

Tax Year 2018 / Processing Year 2019
Instructions for Criteria-Based Test Scenarios

General Instructions

Criteria-Based Scenarios allow the filer more flexibility in providing test data to pass the ACA Assurance Test System (AATS) successfully. Each scenario has been designed to primarily test the functionality of the business rules. There are no answer keys for Criteria-Based Scenarios.

The narratives identify which form lines require data. Some data the filer will create on their own, such as names and addresses of ALEs or covered individuals. Other data is more specific and the narrative provides the filer with the specific information to be submitted. The filer **MUST** provide data on all indicated lines whether required or optional in the schema.

In the AATS Environment **ONLY**, submit each scenario as an original transmission until you receive an “Accepted” status. When submitting in the Production Environment, if you receive a status other than “Accepted” you must file a correction or replacement transmission.

There are predefined correction scenarios available to test the correction process in the AATS Environment. These scenarios are optional and not required to pass AATS.

Each scenario must have a status of “Accepted” to pass AATS successfully. Once each scenario has a status of “Accepted”, contact the Help Desk for a final review and promotion of your Transmitter Control Code (TCC) and/or Form Indicator to Production (“P”) status.

When contacting the Help Desk have ready: a list of all ReceiptIds for review, TCC, SoftwareId and contact information including email address.

You can contact the Help Desk at 1-866-937-4130. The Help Desk will assign an incident number which should be referenced for all your TY2018 AATS Review contacts.

Test Scenarios required to pass TY2018 AATS for B Forms

Scenario 13: 13-0 1094-B, 13-1 1095-B & 13-2 1095-B

Scenario 14: 14-0 1094-B, 14-1 1095-B & 14-2 1095-B

Test Scenarios required to pass TY2018 AATS for C Forms

Scenario 9: 9-0 1094-C, 9-1 1095-C & 9-2 1095-C

Scenario 10: 10-0 1094-C, 10-1 1095-C & 10-2 1095-C

Scenario 11: 11-0 1094-C, 11-1 1095-C & 11-2 1095-C – Designated Government Entity

Additional C Form Test Scenarios required to pass TY2018 AATS for specific situations

Scenario 12:** 12-0 1094-C, 12-1 1095-C & 12-2 1095-C – Employer Offered Self-Insured Health Coverage

**** A comment is required on the ACA Application for TCC, in the software developer comments section, if you want to exclude submitting this scenario to pass AATS.** See Publication 5164 for additional information.

Current and Prior Year Filing Instructions

On November 1, 2018, TY2017 ACA Forms will be considered a prior year return in the AATS environment.

When the Production environment opens in January 2019, TY2017 ACA Forms will be considered a prior year return in the Production environment.

Schema Type	Schema Version
WSDL	Always use the latest version when filing either current or prior years TY2018/PY2019
Manifest	Always use the latest version when filing either current or prior years TY2018/PY2019
1094/1095-B Payload	Use the version of the schema for the tax year being submitted
1094/1095-C Payload	Use the version of the schema for the tax year being submitted
Element Name	Value
SoftwareId	Use the SoftwareId for the tax year being submitted
PriorYearDataInd	If filing a prior year include a value of "1"
PaymentYr	Value should be the tax year being submitted & match the payload schema and SoftwareId used

Preparing your transmission

- Technical specifications for preparing your transmissions are available in Publication 5165.
- Test specifications for preparing each submission are provided in Publication 5164.
- Form instructions provide additional information on how to determine which offer of coverage codes and/or safe harbor codes may be applicable.
- Prepare each transmission using the Tax Year 2018 1094-B/1095-B or 1094-C/1095-C Forms and appropriate test data of your choice.
- In Criteria-Based Scenarios the filer will create ALE, employee and covered individual names and the corresponding TINs. Use specific Name Control and TIN ranges as outlined below. More information on the specific name TIN ranges and name controls allowed in the AATS Environment are listed in Publication 5164, with additional information on name controls in Publication 4164.
- In AATS, the four-character name control, shown in the chart below and Publication 5164, must be the first four characters of the name created for Criteria Scenarios and submitted in the name control elements '*BusinessNameControlTxt*' and '*PersonNameControlTxt*'.
- In Criteria-Based Scenarios the filer will create addresses, contact names and phone numbers. These do not need to match a specific range.
Example of a generic address: 1234 Any Street, Beverly Hills, CA 90210
Example of a generic phone number: 555-111-2222
- Throughout the testing period, a Known Issues document will be updated on the ACA AATS webpage and will include a description of any defect, status and workarounds until the issue can be resolved. This document should be checked periodically for updates and solutions.
- For technical assistance direct your questions to the ACA AIR Mailbox at airmailbox@irs.gov. When contacting the ACA AIR Mailbox, please include any ReceiptIds, TCC and Help Desk incident number if applicable. If your submission has been rejected, please include the xml. If you have manifest questions, please include the manifest xml in your email.

TIN Ranges and Name Controls used to create ALE and Employee names and EINs/SSNs.

This table is also listed within Publication 5164.

EIN RANGE NAME CONTROL		Example of an ALE/Employer name	Example of an EIN	'BusinessNameControlTxt' to be included in xml
00-0000001 through 00-0000100	HELP	Help test Company	00-0000100	HELP
00-0000101 through 00-0000200	HIDE	Hid testone Company	00-0000200	HIDE
00-0000201 through 00-0000300	WORK	Work testtwo Company	00-0000300	WORK
00-0000301 through 00-0000400	SELI	Seli testthree Company	00-0000400	SELI
00-0000401 through 00-0000500	GAMM	Gamm testfour Company	00-0000500	GAMM
00-0000501 through 00-0000600	DARR	Darr testfive Company	00-0000600	DARR
00-0000601 through 00-0000700	PARK	Park testsix Company	00-0000700	PARK
00-0000701 through 00-0000800	CARR	Carr testseven Company	00-0000800	CARR
00-0000801 through 00-0000900	PATT	Patt testeightCompany	00-0000900	PATT
00-0000901 through 00-0001000	SACR	Sacro testnine Company	00-0001000	SACR
SSN RANGE NAME CONTROL		Example of an employee or covered individual name	Example of an SSN	'PersonNameControlTxt' to be included in xml
000-00-0001 through 000-00-0100	HERR	Teresa Herron	000-00-0010	HERR
000-00-0101 through 000-00-0200	MART	Kenneth Martin	000-00-0120	MART
000-00-0201 through 000-00-0300	WILL	Teresa Willow	000-00-0230	WILL
000-00-0301 through 000-00-0400	SOUT	Erika Southern	000-00-0340	SOUT
000-00-0401 through 000-00-0500	GAVI	Debbie Gaviston	000-00-0450	GAVI
000-00-0501 through 000-00-0600	DAVI	Greg Davidson	000-00-0560	DAVI
000-00-0601 through 000-00-0700	NICH	Larry Nichon	000-00-0670	NICH
000-00-0701 through 000-00-0800	CAME	Jamie Camel	000-00-0780	CAME
000-00-0801 through 000-00-0900	JONE	Arthur Jones	000-00-0890	JONE
000-00-0901 through 000-00-1000	SCOT	Wanda Scott	000-00-0910	SCOT