

For Official Use Only

OMB No.1545-0715

Statement for Recipients of **1983**



Type or print BROKER'S name, address, ZIP code and Federal identifying number.

**Copy A**  
For Internal Revenue Service Center

Proceeds from Broker and Barter Exchange Transactions

1a Date of Sale

1b CUSIP No.

7979

Recipient's identifying number

2 Stocks, bonds, etc.

3 Bartering

4 Federal income tax withheld\*

For Paperwork Reduction Act Notice and instructions for completing this form, see Instructions for Form 1096.

Type or print RECIPIENT'S name, address, and ZIP code below. (Name must align with arrow.)

5 Description

**Regulated Futures Contracts**

6 Profit or (loss) realized 7/1/ 83 through 12/31/83

7 Unrealized profit or (loss) on open contracts-end of prior year\*

\* Not to be used in 1983

8 Unrealized profit or (loss) on open contracts-12/31/83

9 Aggregate profit or (loss)\*

Form 1099-B

Department of the Treasury - Internal Revenue Service

**Do NOT Cut or Separate Forms on This Page**

For Official Use Only

OMB No.1545-0715

Statement for Recipients of **1983**



Type or print BROKER'S name, address, ZIP code and Federal identifying number.

**Copy A**  
For Internal Revenue Service Center

Proceeds from Broker and Barter Exchange Transactions

1a Date of Sale

1b CUSIP No.

7979

Recipient's identifying number

2 Stocks, bonds, etc.

3 Bartering

4 Federal income tax withheld\*

For Paperwork Reduction Act Notice and instructions for completing this form, see Instructions for Form 1096.

Type or print RECIPIENT'S name, address, and ZIP code below. (Name must align with arrow.)

5 Description

**Regulated Futures Contracts**

6 Profit or (loss) realized 7/1/ 83 through 12/31/83

7 Unrealized profit or (loss) on open contracts-end of prior year\*

\* Not to be used in 1983

8 Unrealized profit or (loss) on open contracts-12/31/83

9 Aggregate profit or (loss)\*

Form 1099-B

Department of the Treasury - Internal Revenue Service

**Do NOT Cut or Separate Forms on This Page**

For Official Use Only

OMB No.1545-0715

Statement for Recipients of **1983**



Type or print BROKER'S name, address, ZIP code and Federal identifying number.

**Copy A**  
For Internal Revenue Service Center

Proceeds from Broker and Barter Exchange Transactions

1a Date of Sale

1b CUSIP No.

7979

Recipient's identifying number

2 Stocks, bonds, etc.

3 Bartering

4 Federal income tax withheld\*

For Paperwork Reduction Act Notice and instructions for completing this form, see Instructions for Form 1096.

Type or print RECIPIENT'S name, address, and ZIP code below. (Name must align with arrow.)

5 Description

**Regulated Futures Contracts**

6 Profit or (loss) realized 7/1/ 83 through 12/31/83

7 Unrealized profit or (loss) on open contracts-end of prior year\*

\* Not to be used in 1983

8 Unrealized profit or (loss) on open contracts-12/31/83

9 Aggregate profit or (loss)\*

Form 1099-B

Department of the Treasury - Internal Revenue Service

**Do NOT Cut or Separate Forms on This Page**



Proceeds from Broker and Barter Exchange Transactions



Type or print BROKER'S name, address, ZIP code and Federal identifying number.

**Copy B**  
For Recipient

1a Date of Sale

1b CUSIP No.

7979

Recipient's identifying number

2 Stocks, bonds, etc.

3 Bartering

4 Federal income tax withheld\*

Type or print RECIPIENT'S name, address, and ZIP code below.

5 Description

See instructions on back. This information is being furnished to the Internal Revenue Service.

**Regulated Futures Contracts**

6 Profit or (loss) realized 7/1/83 through 12/31/83

7 Unrealized profit or (loss) on open contracts-end of prior year\*

\* Not to be used in 1983

8 Unrealized profit or (loss) on open contracts-12/31/83

9 Aggregate profit or (loss)\*

Proceeds from Broker and Barter Exchange Transactions



Type or print BROKER'S name, address, ZIP code and Federal identifying number.

**Copy B**  
For Recipient

1a Date of Sale

1b CUSIP No.

7979

Recipient's identifying number

2 Stocks, bonds, etc.

3 Bartering

4 Federal income tax withheld\*

Type or print RECIPIENT'S name, address, and ZIP code below.

5 Description

See instructions on back. This information is being furnished to the Internal Revenue Service.

**Regulated Futures Contracts**

6 Profit or (loss) realized 7/1/83 through 12/31/83

7 Unrealized profit or (loss) on open contracts-end of prior year\*

\* Not to be used in 1983

8 Unrealized profit or (loss) on open contracts-12/31/83

9 Aggregate profit or (loss)\*

Proceeds from Broker and Barter Exchange Transactions



Type or print BROKER'S name, address, ZIP code and Federal identifying number.

**Copy B**  
For Recipient

1a Date of Sale

1b CUSIP No.

7979

Recipient's identifying number

2 Stocks, bonds, etc.

3 Bartering

4 Federal income tax withheld\*

Type or print RECIPIENT'S name, address, and ZIP code below.

5 Description

See instructions on back. This information is being furnished to the Internal Revenue Service.

**Regulated Futures Contracts**

6 Profit or (loss) realized 7/1/83 through 12/31/83

7 Unrealized profit or (loss) on open contracts-end of prior year\*

\* Not to be used in 1983

8 Unrealized profit or (loss) on open contracts-12/31/83

9 Aggregate profit or (loss)\*

## Instructions for Recipient

Beginning July 1, 1983, brokers and barter exchanges must report proceeds from all transactions to the Internal Revenue Service. This form is used to report these proceeds. For 1983, the form will only show proceeds from transactions that occurred during the last six months of the year. However, some brokers, or barter exchanges, may show the full-year figure. If the full-year figure is used, a statement to that effect will be shown in the area to the right of boxes 7 and 9. When you report these transactions on your income tax return, remember to include proceeds from transactions that occurred during the first six months of 1983 as well as the last six months even if the payer has reported only the transactions after June 30, 1983.

**Box 1a** – Ordinarily, this date will be the trade date of the transaction. However, if there is an "S" shown immediately before the date, it means that the payer is using the actual settlement date and not the trade date. If no date is shown, the payer is reporting to the IRS and to you on an aggregate basis and is not reporting each transaction separately.

**Box 1b** – Where applicable, shows the CUSIP (Committee for Uniform Security Identification Procedure) number of items reported.

**Box 2** – Shows the proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts. This box does not include proceeds from regulated futures contracts.

## Instructions for Recipient

Beginning July 1, 1983, brokers and barter exchanges must report proceeds from all transactions to the Internal Revenue Service. This form is used to report these proceeds. For 1983, the form will only show proceeds from transactions that occurred during the last six months of the year. However, some brokers, or barter exchanges, may show the full-year figure. If the full-year figure is used, a statement to that effect will be shown in the area to the right of boxes 7 and 9. When you report these transactions on your income tax return, remember to include proceeds from transactions that occurred during the first six months of 1983 as well as the last six months even if the payer has reported only the transactions after June 30, 1983.

**Box 1a** – Ordinarily, this date will be the trade date of the transaction. However, if there is an "S" shown immediately before the date, it means that the payer is using the actual settlement date and not the trade date. If no date is shown, the payer is reporting to the IRS and to you on an aggregate basis and is not reporting each transaction separately.

**Box 1b** – Where applicable, shows the CUSIP (Committee for Uniform Security Identification Procedure) number of items reported.

**Box 2** – Shows the proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts. This box does not include proceeds from regulated futures contracts.

## Instructions for Recipient

Beginning July 1, 1983, brokers and barter exchanges must report proceeds from all transactions to the Internal Revenue Service. This form is used to report these proceeds. For 1983, the form will only show proceeds from transactions that occurred during the last six months of the year. However, some brokers, or barter exchanges, may show the full-year figure. If the full-year figure is used, a statement to that effect will be shown in the area to the right of boxes 7 and 9. When you report these transactions on your income tax return, remember to include proceeds from transactions that occurred during the first six months of 1983 as well as the last six months even if the payer has reported only the transactions after June 30, 1983.

**Box 1a** – Ordinarily, this date will be the trade date of the transaction. However, if there is an "S" shown immediately before the date, it means that the payer is using the actual settlement date and not the trade date. If no date is shown, the payer is reporting to the IRS and to you on an aggregate basis and is not reporting each transaction separately.

**Box 1b** – Where applicable, shows the CUSIP (Committee for Uniform Security Identification Procedure) number of items reported.

**Box 2** – Shows the proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts. This box does not include proceeds from regulated futures contracts.

**Box 3** – Shows the fair market value of any trade credits or scrip credited to your account for exchanges of property or services.

**Box 4** – Will not be used in 1983. It is reserved for backup withholding. Beginning January 1, 1984, persons not furnishing tax identifying numbers to the payer of proceeds reported on this form become subject to withholding at a 15% rate on certain amounts shown on this form.

**Box 5** – Shows a brief description of the item or service for which the proceeds are being reported. For regulated futures contracts, the customer's account number may be shown.

**Box 6** – Shows the profit or (loss) realized on regulated futures contracts.

**Box 7** – Will not be used in 1983. It will show a year-end adjustment to the profit or (loss) shown in box 6. Since this is the first year of this form, box 7 is not applicable.

**Box 8** – Shows the profit or (loss) on open contracts held in your account on December 31, 1983. These are considered sold as of that date. You should include this figure on your 1983 Form 6781, Gains and Losses From Regulated Futures Contracts and Straddles. This will become an adjustment reported in box 7 in 1984.

**Box 9** – Will not be used in 1983. It will be used in 1984 to report the adjusted aggregate profit or (loss) for the year.

**Box 3** – Shows the fair market value of any trade credits or scrip credited to your account for exchanges of property or services.

**Box 4** – Will not be used in 1983. It is reserved for backup withholding. Beginning January 1, 1984, persons not furnishing tax identifying numbers to the payer of proceeds reported on this form become subject to withholding at a 15% rate on certain amounts shown on this form.

**Box 5** – Shows a brief description of the item or service for which the proceeds are being reported. For regulated futures contracts, the customer's account number may be shown.

**Box 6** – Shows the profit or (loss) realized on regulated futures contracts.

**Box 7** – Will not be used in 1983. It will show a year-end adjustment to the profit or (loss) shown in box 6. Since this is the first year of this form, box 7 is not applicable.

**Box 8** – Shows the profit or (loss) on open contracts held in your account on December 31, 1983. These are considered sold as of that date. You should include this figure on your 1983 Form 6781, Gains and Losses From Regulated Futures Contracts and Straddles. This will become an adjustment reported in box 7 in 1984.

**Box 9** – Will not be used in 1983. It will be used in 1984 to report the adjusted aggregate profit or (loss) for the year.

**Box 3** – Shows the fair market value of any trade credits or scrip credited to your account for exchanges of property or services.

**Box 4** – Will not be used in 1983. It is reserved for backup withholding. Beginning January 1, 1984, persons not furnishing tax identifying numbers to the payer of proceeds reported on this form become subject to withholding at a 15% rate on certain amounts shown on this form.

**Box 5** – Shows a brief description of the item or service for which the proceeds are being reported. For regulated futures contracts, the customer's account number may be shown.

**Box 6** – Shows the profit or (loss) realized on regulated futures contracts.

**Box 7** – Will not be used in 1983. It will show a year-end adjustment to the profit or (loss) shown in box 6. Since this is the first year of this form, box 7 is not applicable.

**Box 8** – Shows the profit or (loss) on open contracts held in your account on December 31, 1983. These are considered sold as of that date. You should include this figure on your 1983 Form 6781, Gains and Losses From Regulated Futures Contracts and Straddles. This will become an adjustment reported in box 7 in 1984.

**Box 9** – Will not be used in 1983. It will be used in 1984 to report the adjusted aggregate profit or (loss) for the year.

Proceeds from Broker and Barter Exchange Transactions



Type or print BROKER'S name, address, ZIP code and Federal identifying number.

**Copy C**  
For Payer

1a Date of Sale

1b CUSIP No.

7979

Recipient's identifying number

2 Stocks, bonds, etc.

3 Bartering

4 Federal income tax withheld\*

Type or print RECIPIENT'S name, address, and ZIP code below.

5 Description

For Paperwork Reduction Act Notice and instructions for completing this form, see Instructions for Form 1096.

**Regulated Futures Contracts**

6 Profit or (loss) realized 7/1/83 through 12/31/83

7 Unrealized profit or (loss) on open contracts-end of prior year\*

\* Not to be used in 1983

8 Unrealized profit or (loss) on open contracts-12/31/83

9 Aggregate profit or (loss)\*

Proceeds from Broker and Barter Exchange Transactions



Type or print BROKER'S name, address, ZIP code and Federal identifying number.

**Copy C**  
For Payer

1a Date of Sale

1b CUSIP No.

7979

Recipient's identifying number

2 Stocks, bonds, etc.

3 Bartering

4 Federal income tax withheld\*

Type or print RECIPIENT'S name, address, and ZIP code below.

5 Description

For Paperwork Reduction Act Notice and instructions for completing this form, see Instructions for Form 1096.

**Regulated Futures Contracts**

6 Profit or (loss) realized 7/1/83 through 12/31/83

7 Unrealized profit or (loss) on open contracts-end of prior year\*

\* Not to be used in 1983

8 Unrealized profit or (loss) on open contracts-12/31/83

9 Aggregate profit or (loss)\*

Proceeds from Broker and Barter Exchange Transactions



Type or print BROKER'S name, address, ZIP code and Federal identifying number.

**Copy C**  
For Payer

1a Date of Sale

1b CUSIP No.

7979

Recipient's identifying number

2 Stocks, bonds, etc.

3 Bartering

4 Federal income tax withheld\*

Type or print RECIPIENT'S name, address, and ZIP code below.

5 Description

For Paperwork Reduction Act Notice and instructions for completing this form, see Instructions for Form 1096.

**Regulated Futures Contracts**

6 Profit or (loss) realized 7/1/83 through 12/31/83

7 Unrealized profit or (loss) on open contracts-end of prior year\*

\* Not to be used in 1983

8 Unrealized profit or (loss) on open contracts-12/31/83

9 Aggregate profit or (loss)\*