

For Official Use Only

OMB No. 1545-0119
Statement for
Recipients of

1983

Type or print
PAYER'S
name, address,
ZIP code, and
Federal
identifying
number.

Total Distributions from
Profit-Sharing, Retirement
Plans and Individual
Retirement Arrangements
Copy A For Internal
Revenue Service Center

98 <input type="checkbox"/>	Recipient's identifying number	1 Amount includible as income (Add boxes 2 and 3)	2 Capital gain (For lump-sum distributions only)	3 Ordinary income	4 Federal income tax withheld
	5 Employee contributions to prof- it-sharing or retirement plans	6 Amount of IRA distributions	7 Category of distribution	8 Net unrealized appreciation in employer's securities	9 Other: \$ _____ %

Name ▶

This does does not qualify as a lump-sum distribution.
Your percentage of total distribution. ▶ _____ %
Death benefit exclusion does or does not apply.

Type or print RECIPIENT'S name, address, and ZIP code above (Name must align with arrow).

For Paperwork Reduction Act Notice and instructions for completing this form, see Form W-3G.

Form 1099R

☆ U.S. GOVERNMENT PRINTING OFFICE: 1983 363-116 E.I. 25-1118272

Department of the Treasury—Internal Revenue Service

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Form 1099R

Lump-sum Distribution.—Generally, this is a payment, within one tax year, of the balance of an employee's credit in a retirement plan payable to the recipient (a) on account of the employee's death, (b) after the employee reaches age 59½, (c) on the employee's separation from service, or (d) when the employee becomes disabled (see section 72(m)(7)). For additional information, see **Publication 575**, Pension and Annuity Income.

If this form reports distributions from an IRA, the amount will be included in Box 6 and there will be no entries in Boxes 1, 2, or 3.

Death Benefit Exclusion.—If you receive a plan distribution as the beneficiary of a deceased employee (or deceased disability retiree who died prior to attaining retirement age), you may be entitled to a "death benefit exclusion" of up to \$5,000. Taxable amounts shown in Boxes 2 and 3 are without regard to this exclusion. Allocate the exclusion between capital gain and ordinary income and include the reduced amounts on your tax return.

Note: If the total distribution is made to more than one person, the percentage you received is shown in the space below Box 9. Enter the percentage on **Form 5544**, Multiple Recipient Special 10-year Averaging Method, if you elect the 10-year averaging method.

Box 2.—This part of the lump-sum distribution qualifies for capital gain treatment. Report it on

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Schedule D (Form 1040 or Form 1041) and identify it as "Lump-sum Distribution." See **Death Benefit Exclusion** above. Also, see the instructions for **Form 4972**, Special 10-Year Averaging Method, or **Form 5544** for election to treat this amount as ordinary income for the 10-year averaging method.

Box 3.—This part of the total distribution is taxable as ordinary income and may be eligible for a special 10-year averaging method. See **Form 4972** or **5544**. Also, see the instructions for **Death Benefit Exclusion** above.

Note: If there is an entry in Box 1, but no entries in Boxes 2 or 3, ask the employer to furnish the information for Boxes 2 and 3.

Box 4.—This is the amount of Federal income tax withheld on designated distributions made during 1983.

Box 5.—Amounts contributed or considered contributed by the individual that were not deductible by the individual when the contribution was made (minus nontaxable amounts previously distributed) are not taxable to the individual when distributed. This box will **not** show any contributions to an IRA or SEP.

Box 6.—Amounts you received as distributions from an individual retirement account or annuity. These amounts may be includible in income depending on the type of distribution. See **Publication 590**, Individual Retirement Arrangements (IRA's), for more information.

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Box 7.—No code may be present if the amount in Box 1 is a normal distribution. The code listed identifies the type of distribution you received, as follows:

1—Premature Distribution (other than codes 2, 3, 4, or 5); 2—Rollovers; 3—Disability; 4—Death (includes payments to a beneficiary); 5—Prohibited Transactions; 6—Other; 7—Normal IRA Distributions; 8—Excess contributions refunded plus earnings on such excess contributions; 9—Transfers to an IRA for a spouse due to a divorce.

Box 8.—If the distribution consists in part of securities of your employer's corporation, the net unrealized appreciation in these securities is not taxed until you sell the securities.

Box 9.—If you receive an annuity contract as part of a distribution, the value of the contract is not taxable when you receive it. When you receive periodic payments from the annuity contract, they are taxable, but only to the extent the payments are more than your basis in the annuity. If the distribution is made to more than one person, the dollar amount and the percentage of the annuity contract distributed to you are shown in this box. You will need this information if you elect the special 10-year averaging method on **Form 5544**.

If an annuity contract has been transferred to another trustee, an amount will be shown in this box and Code 2 will be shown in Box 7.

Box 7.—No code may be present if the amount in Box 1 is a normal distribution. The code listed identifies the type of distribution you received, as follows:

1—Premature Distribution (other than codes 2, 3, 4, or 5); 2—Rollovers; 3—Disability; 4—Death (includes payments to a beneficiary); 5—Prohibited Transactions; 6—Other; 7—Normal IRA Distributions; 8—Excess contributions refunded plus earnings on such excess contributions; 9—Transfers to an IRA for a spouse due to a divorce.

Box 8.—If the distribution consists in part of securities of your employer's corporation, the net unrealized appreciation in these securities is not taxed until you sell the securities.

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