

**EXERCISE OF A QUALIFIED OR RESTRICTED STOCK OPTION**

(U.S. information return under Section 6039(a)(1) of the Internal Revenue Code)

for calendar year 19.....

**Copy A**  
For Internal Revenue Service

1(a). Name and address of corporation transferring stock			(b) Employer identification number
2(a). Name and address of person to whom transferred			(b) Identifying number
3. Name of the corporation whose stock is transferred (If different from the corporation transferring stock)			
4. Date option granted	5. Date of transfer	6. Fair market value of stock at time option exercised	7. Number of shares transferred
8. Check whether the option exercised is: (a) A qualified stock option under section 422 (b) <input type="checkbox"/> (b) A restricted stock option under section 424 (b) <input type="checkbox"/>			

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## INSTRUCTIONS

**Who Must File.**—Every corporation which transfers a share of stock to any person pursuant to such person's exercise on or after January 1, 1964, of a qualified stock option described in section 422(b), or a restricted stock option described in section 424(b).

**Where To File.**—See Form 4067 for list of Service Centers. Form 4067 is a summary report used to transmit Forms 3921 and 3922 to the Service Centers.

**When To File.**—This return must be filed for the calendar year on or before February 28 of the following year.

**Statement to the Person Exercising the Option.**—Every corporation making this return shall furnish to each person whose name and identifying number is shown on such return a copy of this return.

☆☆☆☆ U.S. GOVERNMENT PRINTING OFFICE: 1965—O-789-425

**Time for Furnishing Statement.**—The statement required to be furnished to any person for a calendar year shall be furnished to such person on or before January 31 of the following year.

**Extension of Time for Furnishing Statement.**—The district director with whom the income tax returns of the applicant corporation are filed may grant an extension of time not exceeding 30 days in which to furnish such statements. The application must contain a full recital of the reasons for requesting the extension to aid the district director in determining the period of the extension, if any, which will be granted. A letter to the district director signed by the applicant will suffice as an application. The application must be filed on or before January 31 of the year following the calendar year for which the form is filed.

A statement shall be considered to be furnished to a person if it is mailed to such person at his last known address.

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