

Date of Approval: 08/28/2024
Questionnaire Number: 1512

Basic Information/Executive Summary

What is the name of your project (system, database, pilot, product, survey, social media site, etc.)?

TAM-B Tableau site for Self Service Reporting

Acronym:

TAM-B

Business Unit

Information Technology

Preparer

For Official Use Only

Subject Matter Expert

For Official Use Only

Program Manager

For Official Use Only

Designated Executive Representative

For Official Use Only

Executive Sponsor

For Official Use Only

Executive Summary: Provide a clear and concise description of your project and how it will allow the IRS to achieve its mission.

Tableau Self Service Reporting enables IRS Business operating division (BOD) users to access Business Master File Modernization (BMF MOD) data for their daily Business activities. Tableau will retrieve tax information from BMF database in Enterprise Data Platform. Database contains information on Business Taxpayers' filings, payments and related information. Analysts from business operations will use information in Tableau to generate reports for tax administration. Tableau will be accessible by analysts, employees and contractors in Information Technology. This is core tax information required for tax administration and taxpayers consented to the information being collected and shared when they file their taxes. Data will be encrypted at rest and in transit. Users must formally be granted to the system through Business Entitlements Access Request System (BEARS).

Personally Identifiable Information (PII)

Will this project use, collect, receive, display, store, maintain, or disseminate any type of Sensitive but Unclassified (SBU), Personally Identifiable Information (PII), or Federal Tax Information (FTI)?

Yes

Please explain in detail how this project uses sensitive data from inception to destruction (data lifecycle).

This system is used to query FTI data of business taxpayers.

Please select all types of Sensitive but Unclassified data (SBU)/Personally Identifiable Information (PII)/Federal Tax Information (FTI) that this project uses.

Address
Alien Registration Number
Citizenship or Migration Status
Document Locator Number (DLN)
Driver's License Number
Education Information
Email Address
Employer Identification Number
Employment Information
Family Members
Federal Tax Information (FTI)
Financial Account Number
Geographical Indicators
Global Intermediary Identification Number (GIIN)
Individual Taxpayer Identification Number (ITIN)
Internet Protocol Address (IP Address)
Language
Name
Official Use Only (OUO) or Limited Office Use (LOU)
Online Identifiers
Other
Passport Number
Preparer Taxpayer Identification Number (PTIN)
Professional License Number
Social Security Number (including masked or last four digits)
Standard Employee Identifier (SEID)
Tax ID Number
Telephone Numbers
Universal Unique Identifier (UUID)
Vehicle Identification Number (VIN)

Please explain the other type(s) of PII that this project uses.

Anything in a Business tax return

Cite the authority for collecting SBU/PII/FTI (including SSN if relevant).

PII about individuals for Bank Secrecy Act compliance - 31 USC

PII for federal tax administration - generally IRC Sections 6001 6011 or 6012

SSN for tax returns and return information - IRC section 6109

Product Information (Questions)

1.1 Is this PCLIA a result of the Inflation Reduction Act (IRA)?

Yes

1.2 What is the IRA Initiative Number?

Initiative 4.1: Transform core account data and processing.

1.3 What type of project is this (system, project, application, database, pilot/proof of concept, power platform/visualization tool)?

Visualization Tool

1.35 Is there a data dictionary for this system?

Yes, data dictionary is attached to this questionnaire submission.

1.36 Explain in detail how PII and SBU data flow into, through and out of this system.

Tableau will receive information from database on Enterprise Data Platform

(EDP) and then format it to display reports. Tableau has no downstream systems.

1.4 Is this a new system?

Yes

1.8 If the system is on the As-Built-Architecture, what is the ABA ID of the system? If this PCLIA covers multiple applications shown on the ABA, please indicate the ABA ID for each application covered separated by a comma.

Tableau Enterprise Visualization (TEV) is 211421

1.9 What OneSDLC State is the system in (Allocation, Readiness, Execution)?

Readiness

1.95 If this system has a parent system, what is the PCLIA Number of the parent system?

The PCLIA number for Business Master File (BMF) is 6288

2.1 If this system discloses any PII to any third party outside the IRS, does the system have a process in place to account for such disclosures in compliance with IRC 6103(p)(3)(A) or Subsection c of the Privacy Act? Contact Disclosure to determine if an accounting is required. Enter "Yes" or "No". If Exempt, type "Exempt".

Exempt

2.2 Please provide the full name of and acronym of the governance board or Executive Steering Committee (ESC) this system reports to.

Corporate Data (CPD), Strategic Development Executive Steering Committee (SD-ESC)

3.1 Does your project/system involve any use of artificial intelligence (AI), including virtual assistant, chat bot, and robotic process automation, as defined in Executive Order 13960?

No

3.3 Does this system use cloud computing?

No

3.6 Does this system interact with the public through a web interface?

No

3.7 Describe the business process allowing an individual to access or correct their information.

TAM-B does not allow an individual to directly access their information. Individuals can request transcripts from other systems downstream of TAM-B with their information.

4.1 Who owns and operates the system (IRS Owned and Operated, IRS Owned and Contractor Operated, Contractor Owned and Operated)?

IRS owned contractor operated

4.2 If a contractor owns or operates the system, does the contractor use subcontractors?

Yes

4.3 What PII/SBU data does the subcontractor have access to?

If the contractor has Live Data Waiver (LDW) and proper privileges to access system, then the contractor has access to all PII/SBU data in the system except as restricted by Negative TIN (NTIN) so they can't access their own personal data.

4.5 Identify the roles and their access level to the PII data. For contractors, indicate whether their background investigation is complete or not.

Contractors have access to the system based on Three Roles (Admin, Developer, Analyst). All contractors have complete background investigation. Some contractors have access to full data set.

4.51 How many records in the system are attributable to IRS Employees? Enter “Under 50,000”, “50,000 to 100,000”, “More than 100,000” or “Not Applicable”.

More than 100,000.

4.52 How many records in the system are attributable to contractors? Enter “Under 5,000”, “5,000 to 10,000”, “More than 10,000” or “Not Applicable”.

More than 10,000.

4.53 How many records in the system are attributable to members of the public? Enter “Under 5,000”, “5,000 to 10,000”, “More than 10,000” or “Not applicable”.

More than 10,000

4.6 How is access to SBU/PII determined and by whom?

Business Entitlement Access Request System (BEARS) requests approved by Internal Revenue Service (IRS) management.

5.1 Please describe any privacy risks, civil liberties and/or security risks identified for the system that need to be resolved and what is the mitigation plan?

None known.

5.11 Is there a Risk Assessment Form and Tool (RAFT) associated with this system on file with your organization or the IRS Risk Office.

No

5.2 Does this system use or plan to use SBU data in a non-production environment?

No

Interfaces

Interface Type

IRS Systems, file, or database

Agency Name

BMF data in EDP Databricks

Incoming/Outgoing

Incoming (Receiving)

Transfer Method

Amazon Web Services Platform (AWS)

Systems of Records Notices (SORNs)

SORN Number & Name

IRS 24.046 - Customer Account Data Engine Business Master File

Describe the IRS use and relevance of this SORN.

Business Master File data is used within IRS by the IRS Business operating division (BOD) users to access BMF MOD data for their daily Business activities.

Records Retention

What is the Record Schedule System?

Record Control Schedule (RCS)

What is the retention series title?

RCS 19.30 Records Control Schedule for Tax Administration - Systems (Electronic)

What is the GRS/RCS Item Number?

RCS 19.30

What type of Records is this for?

Electronic

Please provide a brief description of the chosen GRS or RCS item.

Business Master File: end of year tape is stored for 6 months; all other tapes are stored for 3 cycles.

What is the disposition schedule?

Business Master File: end of year tape is stored for 6 months; all other tapes are stored for 3 cycles.

Data Locations

What type of site is this?

Tableau

What is the name of the Tableau?

TAM-B

What is the sensitivity of the Tableau?

Personally Identifiable Information (PII) including Linkable Data

Please provide a brief description of the Tableau.

Tableau Self Service Reporting enables IRS Business operating division (BOD) users to access BMF MOD data for their daily Business activities.

What are the incoming connections to this Tableau?

EDP Databricks, Axon and Informatica EDC data repository for
Data Catalog