b>TIN</b> - See IRM 2.3.53.2.3.
	17-20	<b>TAX YEAR</b> - See IRM 2.3.53.2.4.
	21-22	<b>PAGE NUMBER</b> - See IRM 2.3.53.2.6.
	58-67	Current date in MM-DD-YYYY format
	70-74	Current time in HH:MM format
2	1-40	<b>FIRST NAME LINE</b>
	51-61	<b>TIN</b> - Edited SSN or EIN. It may be displayed in reverse video. See IRM 2.3.53.5.2 for details.
	63-72	<b>TIN LINKED TAG</b> - Fixed literal of “LINKED” or “NOT LINKED”. See IRM 2.3.53.5.3 for details.
3	1-40	<b>SECOND NAME LINE</b>
	42-45	<b>NAME CONTROL</b>
	56-59	<b>TY-5 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	61-64	<b>TY-4 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	66-69	<b>TY-3 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	71-74	<b>TY-2 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	76-79	<b>TY-1 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
4	1-40	<b>STREET ADDRESS</b>
	56-59	<b>TY-5 WARNED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	61-64	<b>TY-4 WARNED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	66-69	<b>TY-3 WARNED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	71-74	<b>TY-2 WARNED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.

**Exhibit 2.3.53-4 (Cont. 2) (05-31-2024)**  
**Summary Response Screen (First Page)**

Line	Position	Description and Validity
	76-79	<b>TY-1 WARNED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
5	1-27	<b>CITY</b>
	29-30	<b>STATE CODE</b>
	32-40	<b>ZIP CODE</b>
	43-44	<b>BOD CODE</b>
	56-59	<b>TY-5 PENALTY</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	61-64	<b>TY-4 PENALTY</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	66-69	<b>TY-3 PENALTY</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	71-74	<b>TY-2 PENALTY</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	76-79	<b>TY-1 PENALTY</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
6	39-42	<b>TAX YEAR REQUESTED</b>
7	1-80	Fixed literal, identifying the data in each column.
8	6-7	<b>TRANSMITTAL CODE "91" REQUESTS</b> 1099-DIV Docs
	9-10	<b>NO OF PAGES OF 1096 DOCS</b> (1099-DIV)
	13-21	<b>COUNT OF PAYEE DOCUMENTS</b> (1099-DIV)
	23-33	<b>PRINCIPAL PAYMENT AMOUNT</b> (1099-DIV)
	35-44	<b>WITHHOLDING AMOUNT</b> (1099-DIV)
	46-46	<b>WAIVER</b> (1099-DIV) "Y" or "N" for "Yes/No"
	48-52	<b>RDD AS EXTENDED</b> (1099-DIV)
	54-61	<b>ELECTRONIC PENALTY AMOUNT</b> (1099-DIV)
	63-70	<b>LATE PENALTY AMOUNT</b> (1099-DIV)
	72-79	<b>TIN PENALTY AMOUNT</b> (1099-DIV)
9	6-7	<b>TRANSMITTAL CODE "92" REQUESTS</b> 1099-INT Docs
	9-10	<b>NO OF PAGES OF 1096 DOCS</b> (1099-INT)
	13-21	<b>COUNT OF PAYEE DOCUMENTS</b> (1099-INT)
	23-33	<b>PRINCIPAL PAYMENT AMOUNT</b> (1099-INT)
	35-44	<b>WITHHOLDING AMOUNT</b> (1099-INT)

**Exhibit 2.3.53-4 (Cont. 3) (05-31-2024)**  
**Summary Response Screen (First Page)**

Line	Position	Description and Validity
	46-46	<b>WAIVER</b> (1099-INT) "Y" or "N" for "Yes/No"
	48-52	<b>RDD AS EXTENDED</b> (1099-INT)
	54-61	<b>ELECTRONIC PENALTY AMOUNT</b> (1099-INT)
	63-70	<b>LATE PENALTY AMOUNT</b> (1099-INT)
	72-79	<b>TIN PENALTY AMOUNT</b> (1099-INT)
10	6-7	<b>TRANSMITTAL CODE "96" REQUESTS</b> 1099-OID
	9-10	<b>NO OF PAGES OF 1096 DOCS</b> (1099-OID)
	13-21	<b>COUNT OF PAYEE DOCUMENTS</b> (1099-OID)
	23-33	<b>PRINCIPAL PAYMENT AMOUNT</b> (1099-OID)
	35-44	<b>WITHHOLDING AMOUNT</b> (1099-OID)
	46-46	<b>WAIVER</b> (1099-OID) "Y" or "N" for "Yes/No"
	48-52	<b>RDD AS EXTENDED</b> (1099-OID)
	54-61	<b>ELECTRONIC PENALTY AMOUNT</b> (1099-OID)
	63-70	<b>LATE PENALTY AMOUNT</b> (1099-OID)
	72-79	<b>TIN PENALTY AMOUNT</b> (1099-OID)
11	6-7	<b>TRANSMITTAL CODE "97" REQUESTS</b> 1099-PATR Docs
	9-10	<b>NO OF PAGES OF 1096 DOCS</b> (1099-PATR)
	13-21	<b>COUNT OF PAYEE DOCUMENTS</b> (1099-PATR)
	23-33	<b>PRINCIPAL PAYMENT AMOUNT</b> (1099-PATR)
	35-44	<b>WITHHOLDING AMOUNT</b> (1099-PATR)
	46-46	<b>WAIVER</b> (1099-PATR) "Y" or "N" for "Yes/No"
	48-52	<b>RDD AS EXTENDED</b> (1099-PATR)
	54-61	<b>ELECTRONIC PENALTY AMOUNT</b> (1099-PATR)
	63-70	<b>LATE PENALTY AMOUNT</b> (1099-PATR)
	72-79	<b>TIN PENALTY AMOUNT</b> (1099-PATR)
12	6-7	<b>TRANSMITTAL CODE "95" REQUESTS</b> 1099-MISC Docs
	9-10	<b>NO OF PAGES OF 1096 DOCS</b> (1099-MISC)
	13-21	<b>COUNT OF PAYEE DOCUMENTS</b> (1099-MISC)
	23-33	<b>PRINCIPAL PAYMENT AMOUNT</b> (1099-MISC)
	35-44	<b>WITHHOLDING AMOUNT</b> (1099-MISC)
	46-46	<b>WAIVER</b> (1099-MISC) "Y" or "N" for "Yes/No"

**Exhibit 2.3.53-4 (Cont. 4) (05-31-2024)**  
**Summary Response Screen (First Page)**

Line	Position	Description and Validity
	48-52	<b>RDD AS EXTENDED</b> (1099-MISC)
	54-61	<b>ELECTRONIC PENALTY AMOUNT</b> (1099-MISC)
	63-70	<b>LATE PENALTY AMOUNT</b> (1099-MISC)
	72-79	<b>TIN PENALTY AMOUNT</b> (1099-MISC)
<b>Note:</b> Line 13 for tax year prior to TY2020.		
13	3-5	<b>Literal “NEC”</b> for 1099-MISC with NEC.
	48-52	<b>RDD AS EXTENDED</b> (1099-MISC with NEC)
<b>Note:</b> Line 13 for TY2020 and TY2021.		
13	1-10	<b>Literal “RESERVED”</b> for TY2020 and TY2021.
	11-80	<b>Blanks</b> for TY2020 and TY2021.
<b>Note:</b> Line 13 for TY2022 and beyond for 1099-H, Doc Code 90.		
13	6-7	<b>TRANSMITTAL CODE “90” REQUESTS</b> 1099-H
	9-10	<b>NO OF PAGES OF 1096 DOCS</b> (1099-H)
	13-21	<b>COUNT OF PAYEE DOCUMENTS</b> (1099-H)
	23-33	<b>PRINCIPAL PAYMENT AMOUNT</b> (1099-H)
	35-44	<b>WITHHOLDING AMOUNT</b> (1099-H)
	46-46	<b>WAIVER</b> (1099-H) “Y” or “N” for “Yes/No”
	48-52	<b>RDD AS EXTENDED</b> (1099-H)
	54-61	<b>ELECTRONIC PENALTY AMOUNT</b> (1099-H)
	63-70	<b>LATE PENALTY AMOUNT</b> (1099-H)
	72-79	<b>TIN PENALTY AMOUNT</b> (1099-H)
14	6-7	<b>TRANSMITTAL CODE “32” REQUESTS</b> W-2G Docs
	9-10	<b>NO OF PAGES OF 1096 DOCS</b> (W-2G)
	13-21	<b>COUNT OF PAYEE DOCUMENTS</b> (W-2G)
	23-33	<b>PRINCIPAL PAYMENT AMOUNT</b> (W-2G)
	35-44	<b>WITHHOLDING AMOUNT</b> (W-2G)
	46-46	<b>WAIVER</b> (W-2G) “Y” or “N” for “Yes/No”
	48-52	<b>RDD AS EXTENDED</b> (W-2G)

**Exhibit 2.3.53-4 (Cont. 5) (05-31-2024)**  
**Summary Response Screen (First Page)**

Line	Position	Description and Validity
	54-61	<b>ELECTRONIC PENALTY AMOUNT (W-2G)</b>
	63-70	<b>LATE PENALTY AMOUNT (W-2G)</b>
	72-79	<b>TIN PENALTY AMOUNT (W-2G)</b>
15	6-7	<b>TRANSMITTAL CODE "75" REQUESTS 1099-S Docs</b>
	9-10	<b>NO OF PAGES OF 1096 DOCS (1099-S)</b>
	13-21	<b>COUNT OF PAYEE DOCUMENTS (1099-S)</b>
	23-33	<b>PRINCIPAL PAYMENT AMOUNT (1099-S)</b>
	35-44	<b>WITHHOLDING AMOUNT (1099-S)</b>
	46-46	<b>WAIVER (1099-S) "Y" or "N" for "Yes/No"</b>
	48-52	<b>RDD AS EXTENDED (1099-S)</b>
	54-61	<b>ELECTRONIC PENALTY AMOUNT (1099-S)</b>
	63-70	<b>LATE PENALTY AMOUNT (1099-S)</b>
	72-79	<b>TIN PENALTY AMOUNT (1099-S)</b>
16	6-7	<b>TRANSMITTAL CODE "79" REQUESTS 1099-B Docs</b>
	9-10	<b>NO OF PAGES OF 1096 DOCS (1099-B)</b>
	13-21	<b>COUNT OF PAYEE DOCUMENTS (1099-B)</b>
	23-33	<b>PRINCIPAL PAYMENT AMOUNT (1099-B)</b>
	35-44	<b>WITHHOLDING AMOUNT (1099-B)</b>
	46-46	<b>WAIVER (1099-B) "Y" or "N" for "Yes/No"</b>
	48-52	<b>RDD AS EXTENDED (1099-B)</b>
	54-61	<b>ELECTRONIC PENALTY AMOUNT (1099-B)</b>
	63-70	<b>LATE PENALTY AMOUNT (1099-B)</b>
	72-79	<b>TIN PENALTY AMOUNT (1099-B)</b>
17	6-7	<b>TRANSMITTAL CODE "80" REQUESTS 1099-A Docs</b>
	9-10	<b>NO OF PAGES OF 1096 DOCS (1099-A)</b>
	13-21	<b>COUNT OF PAYEE DOCUMENTS (1099-A)</b>
	23-33	<b>PRINCIPAL PAYMENT AMOUNT (1099-A)</b>
	35-44	<b>WITHHOLDING AMOUNT (1099-A)</b>
	46-46	<b>WAIVER (1099-A) "Y" or "N" for "Yes/No"</b>
	48-52	<b>RDD AS EXTENDED (1099-A)</b>
	54-61	<b>ELECTRONIC PENALTY AMOUNT (1099-A)</b>



**Exhibit 2.3.53-4 (Cont. 6) (05-31-2024)**  
**Summary Response Screen (First Page)**

Line	Position	Description and Validity
	63-70	LATE PENALTY AMOUNT (1099-A)
	72-79	TIN PENALTY AMOUNT (1099-A)
18	6-7	TRANSMITTAL CODE "81" REQUESTS 1098 Docs
	9-10	NO OF PAGES OF 1096 DOCS (1098)
	13-21	COUNT OF PAYEE DOCUMENTS (1098)
	23-33	PRINCIPAL PAYMENT AMOUNT (1098)
	35-44	WITHHOLDING AMOUNT (1098)
	46-46	WAIVER (1098) "Y" or "N" for "Yes/No"
	48-52	RDD AS EXTENDED (1098)
	54-61	ELECTRONIC PENALTY AMOUNT (1098)
	63-70	LATE PENALTY AMOUNT (1098)
	72-79	TIN PENALTY AMOUNT (1098)
19	6-7	TRANSMITTAL CODE "86" REQUESTS 1099-G Docs
	9-10	NO OF PAGES OF 1096 DOCS (1099-G)
	13-21	COUNT OF PAYEE DOCUMENTS (1099-G)
	23-33	PRINCIPAL PAYMENT AMOUNT (1099-G)
	35-44	WITHHOLDING AMOUNT (1099-G)
	46-46	WAIVER (1099-G) "Y" or "N" for "Yes/No"
	48-52	RDD AS EXTENDED (1099-G)
	54-61	ELECTRONIC PENALTY AMOUNT (1099-G)
	63-70	LATE PENALTY AMOUNT (1099-G)
	72-79	TIN PENALTY AMOUNT (1099-G)
20	6-7	TRANSMITTAL CODE "28" REQUESTS 5498 Docs
	9-10	NO OF PAGES OF 1096 DOCS (5498)
	13-21	COUNT OF PAYEE DOCUMENTS (5498)
	23-33	PRINCIPAL PAYMENT AMOUNT (5498)
	35-44	WITHHOLDING AMOUNT (5498)
	46-46	WAIVER (5498) "Y" or "N" for "Yes/No"
	48-52	RDD AS EXTENDED (5498)
	54-61	ELECTRONIC PENALTY AMOUNT (5498)
	63-70	LATE PENALTY AMOUNT (5498)

**Exhibit 2.3.53-4 (Cont. 7) (05-31-2024)**  
**Summary Response Screen (First Page)**

Line	Position	Description and Validity
	72-79	<b>TIN PENALTY AMOUNT (5498)</b>
21	6-7	<b>TRANSMITTAL CODE “21” REQUESTS W-2 Docs</b>
	9-10	<b>NO OF PAGES OF W-3 DOCS (W-2)</b>
	13-21	<b>COUNT OF PAYEE DOCUMENTS (W-2)</b>
	23-33	<b>PRINCIPAL PAYMENT AMOUNT (W-2)</b>
	35-44	<b>WITHHOLDING AMOUNT (W-2)</b>
	46-46	<b>WAIVER (W-2) “Y” or “N” or “Yes/No”</b>
	48-52	<b>RDD AS EXTENDED (W-2)</b>
	54-61	<b>ELECTRONIC PENALTY AMOUNT (W-2)</b>
	63-70	<b>LATE PENALTY AMOUNT (W-2)</b>
	72-79	<b>TIN PENALTY AMOUNT (W-2)</b>
22	6-7	<b>TRANSMITTAL CODE “85” REQUESTS 1099-C Docs</b>
	9-10	<b>NO OF PAGES OF 1096 DOCS (1099-C)</b>
	13-21	<b>COUNT OF PAYEE DOCUMENTS (1099-C)</b>
	23-33	<b>PRINCIPAL PAYMENT AMOUNT (1099-C)</b>
	35-44	<b>WITHHOLDING AMOUNT (1099-C)</b>
	46-46	<b>WAIVER (1099-C) “Y” or “N” for “Yes/No”</b>
	48-52	<b>RDD AS EXTENDED (1099-C)</b>
	54-61	<b>ELECTRONIC PENALTY AMOUNT (1099-C)</b>
	63-70	<b>LATE PENALTY AMOUNT (1099-C)</b>
	72-79	<b>TIN PENALTY AMOUNT (1099-C)</b>
23	6-7	<b>TRANSMITTAL CODE “00” REQUESTS ALL DOCS</b>
	9-10	<b>NO OF PAGES OF 1096 DOCS (ALL)</b>
	13-21	<b>COUNT OF PAYEE DOCUMENTS (ALL)</b>
	23-33	<b>PRINCIPAL PAYMENT AMOUNT (ALL)</b>
	35-44	<b>WITHHOLDING AMOUNT (ALL)</b>
	54-61	<b>ELECTRONIC PENALTY AMOUNT (ALL)</b>
	63-70	<b>LATE PENALTY AMOUNT (ALL)</b>
	72-79	<b>TIN PENALTY AMOUNT (ALL)</b>
24	65-79	<b>CIVIL PENALTY PROPOSED</b>

## Exhibit 2.3.53-5 (05-31-2024)

## Summary Response Screen (Second Page)

	1	2	3	4	5	6	7	8	
	12345678901	23456789012	34567890123	45678901234	56789012345	67890123456	78901234567	8901234567890	
1	PMFOLSINNNNNNNNNYYYY03								MM-DD-YYYY HH:MM @MCC
2	XX N/C								TIN XXXXXXXXXXXX XXXXXXXXXXXX
3	XX XXXX								YRS FILD XXXX XXXX XXXX XXXX XXXX
4	XX BOD								YRS WARN XXXX XXXX XXXX XXXX XXXX
5	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX XX XXXXXXXXXXXX XX								YRS PENL XXXX XXXX XXXX XXXX XXXX
6	DOC FILING ACTIVITY FOR TY XXXX								RDD AS GROSS CIVIL PENALTIES
7	CODE	PGS	DOCUMENTS	PAYMENTS	WITHHELD	W	EXTND	ELF	PEN LATE PEN TIN PEN
8	SA	94	XX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	X	MM/DD	XXXXXXXXXX XXXXXXXXXXXX XXXXXXXXXXXX
9	5MSA	27	XX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	X	MM/DD	XXXXXXXXXX XXXXXXXXXXXX XXXXXXXXXXXX
10	LTC	93	XX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	X	MM/DD	XXXXXXXXXX XXXXXXXXXXXX XXXXXXXXXXXX
1	8-T	83	XX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	X	MM/DD	XXXXXXXXXX XXXXXXXXXXXX XXXXXXXXXXXX
2	8-E	84	XX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	X	MM/DD	XXXXXXXXXX XXXXXXXXXXXX XXXXXXXXXXXX
3	1-S	02	XX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	X	MM/DD	XXXXXXXXXX XXXXXXXXXXXX XXXXXXXXXXXX
4	1-Q	31	XX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	X	MM/DD	XXXXXXXXXX XXXXXXXXXXXX XXXXXXXXXXXX
5	NEC	71	XX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	X	MM/DD	XXXXXXXXXX XXXXXXXXXXXX XXXXXXXXXXXX
6	5ESA	72	XX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	X	MM/DD	XXXXXXXXXX XXXXXXXXXXXX XXXXXXXXXXXX
7	1CAP	73	XX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	X	MM/DD	XXXXXXXXXX XXXXXXXXXXXX XXXXXXXXXXXX
8	8-C	78	XX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	X	MM/DD	XXXXXXXXXX XXXXXXXXXXXX XXXXXXXXXXXX
9	3921	25	XX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	X	MM/DD	XXXXXXXXXX XXXXXXXXXXXX XXXXXXXXXXXX
20	3922	26	XX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	X	MM/DD	XXXXXXXXXX XXXXXXXXXXXX XXXXXXXXXXXX
1	9-LS	16	XX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	X	MM/DD	XXXXXXXXXX XXXXXXXXXXXX XXXXXXXXXXXX
2	BTC	50	XX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	X	MM/DD	XXXXXXXXXX XXXXXXXXXXXX XXXXXXXXXXXX
3	ALL	00	XX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX			XXXXXXXXXX XXXXXXXXXXXX XXXXXXXXXXXX
4	ADD'L INFO ON PAGES (03/01) OF SUMMARY SCR								CIV PEN PROPOSED - XXXXXXXXXXXXXXXXXXXX
	12345678901	23456789012	34567890123	45678901234	56789012345	67890123456	78901234567	8901234567890	
	1	2	3	4	5	6	7	8	

Note: Prior to TY2020, doc code 71 is for 1099-H. When summary data for TY2019 or before is requested, the display of "NEC" in line 15 will be displayed as "1-H".

## Exhibit 2.3.53-5 (Cont. 1) (05-31-2024)

## Summary Response Screen (Second Page)

Line	Position	Description and Validity
1	1-5	<b>COMMAND CODE “PMFOL”</b>
	6-6	<b>DEFINER</b> - See IRM 2.3.53.2.1.
	7-7	<b>TIN TYPE</b> - See IRM 2.3.53.2.2.
	8-16	<b>TIN</b> - See IRM 2.3.53.2.3.
	17-20	<b>TAX YEAR</b> - See IRM 2.3.53.2.4.
	21-22	<b>PAGE NUMBER</b> - See IRM 2.3.53.2.6.
	58-67	Current date in MM-DD-YYYY format
	70-74	Current time in HH:MM format
2	1-40	<b>FIRST NAME LINE</b>
	51-61	<b>TIN</b> - Edited SSN or EIN. It may be displayed in reverse video. See IRM 2.3.53.5.2 for details.
	63-72	<b>TIN LINKED TAG</b> - Fixed literal of “LINKED” or “NOT LINKED”. See IRM 2.3.53.5.3 for details.
3	1-40	<b>SECOND NAME LINE</b>
	42-45	<b>NAME CONTROL</b>
	56-59	<b>TY-5 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	61-64	<b>TY-4 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	66-69	<b>TY-3 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	71-74	<b>TY-2 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	76-79	<b>TY-1 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
4	1-40	<b>STREET ADDRESS</b>
	56-59	<b>TY-5 WARNED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	61-64	<b>TY-4 WARNED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	66-69	<b>TY-3 WARNED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	71-74	<b>TY-2 WARNED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.

**Exhibit 2.3.53-5 (Cont. 2) (05-31-2024)**  
**Summary Response Screen (Second Page)**

Line	Position	Description and Validity
	76-79	<b>TY-1 WARNED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
5	1-27	<b>CITY</b>
	29-30	<b>STATE CODE</b>
	32-40	<b>ZIP CODE</b>
	43-44	<b>BOD CODE</b>
	56-59	<b>TY-5 PENALTY</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	61-64	<b>TY-4 PENALTY</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	66-69	<b>TY-3 PENALTY</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	71-74	<b>TY-2 PENALTY</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	76-79	<b>TY-1 PENALTY</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
6	39-42	<b>TAX YEAR REQUESTED</b>
7	1-80	Fixed literal, identifying the data in each column.
8	6-7	<b>TRANSMITTAL CODE "94" REQUESTS</b> 1099-SA Docs
	9-10	<b>NO OF PAGES OF 1096 DOCS</b> (1099-SA)
	13-21	<b>COUNT OF PAYEE DOCUMENTS</b> (1099-SA)
	23-33	<b>PRINCIPAL PAYMENT AMOUNT</b> (1099-SA)
	35-44	<b>WITHHOLDING AMOUNT</b> (1099-SA)
	46-46	<b>WAIVER</b> (1099-SA) "Y" or "N" for "Yes/No"
	48-52	<b>RDD AS EXTENDED</b> (1099-SA)
	54-61	<b>ELECTRONIC PENALTY AMOUNT</b> (1099-SA)
	63-70	<b>LATE PENALTY AMOUNT</b> (1099-SA)
	72-79	<b>TIN PENALTY AMOUNT</b> (1099-SA)
9	6-7	<b>TRANSMITTAL CODE "27" REQUESTS</b> 5498-MSA Docs
	9-10	<b>NO OF PAGES OF 1096 DOCS</b> (5498-MSA)
	13-21	<b>COUNT OF PAYEE DOCUMENTS</b> (5498-MSA)
	23-33	<b>PRINCIPAL PAYMENT AMOUNT</b> (5498-MSA)
	35-44	<b>WITHHOLDING AMOUNT</b> (5498-MSA)

## Exhibit 2.3.53-5 (Cont. 3) (05-31-2024)

## Summary Response Screen (Second Page)

Line	Position	Description and Validity
	46-46	<b>WAIVER</b> (5498-MSA) "Y" or "N" for "Yes/No"
	48-52	<b>RDD AS EXTENDED</b> (5498-MSA)
	54-61	<b>ELECTRONIC PENALTY AMOUNT</b> (5498-MSA)
	63-70	<b>LATE PENALTY AMOUNT</b> (5498-MSA)
	72-79	<b>TIN PENALTY AMOUNT</b> (5498-MSA)
10	6-7	<b>TRANSMITTAL CODE "93" REQUESTS</b> 1099-LTC Docs
	9-10	<b>NO OF PAGES OF 1096 DOCS</b> (1099-LTC)
	13-21	<b>COUNT OF PAYEE DOCUMENTS</b> (1099-LTC)
	23-33	<b>PRINCIPAL PAYMENT AMOUNT</b> (1099-LTC)
	35-44	<b>WITHHOLDING AMOUNT</b> (1099-LTC)
	46-46	<b>WAIVER</b> (1099-LTC) "Y" or "N" for "Yes/No"
	48-52	<b>RDD AS EXTENDED</b> (1099-LTC)
	54-61	<b>ELECTRONIC PENALTY AMOUNT</b> (1099-LTC)
	63-70	<b>LATE PENALTY AMOUNT</b> (1099-LTC)
	72-79	<b>TIN PENALTY AMOUNT</b> (1099-LTC)
11	6-7	<b>TRANSMITTAL CODE "83" REQUESTS</b> 1098-T Docs
	9-10	<b>NO OF PAGES OF 1096 DOCS</b> (1098-T)
	13-21	<b>COUNT OF PAYEE DOCUMENTS</b> (1098-T)
	23-33	<b>PRINCIPAL PAYMENT AMOUNT</b> (1098-T)
	35-44	<b>WITHHOLDING AMOUNT</b> (1098-T)
	46-46	<b>WAIVER</b> (1098-T) "Y" or "N" for "Yes/No"
	48-52	<b>RDD AS EXTENDED</b> (1098-T)
	54-61	<b>ELECTRONIC PENALTY AMOUNT</b> (1098-T)
	63-70	<b>LATE PENALTY AMOUNT</b> (1098-T)
	72-79	<b>TIN PENALTY AMOUNT</b> (1098-T)
12	6-7	<b>TRANSMITTAL CODE "84" REQUESTS</b> 1098-E Docs
	9-10	<b>NO OF PAGES OF 1096 DOCS</b> (1098-E)
	13-21	<b>COUNT OF PAYEE DOCUMENTS</b> (1098-E)
	23-33	<b>PRINCIPAL PAYMENT AMOUNT</b> (1098-E)
	35-44	<b>WITHHOLDING AMOUNT</b> (1098-E)
	46-46	<b>WAIVER</b> (1098-E) "Y" or "N" for "Yes/No"

**Exhibit 2.3.53-5 (Cont. 4) (05-31-2024)**  
**Summary Response Screen (Second Page)**

Line	Position	Description and Validity
	48-52	RDD AS EXTENDED (1098-E)
	54-61	ELECTRONIC PENALTY AMOUNT (1098-E)
	63-70	LATE PENALTY AMOUNT (1098-E)
	72-79	TIN PENALTY AMOUNT (1098-E)
13	6-7	TRANSMITTAL CODE "02" REQUESTS 1042-S Docs
	9-10	NO OF PAGES OF 1042-S DOCS (1042-S)
	13-21	COUNT OF PAYEE DOCUMENTS (1042-S)
	23-33	PRINCIPAL PAYMENT AMOUNT (1042-S)
	35-44	WITHHOLDING AMOUNT (1042-S)
	46-46	WAIVER (1042-S) "Y" or "N" for "Yes/No"
	48-52	RDD AS EXTENDED (1042-S)
	54-61	ELECTRONIC PENALTY AMOUNT (1042-S)
	63-70	LATE PENALTY AMOUNT (1042-S)
	72-79	TIN PENALTY AMOUNT (1042-S)
14	6-7	TRANSMITTAL CODE "31" REQUESTS 1099-Q Docs
	9-10	NO OF PAGES OF 1096 DOCS (1099-Q)
	13-21	COUNT OF PAYEE DOCUMENTS (1099-Q)
	23-33	PRINCIPAL PAYMENT AMOUNT (1099-Q)
	35-44	WITHHOLDING AMOUNT (1099-Q)
	46-46	WAIVER (1099-Q) "Y" or "N" for "Yes/No"
	48-52	RDD AS EXTENDED (1099-Q)
	54-61	ELECTRONIC PENALTY AMOUNT (1099-Q)
	63-70	LATE PENALTY AMOUNT (1099-Q)
	72-79	TIN PENALTY AMOUNT (1099-Q)
<b>Note:</b> For TY2020 and beyond, displays in line 15 is for 1099-NEC (Doc Code 71). When summary data is requested for TY2019 or before, the display literal is "1-H" and the data is for 1099-H which has the same Doc Code of 71.		
15	6-7	TRANSMITTAL CODE "71" REQUESTS 1099-NEC Docs
	9-10	NO OF PAGES OF 1096 DOCS (1099-NEC)
	13-21	COUNT OF PAYEE DOCUMENTS (1099-NEC)
	23-33	PRINCIPAL PAYMENT AMOUNT (1099-NEC)

## Exhibit 2.3.53-5 (Cont. 5) (05-31-2024)

## Summary Response Screen (Second Page)

Line	Position	Description and Validity
	35-44	<b>WITHHOLDING AMOUNT</b> (1099-NEC)
	46-46	<b>WAIVER</b> (1099-NEC) "Y" or "N" for "Yes/No"
	48-52	<b>RDD AS EXTENDED</b> (1099-NEC)
	54-61	<b>ELECTRONIC PENALTY AMOUNT</b> (1099-NEC)
	63-70	<b>LATE PENALTY AMOUNT</b> (1099-NEC)
	72-79	<b>TIN PENALTY AMOUNT</b> (1099-NEC)
16	6-7	<b>TRANSMITTAL CODE "72" REQUESTS</b> 5498-ESA Docs
	9-10	<b>NO OF PAGES OF 1096 DOCS</b> (5498-ESA)
	13-21	<b>COUNT OF PAYEE DOCUMENTS</b> (5498-ESA)
	23-33	<b>PRINCIPAL PAYMENT AMOUNT</b> (5498-ESA)
	35-44	<b>WITHHOLDING AMOUNT</b> (5498-ESA)
	46-46	<b>WAIVER</b> (5498-ESA) "Y" or "N" for "Yes/No"
	48-52	<b>RDD AS EXTENDED</b> (5498-ESA)
	54-61	<b>ELECTRONIC PENALTY AMOUNT</b> (5498-ESA)
	63-70	<b>LATE PENALTY AMOUNT</b> (5498-ESA)
	72-79	<b>TIN PENALTY AMOUNT</b> (5498-ESA)
17	6-7	<b>TRANSMITTAL CODE "73" REQUESTS</b> 1099-CAP Docs
	9-10	<b>NO OF PAGES OF 1096 DOCS</b> (1099-CAP)
	13-21	<b>COUNT OF PAYEE DOCUMENTS</b> (1099-CAP)
	23-33	<b>PRINCIPAL PAYMENT AMOUNT</b> (1099-CAP)
	35-44	<b>WITHHOLDING AMOUNT</b> (1099-CAP)
	46-46	<b>WAIVER</b> (1099-CAP) "Y" or "N" for "Yes/No"
	48-52	<b>RDD AS EXTENDED</b> (1099-CAP)
	54-61	<b>ELECTRONIC PENALTY AMOUNT</b> (1099-CAP)
	63-70	<b>LATE PENALTY AMOUNT</b> (1099-CAP)
	72-79	<b>TIN PENALTY AMOUNT</b> (1099-CAP)
18	6-7	<b>TRANSMITTAL CODE "78" REQUESTS</b> Form 1098-C Docs
	9-10	<b>NO OF PAGES OF 1096 DOCS</b> (1098-C)
	13-21	<b>COUNT OF PAYEE DOCUMENTS</b> (1098-C)
	23-33	<b>PRINCIPAL PAYMENT AMOUNT</b> (1098-C)
	35-44	<b>WITHHOLDING AMOUNT</b> (1098-C)



**Exhibit 2.3.53-5 (Cont. 6) (05-31-2024)**  
**Summary Response Screen (Second Page)**

Line	Position	Description and Validity
	46-46	<b>WAIVER</b> (1098-C) "Y" or "N" for "Yes/No"
	48-52	<b>RDD AS EXTENDED</b> (1098-C)
	54-61	<b>ELECTRONIC PENALTY AMOUNT</b> (1098-C)
	63-70	<b>LATE PENALTY AMOUNT</b> (1098-C)
	72-79	<b>TIN PENALTY AMOUNT</b> (1098-C)
19	6-7	<b>TRANSMITTAL CODE "25" REQUESTS</b> Form 3921 Docs
	9-10	<b>NO OF PAGES OF 1096 DOCS</b> (3921)
	13-21	<b>COUNT OF PAYEE DOCUMENTS</b> (3921)
	23-33	<b>PRINCIPAL PAYMENT AMOUNT</b> (3921)
	35-44	<b>WITHHOLDING AMOUNT</b> (3921)
	46-46	<b>WAIVER</b> (3921) "Y" or "N" for "Yes/No"
	48-52	<b>RDD AS EXTENDED</b> (3921)
	54-61	<b>ELECTRONIC PENALTY AMOUNT</b> (3921)
	63-70	<b>LATE PENALTY AMOUNT</b> (3921)
	72-79	<b>TIN PENALTY AMOUNT</b> (3921)
20	6-7	<b>TRANSMITTAL CODE "26" REQUESTS</b> Form 3922 Docs
	9-10	<b>NO OF PAGES OF 1096 DOCS</b> (3922)
	13-21	<b>COUNT OF PAYEE DOCUMENTS</b> (3922)
	23-33	<b>PRINCIPAL PAYMENT AMOUNT</b> (3922)
	35-44	<b>WITHHOLDING AMOUNT</b> (3922)
	46-46	<b>WAIVER</b> (3922) "Y" or "N" for "Yes/No"
	48-52	<b>RDD AS EXTENDED</b> (3922)
	54-61	<b>ELECTRONIC PENALTY AMOUNT</b> (3922)
	63-70	<b>LATE PENALTY AMOUNT</b> (3922)
	72-79	<b>TIN PENALTY AMOUNT</b> (3922)
21	6-7	<b>TRANSMITTAL CODE "16" REQUESTS</b> Form 1099-LS Docs
	9-10	<b>NO OF PAGES OF 1096 DOCS</b> (1099-LS)
	13-21	<b>COUNT OF PAYEE DOCUMENTS</b> (1099-LS)
	23-33	<b>PRINCIPAL PAYMENT AMOUNT</b> (1099-LS)
	35-44	<b>WITHHOLDING AMOUNT</b> (1099-LS)
	46-46	<b>WAIVER</b> (1099-LS) "Y" or "N" for "Yes/No"

## Exhibit 2.3.53-5 (Cont. 7) (05-31-2024)

## Summary Response Screen (Second Page)

Line	Position	Description and Validity
	48-52	RDD AS EXTENDED (1099-LS)
	54-61	ELECTRONIC PENALTY AMOUNT (1099-LS)
	63-70	LATE PENALTY AMOUNT (1099-LS)
	72-79	TIN PENALTY AMOUNT (1099-LS)
22	6-7	TRANSMITTAL CODE "50" REQUESTS Form 1097-BTC Docs
	9-10	NO OF PAGES OF 1096 DOCS (1097-BTC)
	13-21	COUNT OF PAYEE DOCUMENTS (1097-BTC)
	23-33	PRINCIPAL PAYMENT AMOUNT (1097-BTC)
	35-44	WITHHOLDING AMOUNT (1097-BTC)
	46-46	WAIVER (1097-BTC) "Y" or "N" for "Yes/No"
	48-52	RDD AS EXTENDED (1097-BTC)
	54-61	ELECTRONIC PENALTY AMOUNT (1097-BTC)
	63-70	LATE PENALTY AMOUNT (1097-BTC)
	72-79	TIN PENALTY AMOUNT (1097-BTC)
23	6-7	TRANSMITTAL CODE "00" REQUESTS ALL Docs
	9-10	NO OF PAGES OF 1096 DOCS (ALL)
	13-21	COUNT OF PAYEE DOCUMENTS (ALL)
	23-33	PRINCIPAL PAYMENT AMOUNT (ALL)
	35-44	WITHHOLDING AMOUNT (ALL)
	54-61	ELECTRONIC PENALTY AMOUNT (ALL)
	63-70	LATE PENALTY AMOUNT (ALL)
	72-79	TIN PENALTY AMOUNT (ALL)
24	65-79	CIVIL PENALTY PROPOSED

## Exhibit 2.3.53-6 (05-31-2024)

## Summary Response Screen (Third Page)

	1	2	3	4	5	6	7	8	
	1234567890123456789012345678901234567890123456789012345678901234567890								
1	PMFOLSINNNNNNNNNNNYYYY01					MM-DD-YYYY	HH:MM	@MCC	1
2	XX	N/C	TIN	XXXXXXXXXXXX	XXXXXXXXXXXX				2
3	XX	XXXX	YRS	FILD	XXXX	XXXX	XXXX	XXXX	3
4	XX	BOD	YRS	WARN	XXXX	XXXX	XXXX	XXXX	4
5	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX XX	XXXXXXXXXX	XX	YRS	PENL	XXXX	XXXX	XXXX	5
6	DOC	FILING ACTIVITY FOR TY	XXXX	RDD AS	GROSS CIVIL PENALTIES				6
7	CODE PGS	DOCUMENTS	PAYMENTS	WITHHELD W	EXTND	ELF	PEN	LATE PEN	7
8	1-K 10 XX	XXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX	X	MM/DD	XXXXXXXXXX	XXXXXXXXXX	8
9	8-Q 74 XX	XXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX	X	MM/DD	XXXXXXXXXX	XXXXXXXXXX	9
10	R 98 XX	XXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX	X	MM/DD	XXXXXXXXXX	XXXXXXXXXX	10
1	5-B 56 XX	XXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX	X	MM/XX	XXXXXXXXXX	XXXXXXXXXX	1
2	5-C 60 XX	XXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX	X	MM/DD	XXXXXXXXXX	XXXXXXXXXX	2
3	9-QA 1A XX	XXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX	X	MM/DD	XXXXXXXXXX	XXXXXXXXXX	3
4	8-QA 2A XX	XXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX	X	MM/DD	XXXXXXXXXX	XXXXXXXXXX	4
5	9-SB 43 XX	XXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX	X	MM/DD	XXXXXXXXXX	XXXXXXXXXX	5
6	8-F 03 XX	XXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX	X	MM/DD	XXXXXXXXXX	XXXXXXXXXX	6
7	ALL 00 XX	XXXXXXXXXX	XXXXXXXXXXXX	XXXXXXXXXXXX			XXXXXXXXXX	XXXXXXXXXX	7
8									8
9	PENALTY REFERENCE CODE	- XXX	XXXXXXXXXXXX						9
20	PENALTY INFO	-	XX						20
1	CIVIL PENALTY GROSS	-	XXXXXXXXXXXX						1
2	CIVIL PENALTY PROPOSED	-	XXXXXXXXXXXX						2
3	NAICS	-	XXXXXX						3
4	ADD'L INFORMATION ON PAGES (01/02) OF SUMMARY SCR								4
	1234567890123456789012345678901234567890123456789012345678901234567890								
	1	2	3	4	5	6	7	8	

**Exhibit 2.3.53-6 (Cont. 1) (05-31-2024)**  
**Summary Response Screen (Third Page)**

Line	Position	Description and Validity
1	1-5	<b>COMMAND CODE “PMFOL”</b>
	6-6	<b>DEFINER</b> - See IRM 2.3.53.2.1.
	7-7	<b>TIN TYPE</b> - See IRM 2.3.53.2.2.
	8-16	<b>TIN</b> - See IRM 2.3.53.2.3.
	17-20	<b>TAX YEAR</b> - See IRM 2.3.53.2.4.
	21-22	<b>PAGE NUMBER</b> - See IRM 2.3.53.2.6.
	58-67	Current date in MM-DD-YYYY format
	70-74	Current time in HH:MM format
2	1-40	<b>FIRST NAME LINE</b>
	51-61	<b>TIN</b> - Edited SSN or EIN. It may be displayed in reverse video. See IRM 2.3.53.5.2 for details.
	63-72	<b>TIN LINKED TAG</b> - Fixed literal of “LINKED” or “NOT LINKED”. See IRM 2.3.53.5.3 for details.
3	1-40	<b>SECOND NAME LINE</b>
	42-45	<b>NAME CONTROL</b>
	56-59	<b>TY-5 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	61-64	<b>TY-4 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	66-69	<b>TY-3 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	71-74	<b>TY-2 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	76-79	<b>TY-1 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
4	1-40	<b>STREET ADDRESS</b>
	56-59	<b>TY-5 WARNED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	61-64	<b>TY-4 WARNED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	66-69	<b>TY-3 WARNED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	71-74	<b>TY-2 WARNED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.

**Exhibit 2.3.53-6 (Cont. 2) (05-31-2024)**  
**Summary Response Screen (Third Page)**

	76-79	<b>TY-1 WARNED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
5	1-27	<b>CITY</b>
	29-30	<b>STATE CODE</b>
	32-40	<b>ZIP CODE</b>
	43-44	<b>BOD CODE</b>
	56-59	<b>TY-5 PENALTY</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	61-64	<b>TY-4 PENALTY</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	66-69	<b>TY-3 PENALTY</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	71-74	<b>TY-2 PENALTY</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	76-79	<b>TY-1 PENALTY</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
6	39-42	<b>TAX YEAR REQUESTED</b>
7	1-80	Fixed literal, identifying the data in each column.
8	6-7	<b>TRANSMITTAL CODE "10" REQUESTS</b> Form 1099-K Docs
	9-10	<b>NO OF PAGES OF 1096 DOCS</b> (1099-K)
	13-21	<b>COUNT OF PAYEE DOCUMENTS</b> (1099-K)
	23-33	<b>PRINCIPAL PAYMENT AMOUNT</b> (1099-K)
	35-44	<b>WITHHOLDING AMOUNT</b> (1099-K)
	46-46	<b>WAIVER</b> (1099-K) "Y" or "N" for "Yes/No"
	48-52	<b>RDD AS EXTENDED</b> (1099-K)
	54-61	<b>ELECTRONIC PENALTY AMOUNT</b> (1099-K)
	63-70	<b>LATE PENALTY AMOUNT</b> (1099-K)
	72-79	<b>TIN PENALTY AMOUNT</b> (1099-K)
9	6-7	<b>TRANSMITTAL CODE "74" REQUESTS</b> Form 1098-Q Docs
	9-10	<b>NO OF PAGES OF 1096 DOCS</b> (1098-Q)
	13-21	<b>COUNT OF PAYEE DOCUMENTS</b> (1098-Q)
	23-33	<b>PRINCIPAL PAYMENT AMOUNT</b> (1098-Q)

**Exhibit 2.3.53-6 (Cont. 3) (05-31-2024)**  
**Summary Response Screen (Third Page)**

	35-44	<b>WITHHOLDING AMOUNT (1098-Q)</b>
	46-46	<b>WAIVER (1098-Q) "Y" or "N" for "Yes/No"</b>
	48-52	<b>RDD AS EXTENDED (1098-Q)</b>
	54-61	<b>ELECTRONIC PENALTY AMOUNT (1098-Q)</b>
	63-70	<b>LATE PENALTY AMOUNT (1098-Q)</b>
	72-79	<b>TIN PENALTY AMOUNT (1098-Q)</b>
10	6-7	<b>TRANSMITTAL CODE "98" REQUESTS</b> Form 1099-R Docs
	9-10	<b>NO OF PAGES OF 1096 DOCS (1099-R)</b>
	13-21	<b>COUNT OF PAYEE DOCUMENTS (1099-R)</b>
	23-33	<b>PRINCIPAL PAYMENT AMOUNT (1099-R)</b>
	35-44	<b>WITHHOLDING AMOUNT (1099-R)</b>
	46-46	<b>WAIVER (1099-R) "Y" or "N" for "Yes/No"</b>
	48-52	<b>RDD AS EXTENDED (1099-R)</b>
	54-61	<b>ELECTRONIC PENALTY AMOUNT (1099-R)</b>
	63-70	<b>LATE PENALTY AMOUNT (1099-R)</b>
	72-79	<b>TIN PENALTY AMOUNT (1099-R)</b>
11	6-7	<b>TRANSMITTAL CODE "56" REQUESTS</b> Form 1095-B Docs
	9-10	<b>NO OF PAGES OF 1094-B DOCS (1095-B)</b>
	13-21	<b>COUNT OF PAYEE DOCUMENTS (1095-B)</b>
	23-33	<b>PRINCIPAL PAYMENT AMOUNT (1095-B)</b>
	35-44	<b>WITHHOLDING AMOUNT (1095-B)</b>
	46-46	<b>WAIVER (1095-B) "Y" or "N" for "Yes/No"</b>
	48-52	<b>RDD AS EXTENDED (1095-B)</b>
	54-61	<b>ELECTRONIC PENALTY AMOUNT (1095-B)</b>
	63-70	<b>LATE PENALTY AMOUNT (1095-B)</b>
	72-79	<b>TIN PENALTY AMOUNT (1095-B)</b>
12	6-7	<b>TRANSMITTAL CODE "60" REQUESTS</b> Form 1095-C Docs
	9-10	<b>NO OF PAGES OF 1094-C DOCS (1095-C)</b>
	13-21	<b>COUNT OF PAYEE DOCUMENTS (1095-C)</b>
	23-33	<b>PRINCIPAL PAYMENT AMOUNT (1095-C)</b>
	35-44	<b>WITHHOLDING AMOUNT (1095-C)</b>

**Exhibit 2.3.53-6 (Cont. 4) (05-31-2024)**  
**Summary Response Screen (Third Page)**

	46-46	<b>WAIVER (1095-C) “Y” or “N” for “Yes/No”</b>
	48-52	<b>RDD AS EXTENDED (1095-C)</b>
	54-61	<b>ELECTRONIC PENALTY AMOUNT (1095-C)</b>
	63-70	<b>LATE PENALTY AMOUNT (1095-C)</b>
	72-79	<b>TIN PENALTY AMOUNT (1095-C)</b>
13	6-7	<b>TRANSMITTAL CODE “1A” REQUESTS</b> Form 1099-QA Docs
	9-10	<b>NO OF PAGES OF 1096 DOCS (1099-QA)</b>
	13-21	<b>COUNT OF PAYEE DOCUMENTS (1099-QA)</b>
	23-33	<b>PRINCIPAL PAYMENT AMOUNT (1099-QA)</b>
	35-44	<b>WITHHOLDING AMOUNT (1099-QA)</b>
	46-46	<b>WAIVER (1099-QA) “Y” or “N” for “Yes/No”</b>
	48-52	<b>RDD AS EXTENDED (1099-QA)</b>
	54-61	<b>ELECTRONIC PENALTY AMOUNT (1099-QA)</b>
	63-70	<b>LATE PENALTY AMOUNT (1099-QA)</b>
	72-79	<b>TIN PENALTY AMOUNT (1099-QA)</b>
14	6-7	<b>TRANSMITTAL CODE “2A” REQUESTS</b> Form 5498-QA Docs
	9-10	<b>NO OF PAGES OF 1096 DOCS (5498-QA)</b>
	13-21	<b>COUNT OF PAYEE DOCUMENTS (5498-QA)</b>
	23-33	<b>PRINCIPAL PAYMENT AMOUNT (5498-QA)</b>
	35-44	<b>WITHHOLDING AMOUNT (5498-QA)</b>
	46-46	<b>WAIVER (5498-QA) “Y” or “N” for “Yes/No”</b>
	48-52	<b>RDD AS EXTENDED (5498-QA)</b>
	54-61	<b>ELECTRONIC PENALTY AMOUNT (5498-QA)</b>
	63-70	<b>LATE PENALTY AMOUNT (5498-QA)</b>
	72-79	<b>TIN PENALTY AMOUNT (5498-QA)</b>
15	6-7	<b>TRANSMITTAL CODE “43” REQUESTS</b> 1099-SB Docs
	9-10	<b>NO OF PAGES OF 1096 DOCS (1099-SB)</b>
	13-21	<b>COUNT OF PAYEE DOCUMENTS (1099-SB)</b>
	23-33	<b>PRINCIPAL PAYMENT AMOUNT (1099-SB)</b>
	35-44	<b>WITHHOLDING AMOUNT (1099-SB)</b>
	46-46	<b>WAIVER (1099-SB) “Y” or “N” for “Yes/No”</b>

**Exhibit 2.3.53-6 (Cont. 5) (05-31-2024)**  
**Summary Response Screen (Third Page)**

	48-52	<b>RDD AS EXTENDED</b> (1099-SB)
	54-61	<b>ELECTRONIC PENALTY AMOUNT</b> (1099-SB)
	63-70	<b>LATE PENALTY AMOUNT</b> (1099-SB)
	72-79	<b>TIN PENALTY AMOUNT</b> (1099-SB)
16	6-7	<b>TRANSMITTAL CODE "03" REQUESTS</b> 1098-F Docs
	9-10	<b>NO OF PAGES OF 1096 DOCS</b> (1098-F)
	13-21	<b>COUNT OF PAYEE DOCUMENTS</b> (1098-F)
	23-33	<b>PRINCIPAL PAYMENT AMOUNT</b> (1098-F)
	35-44	<b>WITHHOLDING AMOUNT</b> (1098-F)
	46-46	<b>WAIVER</b> (1098-F) "Y" or "N" for "Yes/No"
	48-52	<b>RDD AS EXTENDED</b> (1098-F)
	54-61	<b>ELECTRONIC PENALTY AMOUNT</b> (1098-F)
	63-70	<b>LATE PENALTY AMOUNT</b> (1098-F)
	72-79	<b>TIN PENALTY AMOUNT</b> (1098-F)
17	6-7	<b>TRANSMITTAL CODE "00" REQUESTS ALL DOCS</b>
	9-10	<b>NO OF PAGES OF 1096 DOCS</b> (ALL)
	13-21	<b>COUNT OF PAYEE DOCUMENTS</b> (ALL)
	23-33	<b>PRINCIPAL PAYMENT AMOUNT</b> (ALL)
	35-44	<b>WITHHOLDING AMOUNT</b> (ALL)
	54-61	<b>ELECTRONIC PENALTY AMOUNT</b> (ALL)
	63-70	<b>LATE PENALTY AMOUNT</b> (ALL)
	72-79	<b>TIN PENALTY AMOUNT</b> (ALL)
19	1-24	Fixed Literal: " <b>PENALTY REFERENCE CODE</b> - ".
	26-28	<b>PENALTY CODE</b>
	30-42	<b>PENALTY LITERAL</b>
20	1-15	Fixed Literal: " <b>PENALTY INFO</b> - ".
	16-54	<b>PENALTY INFORMATION</b> Upon to four literal will be displayed. See IRM 2.3.53.7 for details.
21	1-25	Fixed Literal: " <b>CIVIL PENALTY GROSS</b> - ".
	26-40	<b>CIVIL PENALTY GROSS AMOUNT</b>
22	1-25	Fixed Literal: " <b>CIVIL PENALTY PROPOSED</b> - ".



**Exhibit 2.3.53-6 (Cont. 6) (05-31-2024)**  
**Summary Response Screen (Third Page)**

	26-40	<b>CIVIL PENALTY PROPOSED AMOUNT</b>
23	1-26	Fixed Literal: <b>"NAICS"</b> .
	27- 31	The display may be blank if no information is available.
24	1 - 49	Fixed literal: <b>"ADD'L INFORMATION ON PAGES (01/02) OF SUMMARY SCR"</b> .

Exhibit 2.3.53-7 (05-31-2024)  
Detail Page(s) Response Screen

1	2	3	4	5	6	7	8
1234567890123456789012345678901234567890123456789012345678901234567890							
1	PMFOLDINNNNNNNNNYYYYNNPN				MM-DD-YYYY	HH:MM @MCC	1
2	XX			FILED	XXXX XXXX XXXX XXXX XXXX		2
3							3
4	POSTING TIN XXXXXXXXXXXX XXXXXXXXXXXXXXXX TIN XXXXXXXXXXXXXXXXxxxxxxx				XXX XX		4
5	ORIGINAL TIN XXXXXXXXXXXX N/C XXXX XXXX XXXXXXXX OTTL XXXXXXXX ATTL XXXXXXXX						5
6	XX DTE RECD OSBJ XXXXXXXX ASBJ XXXXXXXX						6
7	XX XXXXXXXX OELF XXXXXXXX AELF XXXXXXXX						7
8	XX TCC OLAT XXXXXXXX ALAT XXXXXXXX						8
9	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX XX XXXXXXXX XXXXXXXX OTIN XXXXXXXX ATIN XXXXXXXX						9
10	TRANSMITTAL DLN XXXXXXXXXXXXXXXX XXXXXXXX PYMNTS XXXXXXXX WITH XXXXXXXX						10
1	ALE XXXXXXXXXXXX NL XX				PARTNERS XXXXXX		1
2							2
3							3
4							4
5	POSTING TIN XXXXXXXXXXXX XXXXXXXXXXXXXXXX TIN XXXXXXXXXXXXXXXXxxxxxxx				XXX XX		5
6	ORIGINAL TIN XXXXXXXXXXXX N/C XXXX XXXX XXXXXXXX OTTL XXXXXXXX ATTL XXXXXXXX						6
7	XX DTE RECD OSBJ XXXXXXXX ASBJ XXXXXXXX						7
8	XX XXXXXXXX OELF XXXXXXXX AELF XXXXXXXX						8
9	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX TCC OLAT XXXXXXXX ALAT XXXXXXXX						9
20	XXXXXXXXXXXXXXXXXXXXXXXXXXXX XX XXXXXXXX XXXXXXXX OTIN XXXXXXXX ATIN XXXXXXXX						20
1	TRANSMITTAL DLN XXXXXXXXXXXXXXXX XXXXXXXX PYMNTS XXXXXXXX WITH XXXXXXXX						1
2	ALE XXXXXXXXXXXX NLXXX				PARTNERS XXXXXX		2
3							3
4							4
					PAGE XX OF XX		
1234567890123456789012345678901234567890123456789012345678901234567890							
1	2	3	4	5	6	7	8

## Exhibit 2.3.53-7 (Cont. 1) (05-31-2024)

## Detail Page(s) Response Screen

Line:	Position:	Description and Validity:
1	1-5	<b>COMMAND CODE “PMFOL”</b>
	6-6	<b>DEFINER</b> - See IRM 2.3.53.2.1.
	7-7	<b>TIN TYPE</b> - See IRM 2.3.53.2.2.
	8-16	<b>TIN</b> - See IRM 2.3.53.2.3.
	17-20	<b>TAX YEAR</b> - See IRM 2.3.53.2.4.
	21-22	<b>TRANSMITTAL CODE</b> - See IRM 2.3.53.2.5.
	23-24	<b>PAGE NUMBER</b> - See IRM 2.3.53.2.6.
	58-67	Current date in MM-DD-YYYY format
	70-74	Current time in HH:MM format
2	1-40	<b>FIRST NAME LINE</b>
	56-59	<b>TY-5 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	61-64	<b>TY-4 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	66-69	<b>TY-3 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	71-74	<b>TY-2 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	76-79	<b>TY-1 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
4	14-24	<b>POSTING TIN</b>
	26-40	<b>DOCUMENT SOURCE R Literal:</b> “REMOVED - DUPLC”, “REMOVED - VCSP2C”, “REMOVED BADCTW”, “REMOVED - UNKWN” or blanks.
	46–56	<b>ACCOUNT TIN</b>
	57-65	<b>AMENDED Literal:</b> “*REPLACED” or blanks.
	71-73	<b>Chapter 3/4 Literal:</b> “CH3”, “CH4”, “CHB”, “CH_”, “NEC” or blanks.
	75–76	<b>STATUS CODE:</b> Two digit number or blanks.
5	14–24	<b>ORIGINAL TIN</b>
	30–33	<b>NAME CONTROL</b>
	36–40	<b>Media Type Literal:</b> “PAPER”, “ELF ”, “?P/T?” or blanks.
	42-49	<b>DOCUMENT LITERAL</b>
	56–64	<b>ORIGINAL PAYEE DOCUMENTS COUNT</b>

## Exhibit 2.3.53-7 (Cont. 2) (05-31-2024)

## Detail Page(s) Response Screen

Line:	Position:	Description and Validity:
	70–70	<b>AMENDED PAYEE DOCUMENTS REPLACEMENT INDICATOR:</b> “*” or blank.
	71–79	<b>AMENDED PAYEE DOCUMENTS COUNT</b>
6	1–40	<b>FIRST NAME LINE</b>
	56–64	<b>ORIGINAL PAYEE DOCUMENTS SUBJECT TO PENALTY COUNT</b>
	70–70	<b>AMENDED PAYEE DOCUMENTS SUBJECT TO PENALTY REPLACEMENT INDICATOR:</b> “*” or blank.
	71–79	<b>AMENDED PAYEE DOCUMENTS SUBJECT TO PENALTY COUNT</b>
7	1–40	<b>SECOND NAME LINE</b>
	42–49	<b>RECEIVED DATE</b> in MMDDYYYY format.
	56–64	<b>ORIGINAL ELECTRONIC MEDIA DOCUMENTS SUBJECT TO PENALTY COUNT</b>
	70–70	<b>AMENDED ELECTRONIC MEDIA DOCUMENTS SUBJECT TO PENALTY REPLACEMENT INDICATOR:</b> “*” or blank.
	71–79	<b>AMENDED ELECTRONIC MEDIA DOCUMENTS SUBJECT TO PENALTY COUNT</b>
8	1–40	<b>STREET ADDRESS</b>
	56–64	<b>ORIGINAL DOCUMENTS SUBJECT TO LATE PENALTY COUNT</b>
	70–70	<b>AMENDED DOCUMENTS SUBJECT TO LATE PENALTY REPLACEMENT INDICATOR:</b> “*” or blank.
	71–79	<b>AMENDED DOCUMENTS SUBJECT TO LATE PENALTY COUNT</b>
9	1–27	<b>CITY</b>
	29–30	<b>STATE CODE</b>
	32–40	<b>ZIP CODE</b>
	42–48	<b>ELECTRONIC MEDIA TRANSMITTER CONTROL CODE</b>
	56–64	<b>ORIGINAL DOCUMENTS SUBJECT TO TIN PENALTY COUNT</b>
	70–70	<b>AMENDED DOCUMENTS SUBJECT TO TIN PENALTY REPLACEMENT INDICATOR:</b> “*” or blank.
	71–79	<b>AMENDED DOCUMENTS SUBJECT TO TIN PENALTY COUNT</b>
10	17–33	<b>TRANSMITTAL DLN</b>
	36–43	<b>UNPOSTED/REMOVED LITERAL:</b> “UNPOSTED”, “REMOVED” or blanks.

## Exhibit 2.3.53-7 (Cont. 3) (05-31-2024)

## Detail Page(s) Response Screen

Line:	Position:	Description and Validity:
	53-63	<b>PRINCIPLE PAYMENT AMOUNT</b>
	70-79	<b>WITHHOLDING AMOUNT</b>
11	1-3	Fixed Literal: <b>"ALE"</b> .
	5-15	Formatted ALE SSN or EIN. Blanks if not available.
	18-19	Fixed Literal: <b>"NL"</b> .
	21-61	Name line of ALE. Blanks if not available.
	65-73	Fixed Literal: <b>"PARTNERS"</b> .
	74-79	Six digit number, or blanks if zero.
	<b>Note:</b> If both ALE TIN and Name Line are blanks, the entire line is displayed as blanks. Display of <b>"PARTNERS"</b> is for 1042-S only.	
15-22	<b>Note:</b> These lines are used to display second set of Form 1096 data, same as lines 4 to 11. If no data is available, these lines will be blank.	
24	35-47	The literal <b>"PAGE cp OF tp"</b> where <b>"cp"</b> is current page number and <b>"tp"</b> is the total number of pages for this request.

Exhibit 2.3.53-8 (05-31-2024)  
Detail Page Error Response Screen

	1	2	3	4	5	6	7	8	
	12345678901	2345678901	2345678901	2345678901	2345678901	2345678901	2345678901	234567890	
1	PMFOLDINNNNNNNNNNNYYYYNNPN					MM-DD-YYYY	HH:MM	@MCC	1
2	XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX				FILED	XXXX	XXXX	XXXX XXXX XXXX	2
3									3
4									4
5									5
6	THE REQUESTED INDIVIDUAL TRANSMITTAL DOCUMENT DISPLAY IS NOT AVAILABLE								6
7									7
8									8
9									9
10									10
1									1
2									2
3									3
4									4
5									5
6									6
7									7
8									8
9									9
20									20
1									1
2									2
3									3
4									4
	12345678901	2345678901	2345678901	2345678901	2345678901	2345678901	2345678901	234567890	
	1	2	3	4	5	6	7	8	

## Exhibit 2.3.53-8 (Cont. 1) (05-31-2024)

## Detail Page Error Response Screen

Line:	Position:	Description and Validity:
1	1-5	<b>COMMAND CODE “PMFOL”</b>
	6-6	<b>DEFINER</b> - See IRM 2.3.53.2.1.
	7-7	<b>TIN TYPE</b> - See IRM 2.3.53.2.2.
	8-16	<b>TIN</b> - See IRM 2.3.53.2.3.
	17-20	<b>TAX YEAR</b> - See IRM 2.3.53.2.4.
	21-22	<b>TRANSMITTAL CODE</b> - See IRM 2.3.53.2.5.
	23-24	<b>PAGE NUMBER</b> - See IRM 2.3.53.2.6.
	58-67	Current date in MM-DD-YYYY format
	70-74	Current time in HH:MM format
2	1-40	<b>FIRST NAME LINE</b>
	56-59	<b>TY-5 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	61-64	<b>TY-4 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	66-69	<b>TY-3 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	71-74	<b>TY-2 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	76-79	<b>TY-1 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
3	46-56	<b>Account Tin</b>
4-24	1-80	Blanks line and fixed informative literals as shown is screen layout.

Exhibit 2.3.53-9 (05-31-2024)  
Backup Withholding Response Screen

	1	2	3	4	5	6	7	8	
	1234567890123456789012345678901234567890123456789012345678901234567890								
1	PMFOLBINNNNNNNNNYYYY					MM-DD-YYYY	HH:MM	@MCC	1
2	XX	N/C	TIN	XXXXXXXXXXXX	XXXXXXXXXXXX				2
3	XX	XXXX	YRS	FILD	XXXX	XXXX	XXXX	XXXX	3
4	XX	BOD	YRS	BK-W	XXXX	XXXX	XXXX	XXXX	4
5	XXXXXXXXXXXXXXXXXXXXXXXXXXXX XX	XXXXXXXXXX	XX	YRS	PENL	XXXX	XXXX	XXXX	5
6									6
7		TY2019	TY2020	TY2021	TY2022	TY2023			7
8									8
9	1.	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX			9
10									10
1	** 2.	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX			1
2									2
3	** 3.	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX			3
4									4
5	TOTAL	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX	XXXXXXXXXX			5
6									6
7	** FIGURES INCLUDE DOCUMENTS WITH AND WITHOUT BACKUP WITHHOLDING								7
8									8
9	1.	NO-TIN W/O WITHHOLD							9
20	2.	UNASSIGNED TIN							20
1	3.	MISMATCHED TIN							1
2									2
3	THE AMOUNTS ABOVE DO NOT REFLECT TOTALS FROM MERGED ACCOUNTS.								3
4									4
	1234567890123456789012345678901234567890123456789012345678901234567890								
	1	2	3	4	5	6	7	8	



**Exhibit 2.3.53-9 (Cont. 1) (05-31-2024)**  
**Backup Withholding Response Screen**

Line:	Position:	Description and Validity:
1	1-5	<b>COMMAND CODE “PMFOL”</b>
	6-6	<b>DEFINER</b> - See IRM 2.3.53.2.1.
	7-7	<b>TIN TYPE</b> - See IRM 2.3.53.2.2.
	8-16	<b>TIN</b> - See IRM 2.3.53.2.3.
	17-20	<b>TAX YEAR</b> - See IRM 2.3.53.2.4.
	58-67	Current date in MM-DD-YYYY format
	70-74	Current time in HH:MM format
2	1-40	<b>FIRST NAME LINE</b>
	51-61	<b>TIN</b> - Edited SSN or EIN. It may be displayed in reverse video. See IRM 2.3.53.5.2 for details.
	63-72	<b>TIN LINKED TAG</b> - Fixed literal of “LINKED” or “NOT LINKED”. See IRM 2.3.53.5.3 for details.
3	1-40	<b>SECOND NAME LINE</b>
	42-45	<b>NAME CONTROL</b>
	56-59	<b>TY-5 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	61-64	<b>TY-4 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	66-69	<b>TY-3 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	71-74	<b>TY-2 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	76-79	<b>TY-1 FILED</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
4	1-40	<b>STREET ADDRESS</b>
	56-59	<b>TY-5 BACKUP-WH</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	61-64	<b>TY-4 BACKUP-WH</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	66-69	<b>TY-3 BACKUP-WH</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	71-74	<b>TY-2 BACKUP-WH</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	76-79	<b>TY-1 BACKUP-WH</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.

**Exhibit 2.3.53-9 (Cont. 2) (05-31-2024)**  
**Backup Withholding Response Screen**

Line:	Position:	Description and Validity:
5	1-27	<b>CITY</b>
	29-30	<b>STATE CODE</b>
	32-40	<b>ZIP CODE</b>
	43-44	<b>BOD CODE</b>
	56-59	<b>TY-5 PENALTY</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	61-64	<b>TY-4 PENALTY</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	66-69	<b>TY-3 PENALTY</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	71-74	<b>TY-2 PENALTY</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
	76-79	<b>TY-1 PENALTY</b> - See IRM 2.3.53.2.4 for valid values. The display will be blank if no history is available.
9	14-23	<b>NO-TIN W/O WITHHOLDING COUNT</b> for TY-5.
	27-36	<b>NO-TIN W/O WITHHOLDING COUNT</b> for TY-4.
	40-49	<b>NO-TIN W/O WITHHOLDING COUNT</b> for TY-3
	53-62	<b>NO-TIN W/O WITHHOLDING COUNT</b> for TY-2
	66-75	<b>NO-TIN W/O WITHHOLDING COUNT</b> for TY-1.
11	14-23	<b>UNASSIGNED TIN COUNT</b> for TY-5.
	27-36	<b>UNASSIGNED TIN COUNT</b> for TY-4.
	40-49	<b>UNASSIGNED TIN COUNT</b> for TY-3
	53-62	<b>UNASSIGNED TIN COUNT</b> for TY-2.
	66-75	<b>UNASSIGNED TIN COUNT</b> for TY-1
13	14-23	<b>MISMATCHED TIN COUNT</b> for TY-5.
	27-36	<b>MISMATCHED TIN COUNT</b> for TY-4
	40-49	<b>MISMATCHED TIN COUNT</b> for TY-3
	53-62	<b>MISMATCHED TIN COUNT</b> for TY-2
	66-75	<b>MISMATCHED TIN COUNT</b> for TY-1
15	14-23	Sum of the counts in lines 9, 11 and 13 for TY-5.
	27-36	Sum of the counts in lines 9, 11 and 13 for TY-4.
	40-49	Sum of the counts in lines 9, 11 and 13 for TY-3.
	53-62	Sum of the counts in lines 9, 11 and 13 for TY-2.

**Exhibit 2.3.53-9 (Cont. 3) (05-31-2024)****Backup Withholding Response Screen**

<b>Line:</b>	<b>Position:</b>	<b>Description and Validity:</b>
	66–75	Sum of the counts in lines 9, 11 and 13 for TY-1.

