

Date of Approval: 10/30/2025
Questionnaire Number: 2656

Basic Information/Executive Summary

What is the name of your project (system, database, pilot, product, survey, social media site, etc.)?

Disclosure of Information to Federal, State, and Local Agencies (DIFSLA)

Business Unit

Privacy, Governmental Liaison and Disclosure

Preparer

For Official Use Only

Subject Matter Expert

For Official Use Only

Program Manager

For Official Use Only

Designated Executive Representative

For Official Use Only

Executive Sponsor

For Official Use Only

Executive Summary: Provide a clear and concise description of your project and how it will allow the IRS to achieve its mission.

- Project Title: Disclosure of Information to Federal, State, and Local Agencies (DIFSLA)
- Business Unit Owner: Privacy, Governmental Liaison, and Disclosure (PGLD)
- Strategy: Modernize the current DIFSLA process (that is developed using Assembler language code and running on Mainframe) by developing DIFSLA automation using robotic process automation (RPA), and Enterprise Container Platform (ECP). DIFSLA automation supports IRS wide initiative of modernization and enhancing customer experience
- Initiative: This initiative will enhance core technology processes and operations, enabling the delivery of enhanced capabilities for federal, state and local agencies, while consolidating and processing the unearned income data.
- Supporting System/Process Activities and Workflow Controls: The RPA automation will reside on the Robotic Process Automation Servers and starts the process upon the receipt of files from agency via EFTU. The RPA automation process consists of validating the requested file data from federal, state, local

agencies, verifying the name controls, get the unearned income data for the requested primary payee to generate response file that is to be used and send to the requested agencies in a flat file format. The RPA automation Process uses Enterprise Consolidated Legacy Access System (ECLAS) to retrieve and validate name, address from National Address Profile (NAP) and to retrieve Information Returns Master File (IRMF) data to get unearned income data.

- Service Benefits:

- o Modernize the current process that is using ALC code.
- o Increased delivery timeline of data to needed destinations.
- o Reduce manual intervention
- o Adhere to IRS standards
- o The DIFSLA automation is a high complexity RPA and is registered with OneSDLC.

Personally Identifiable Information (PII)

Will this project use, collect, receive, display, store, maintain, or disseminate any type of Sensitive but Unclassified (SBU), Personally Identifiable Information (PII), or Federal Tax Information (FTI)?

Yes

Please explain in detail how this project uses sensitive data from inception to destruction (data lifecycle).

DIFSLA automation discloses federal tax information to the requested federal, state and local agencies in the form of text files, transfer them through secure file transfer process.

Please select all types of Sensitive but Unclassified data (SBU)/Personally Identifiable Information (PII)/Federal Tax Information (FTI) that this project uses.

Address

Federal Tax Information (FTI)

Name

Social Security Number (including masked or last four digits)

Cite the authority for collecting SBU/PII/FTI (including SSN if relevant).

PII for federal tax administration - generally IRC Sections 6001 6011 or 6012

SSN for tax returns and return information - IRC section 6109

Product Information (Questions)

1 Is this PCLIA a result of a specific initiative or process improvement?

Yes

1.1 What is the name of the Business Unit (BU) or Agency initiative?

Privacy, Governmental Liaison, and Disclosure (PGLD)

2 Describe in detail, the Robotic Process Automation (RPA) process; be sure to identify the project title and business unit owner; state what IRS Strategy or initiative it supports; identify the system or process it supports and if PII will be required for the RPA to run; identify activities and workflow controls with the type and capabilities that will be incorporated; lastly indicate how the service benefits from the use of this RPA. (Process, Library, Test Automation, Template.)

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3 Is this a new Robotic Process Automation (RPA) project?

Yes

4 Identify the IRS IT systems, applications, projects, and/or databases this RPA is applied to; include the associated system name.

- Enterprise Consolidated Legacy Access System (ECLAS), • Enterprise API Gateway (EAG)

5 Identify why the use of SBU/PII/FTI is required; include any type of Sensitive But Unclassified (SBU), Personally Identifiable Information (PII), or Federal Tax Information (FTI) that this project will create, collect, receive, use, process, maintain, access, inspect, display, store, disclose, disseminate, or dispose of.

Automation access Federal, state or local agencies request files to pull taxpayers information which includes SSN, Name Control, Address. This PII data is used to review and get the unearned income information for the requested taxpayer through ECLAS. Files will be processed on the secured IRS virtual machines and transferred to the requested agency through secured file transfer.

6 Is your RPA Attended/Unattended?

Unattended

7 Is this RPA process converting from paper to electronic format or automating a process currently performed by a human?

No

8 Indicate what level of complexity the RPA is classified as and if you were required to register with One Solution Delivery Lifecycle (OneSDLC) or not, or indicate if Information Technology's (ITs) Technical Insertion process was used for approval of this RPA.

High complexity project and registered with OneSDLC.

9 Will connections or interdependencies be established for this RPA?

Yes

9.1 Will the connection be encrypted?

Yes

9.2 Will authentication/credentials be required?

Yes

9.3 Please provide details for the connection/interdependency. Indicate if this occurs on the backend versus through the system/user interface.

The automation is a backend process that receives request files through Enterprise File Transfer Utility (EFTU), performs address and data validation by sending Address Multi-Function Equipment (MFE) command code to NAP through Enterprise Consolidated Legacy Access System (ECLAS). Once validation is complete, the automation will send SCAP-IRMF MFE command code to Standard CFOL Access Protocol (SCAP) through ECLAS to retrieve unearned income information for disclosure.

10 Indicate who has or will have permission to access the data and how users are authenticated.

This is an unattended automation, and users will not have access to bot. RPA team will provide daily reports with process details excluding PII.

11 Indicate if Business Entitlement Access Request System (BEARS) entitlements are required for access and if Privileged User Management Access System (PUMAS) control management is applied for granting access to the system(s)? If BEARS/PUMAS are not applied, indicate what access controls are in place.

DIFSLA automation will use Enterprise API Gateway (EAG) to get the data from ECLAS. EAG has access controls in place wherein the DIFSLA automation must be on-boarded to access Address and SCAPD-IRMF interfaces on ECLAS. EAG grants credentials and access to fetch the token from Ping Identity server that is required to be included in all calls to EAG to fetch the data from ECLAS. EAG when receiving the request from automation, will introspect the token to make sure the automation is entitled and access to the ECLAS interface.

12 Identify the maintenance tasks or updates performed; state whether or not the maintenance tasks are inherited from the host (UiPath Platform) or you are using customized maintenance activities.

No customized maintenance activities. UiPath Platform will maintain the platform. Any maintenance, i.e., security updates as per planned schedule along with perform platform upgrades that the automation will need to be completed by Operations and Maintenance (O&M) team.

13 Indicate if this product or system shares data outside of the United States or its territories.

No

14 Indicate if this system or Robotic Process Automation (RPA) is trained through the use of algorithms; indicate if the algorithm used contains data with a sensitivity classification. (Sensitive but unclassified data might include algorithms, methods, system data, or PII/FTI that could be used to re-identify a person.)

No, DIFSLA automation is not trained through the use of algorithms.

15 Describe this system's (RPAs) audit trail process in detail; include location of supporting documents (SPLUNK). Note: Upload of this document is required.

UiPath provides the audit trails at the organization/tenant level, and these logs are stored in mssql database. UiPath also provides an integration to external log products like SPLUNK. And managed service uses SPLUNK as the log aggregator, and all the UiPath logs are fed into Integrated Enterprise Portal (IEP) SPLUNK and IEP SPLUNK is connected to IRS SPLUNK. Any logs going to IEP SPLUNK will be forwarded to IRS SPLUNK.

Interfaces

Interface Type

IRS Systems, file, or database

Agency Name

SDT/KITWORKS, DIFSLA files

Incoming/Outgoing

Both

Transfer Method

Electronic File Transfer Utility (EFTU)

Systems of Records Notices (SORNs)

SORN Number & Name

IRS 34.037 - Audit Trail and Security Records

Describe the IRS use and relevance of this SORN.

To identify and track any unauthorized accesses to sensitive and classified information and potential breaches.

SORN Number & Name

IRS 22.061 - Information Return Master File

Describe the IRS use and relevance of this SORN.

Taxpayers unearned income information is pulled from IRMF through ECLAS to disclose to the requested federal, state or local agencies.

Records Retention

What is the Record Schedule System?

Record Control Schedule (RCS)

What is the retention series title?

Enterprise Computing Center - XXXXXXXXXXXXX (ECC-XXX)

What is the GRS/RCS Item Number?

RCS 19, item 58

What type of Records is this for?

Electronic

Please provide a brief description of the chosen GRS or RCS item.

Disclosure of Information to Federal, State, and Local Agencies (DIFSLA).

What is the disposition schedule?

System data: Cut off at end of the processing year. Delete/Destroy 5 years after cutoff. Outputs: Delete/Destroy any cached input files, data, and reports immediately following validation of outputs to target systems and agencies.

Data Locations

What type of site is this?

System

What is the name of the System?

Oracle

What is the sensitivity of the System?

Federal Tax Information (FTI)

Please provide a brief description of the System.

System will be used to store meta data related to processing and the transient state of data that is being processed.

What are the incoming connections to this System?

Database connection from processing application.

What type of site is this?

System

What is the name of the System?

Splunk

What is the sensitivity of the System?

Federal Tax Information (FTI)

Please provide a brief description of the System.

Splunk will deliver comprehensive insights into daily activities, including the volume of processed transactions, categorized by successful and failed transactions. Additionally, it will provide detailed error information, ensuring that no PII is included

What are the incoming connections to this System?

UI path orchestrator comes built in with its own Splunk connections out of the box. Enterprise Container Platform (ECP) comes with built in connector to Splunk.