

Notice Concerning Fiduciary Relationship

(Internal Revenue Code Sections 6036 and 6903)

OMB No. 1545-0013

Go to www.irs.gov/Form56 for instructions and the latest information.

Part I Identification

Name of person for whom you're acting (as shown on the tax return)	Identifying number	Decedent's social security no.
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Address of person for whom you're acting (number and street). If you have a P.O. box, see instructions.	Room or suite no.
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City or town	State or province	Country	ZIP or foreign postal code
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Fiduciary's name	Telephone no.
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Address of fiduciary (number and street). If you have a P.O. box, see instructions.	Room or suite no.
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City or town	State or province	Country	ZIP or foreign postal code
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Section A—Authority

1 Authority for fiduciary relationship. Check applicable box:

- a** ☐ Court appointment of testate estate (valid will exists)
b ☐ Court appointment of intestate estate (no valid will exists)
c ☐ Court appointment as guardian or conservator
d ☐ Fiduciary of intestate estate
e ☐ Valid trust instrument and amendments
f ☐ Bankruptcy, appointment of receiver/fiduciary, or assignment for the benefit of creditors
g ☐ Other. Describe: _____

2a If box 1a, 1b, or 1d is checked, enter the date of death: _____

b If box 1c, 1e, 1f, or 1g is checked, enter the date of appointment, taking office, or assignment or transfer of assets: _____

c If box 1f is checked for an appointment of receiver/fiduciary, are all or substantially all of the taxpayer's assets in the control or custody of the court? ☐ Yes ☐ No

d If "No" to line 2c, list the assets that were transferred: _____

Section B—Nature of Liability and Tax Notices

3 Type of taxes (check all that apply): **a** ☐ Income **b** ☐ Gift **c** ☐ Estate **d** ☐ Generation-skipping transfer **e** ☐ Employment
f ☐ Excise **g** ☐ Other (describe): _____

4 Federal tax form number (check all that apply): **a** ☐ 706 series **b** ☐ 709 series **c** ☐ 940 **d** ☐ 941, 943, 944
e ☐ 1040 or 1040-SR **f** ☐ 1041 **g** ☐ 1120 **h** ☐ Other (list): _____

5a If your authority as a fiduciary does not cover all years or tax periods, check here ☐

b If you checked line 5a, list the specific years or periods within your authority: _____

Part II Revocation or Termination of Notice**Section A—Total Revocation or Termination**

- 6a** Check this box if you are revoking or terminating all prior notices concerning fiduciary relationships on file with the Internal Revenue Service for the same tax matters and years or periods covered by this notice concerning fiduciary relationship . . . ☐
Reason for termination of fiduciary relationship. Check applicable box:

- b** ☐ Court order revoking fiduciary authority
c ☐ Certificate of dissolution or termination of a business entity
d ☐ Other. Describe:

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Section B—Partial Revocation

- 7a** Check this box if you are revoking earlier notices concerning fiduciary relationships on file with the Internal Revenue Service for the same tax matters and years or periods covered by this notice concerning fiduciary relationship ☐
b Specify to whom granted, date, and address, including ZIP code.

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Section C—Substitute Fiduciary

- 8** Check this box if a new fiduciary or fiduciaries have been or will be substituted for the revoking or terminating fiduciary and specify the name(s) and address(es), including ZIP code(s), of the new fiduciary(ies) ☐

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Part III Court and Administrative Proceedings

Name of court (if other than a court proceeding, identify the type of proceeding and name of agency)				Date proceeding initiated	
Address of court (number and street)			Room or suite no.	Docket number of proceeding	
City or town	State	ZIP code	Date	Time ☐ a.m. ☐ p.m.	Place of other proceedings

Part IV Signature

**Please
Sign
Here**

Under penalties of perjury, I declare that I have examined this document, including any accompanying statements, and to the best of my knowledge and belief, it is true, correct, and complete.

Fiduciary's signature

Title, if applicable

Date