Form **5330** (Rev. December 2022)

Department of the Treasury Internal Revenue Service

Return of Excise Taxes Related to Employee Benefit Plans

(under sections 4965, 4971, 4972, 4973(a)(3), 4975, 4976, 4977, 4978, 4979, 4979A, 4980, and 4980F of the Internal Revenue Code)

Go to www.irs.gov/Form5330 for instructions and the latest information.

OMB No. 1545-0575

Filer t	ax year beginning , and ending				,			
Α	A Name of filer (see instructions) B Filer's identifier (see instructions)			s identifying number (Enter either the r SSN, but not both. See instructions.) byer identification number (EIN)				
	City or town, state or province, country, and ZIP or foreign postal code Social s				ecurity number (SSN)			
С	Name of plan E Plan spo				ısor's EIN			
D	D Name and address of plan sponsor F Plan year			ending (MM/DD/YYYY)				
Н	If this is an amended return , check here	Plan number	•					
Par	Taxes. You can only complete one section of Part I for each Form 5330 filed			ions				
	on A. Taxes that are reported by the last day of the 7th month after the end of the tax yellower (or other person who must file the return)	rear F	OR RS ISE NLY					
1	Section 4972 tax on nondeductible contributions to qualified plans (from Schedule A, line	12) . 1	61	1				
2	Section 4973(a)(3) tax on excess contributions to section 403(b)(7)(A) custodial accounts Schedule B, line 12)		64	2				
3a	Section 4975(a) tax on prohibited transactions (from Schedule C, line 3)		59	3a				
b	Section 4975(b) tax on failure to correct prohibited transactions		224	3b				
4	Section 4976 tax on disqualified benefits for funded welfare plans	2	200	4				
5a b	Section 4978 tax on ESOP dispositions	2	209	5a 5b				
6	Section 4979A tax on certain prohibited allocations of qualified ESOP securities or owner synthetic equity		203	6				
7	Total Section A taxes. Add lines 1 through 6. Enter here and on Part II, line 17			7				
Secti	on B. Taxes that are reported by the 15th day of the 10th month after the last day of the	e plan yea	r					
8a	Section 4971(a) tax on failure to meet minimum funding standards (from Schedule D, line 2) .		63	8a				
b	Section 4971(b) tax for failure to correct minimum funding standards	2	25	8b				
9a	Section 4971(f)(1) tax on failure to pay liquidity shortfall (from Schedule E, line 4)		226	9a				
b	Section 4971(f)(2) tax for failure to correct liquidity shortfall		27	9b				
10a	Section 4971(g)(2) tax on failure to comply with a funding improvement or rehabilitation plainstructions)		50	10a				
b	Section 4971(g)(3) tax on failure to meet requirements for plans in endangered or critical (from Schedule F, line 1c)			10b				
С	Section 4971(g)(4) tax on failure to adopt rehabilitation plan (from Schedule F, line 2d)			10b				
	Section 4971(h) tax on failure of a CSEC plan sponsor to adopt funding restoration plan	n (from						
<u> </u>	Schedule L, line 2)			10d				
	on B1. Tax that is reported by the last day of the 7th month after the end of the calendarits were paid to the employer's employees	ar year in v	wnic	en tne	e excess fringe			
11	Section 4977 tax on excess fringe benefits (from Schedule G, line 4)	2	201	11				
12	Total Section B taxes. Add lines 8a through 10d or 11. Enter here and on Part II, line 17			12				
Secti	on C. Tax that is reported by the last day of the 15th month after the end of the plan ye	ar		-				
13	Section 4979 tax on excess contributions to certain plans (from Schedule H, line 2). Enter and on Part II, line 17		205	13				

Form 5330 (Rev. 12-2022) Page 2 Name of filer: Filer's identifying number: Section D. Tax that is reported by the last day of the month following the month in which the reversion occurred Section 4980 tax on reversion of qualified plan assets to an employer (from Schedule I, line 3). Enter here and on Part II, line 17 14 Section E. Tax that is reported by the last day of the month following the month in which the failure occurred 15 Section 4980F tax on failure to provide notice of significant reduction in future accruals (from 15 228 Section F. Taxes reported on or before the 15th day of the 5th month following the close of the entity manager's tax year during which the plan became a party to a prohibited tax shelter transaction Section 4965 tax on prohibited tax shelter transactions for entity managers (from Schedule K, 16 Part II **Tax Due** 17 Enter the amount from Part I, line 7, 12, 13, 14, 15, or 16 (whichever is applicable) . 17 Enter the amount of tax paid with Form 5558 or any other tax paid prior to filing this return 18 18 Tax due. Subtract line 18 from line 17. If the result is greater than zero, enter here . 19 19 Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge. Sign Here Telephone number Date Your signature

Preparer's signature

Date

Print/Type preparer's name

Firm's name

Firm's address

Paid

Preparer

Use Only

Form **5330** (Rev. 12-2022)

PTIN

Check if

self-employed

Firm's EIN

Phone no.

12

Name of filer: Filer's identifying number:

	dule A. Tax on Nondeductible Employer Contributions to Qualified Employer F If the 7th month after the end of the tax year of the employer (or other person v			by the last
1	Total contributions for your tax year to your qualified employer plan (under s 408(k), or 408(p))	1		
2	Amount allowable as a deduction under section 404	2		
3	Subtract line 2 from line 1	3		
4	Enter amount of any prior year nondeductible contributions made for years beginning after 12/31/86			
5	Amount of any prior year nondeductible contributions for years beginning after 12/31/86 returned to you in this tax year for any prior tax year			
6	Subtract line 5 from line 4	Subtract line 5 from line 4		
7	Amount of line 6 carried forward and deductible in this tax year	7		
8	Subtract line 7 from line 6		8	
9	Tentative taxable excess contributions. Add lines 3 and 8		9	
10	Nondeductible section 4972(c)(6) or (7) contributions exempt from excise tax .	10		
11	Taxable excess contributions. Subtract line 10 from line 9	11		
12	Multiply line 11 by 10%. Enter here and on Part I, line 1		12	
Caba				
	dule B. Tax on Excess Contributions to Section 403(b)(7)(A) Custodial Account fithe 7th month after the end of the tax year of the employer (or other person v			ed by the last
		ho must file the return		ed by the last
day o	of the 7th month after the end of the tax year of the employer (or other person w	who must file the return	n)	ed by the last
day o	Total amount contributed for current year less rollovers. See instructions	who must file the return	1	ed by the last
1 2	Total amount contributed for current year less rollovers. See instructions Amount excludable from gross income under section 403(b). See instructions	vho must file the return	1 2	ed by the last
1 2 3	Total amount contributed for current year less rollovers. See instructions Amount excludable from gross income under section 403(b). See instructions Current year excess contributions. Subtract line 2 from line 1. If zero or less, enter	who must file the return	1 2 3	ed by the last
1 2 3 4	Total amount contributed for current year less rollovers. See instructions Amount excludable from gross income under section 403(b). See instructions Current year excess contributions. Subtract line 2 from line 1. If zero or less, enter the excess contributions not previously eliminated. If zero, go to line 8 . Contribution credit. If line 2 is more than line 1, enter the excess; otherwise, enter total of all prior years' distributions out of the account included in your gross in the excess.	r-0	1 2 3 4	ed by the last
1 2 3 4 5	Total amount contributed for current year less rollovers. See instructions Amount excludable from gross income under section 403(b). See instructions Current year excess contributions. Subtract line 2 from line 1. If zero or less, enter the prior year excess contributions not previously eliminated. If zero, go to line 8 . Contribution credit. If line 2 is more than line 1, enter the excess; otherwise, enter total of all prior years' distributions out of the account included in your gross in the prior years.	r-0	1 2 3 4 5	ed by the last
1 2 3 4 5 6	Total amount contributed for current year less rollovers. See instructions Amount excludable from gross income under section 403(b). See instructions Current year excess contributions. Subtract line 2 from line 1. If zero or less, enter	r-0	1 2 3 4 5 6	ed by the last
1 2 3 4 5 6 7	Total amount contributed for current year less rollovers. See instructions Amount excludable from gross income under section 403(b). See instructions Current year excess contributions. Subtract line 2 from line 1. If zero or less, enter the prior year excess contributions not previously eliminated. If zero, go to line 8 . Contribution credit. If line 2 is more than line 1, enter the excess; otherwise, enter total of all prior years' distributions out of the account included in your gross in 72(e) and not previously used to reduce excess contributions Adjusted prior years' excess contributions. Subtract the total of lines 5 and 6 from	r-0	1 2 3 4 5 6 7	ed by the last
1 2 3 4 5 6 7 8	Total amount contributed for current year less rollovers. See instructions Amount excludable from gross income under section 403(b). See instructions Current year excess contributions. Subtract line 2 from line 1. If zero or less, enter Prior year excess contributions not previously eliminated. If zero, go to line 8 Contribution credit. If line 2 is more than line 1, enter the excess; otherwise, enter Total of all prior years' distributions out of the account included in your gross in 72(e) and not previously used to reduce excess contributions	r-0	1 2 3 4 5 6 7 8	ed by the last
1 2 3 4 5 6 7 8 9	Total amount contributed for current year less rollovers. See instructions Amount excludable from gross income under section 403(b). See instructions Current year excess contributions. Subtract line 2 from line 1. If zero or less, enter the prior year excess contributions not previously eliminated. If zero, go to line 8 . Contribution credit. If line 2 is more than line 1, enter the excess; otherwise, enter total of all prior years' distributions out of the account included in your gross in 72(e) and not previously used to reduce excess contributions	r-0	1 2 3 4 5 6 7 8 9	ed by the last

Excess contributions tax. Enter the lesser of line 9 or line 11 here and on Part I, line 2

Form 5330 (Re	<u> </u>		Filowa i danakita inan	Page 4			
Name of fil		ited Transactions (Section 4975) (see instructions) Rep	Filer's identifying				
		mployer (or other person who must file the return)					
	ne excise tax a res ☐ discrete	sult of a prohibited transaction that was (box "a" or box b other than discrete (a lease or a lo					
2 Complete the table below to disclose the prohibited transactions and figure the initial tax. See instructions.							
(a) Transaction number	(b) Date of transaction (see instructions)	(c) Description of prohibited transaction	(d) Amount involved in prohibited transaction (see instructions)	(e) Initial tax on prohibited transaction (multiply each transaction in column (d) by the appropriate rate (see instructions))			
<i>(i)</i>							
(ii)							
(iii)							
(iv)							
(v)							
(vi)							
(vii)							
(viii)							
(ix)							
(x)							
(xi)							
(xii)							

Add amounts in column (e); enter here and on Part I, line 3a

Have you corrected all of the prohibited transactions that you are reporting on this return? If "Yes," complete Schedule C, line 5, on the next page. If "No," attach statement. See instructions

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Page **5** Name of filer: Filer's identifying number:

5 Compl	ete the table below, if applicable, of oth	ner participating	disqualified pe	ersons and des	scription	n of correction	on. See instruction
(a) Item no. from line 2	(b) Name and address of disqualified person	(c) EIN or SS	SN .	(d) Date of correction		Description	(e) of correction
	Tax on Failure To Meet Minimum Fu day of the plan year	nding Standard	s (Section 49	71(a)) Reporte	ed by tl	he 15th day	of the 10th mont
	gate unpaid required contributions (a	ccumulated fund	ding deficienc	y for multiem	ployer _l	plans).	
	structions					1	
	y line 1 by 10% (5% for multiemployer Fax on Failure To Pay Liquidity Short e plan year						month after the
		(a) 1st Quarter	(b) 2nd Quarter	(c) 3rd Quar	ter ((d) 4th Quarter	(e) Total (add cols. a-d for line 3)
1 Amour	nt of shortfall						

2

3

Shortfall paid by the due date . . .

Multiply line 3, column (e), by 10%. Enter here and on Part I, line 9a

4

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Multiply line 1 by \$100. Enter the result here and on Part I, line 10d