
A. SYSTEM DESCRIPTION

1. Enter the full name and acronym for the system, project, application and/or database. IRS2Go, IRS2Go

2. Is this a new system? No

2a. If **no**, is there a PIA for this system? Yes

If **yes**, enter the full name, acronym, PIA ID Number and milestone of the most recent PIA.

IRS2Go, IRS2Go, PCLIA 1291

Next, enter the **date** of the most recent PIA. 5/8/2015

Indicate which of the following changes occurred to require this update (check all that apply).

<u>Yes</u>	Addition of PII
<u>No</u>	Conversions
<u>No</u>	Anonymous to Non-Anonymous
<u>No</u>	Significant System Management Changes
<u>No</u>	Significant Merging with Another System
<u>No</u>	New Access by IRS employees or Members of the Public
<u>No</u>	Addition of Commercial Data / Sources
<u>No</u>	New Interagency Use
<u>No</u>	Internal Flow or Collection

Were there other system changes not listed above? No

If yes, explain what changes were made.

3. Check the current ELC (Enterprise Life Cycle) Milestones (select all that apply)

<u>No</u>	Vision & Strategy/Milestone 0
<u>No</u>	Project Initiation/Milestone 1
<u>No</u>	Domain Architecture/Milestone 2
<u>No</u>	Preliminary Design/Milestone 3
<u>No</u>	Detailed Design/Milestone 4A
<u>Yes</u>	System Development/Milestone 4B
<u>No</u>	System Deployment/Milestone 5
<u>No</u>	Operations & Maintenance (i.e., system is currently operational)

4. Is this a Federal Information Security Management Act (FISMA) reportable system? No

A.1 General Business Purpose

5. What is the general business purpose of this system? Provide a clear, concise description of the system, application or database, the reason for the system, and the benefits to the IRS to use the information, and how the information will be used.

IRS2Go is the IRS mobile application for smartphones and tablets running iOS or Android. IRS2Go allows users to check on the status of their tax refund, have easy access to mobile-friendly IRS payment websites, sign up for tax tips, check nearest volunteer income tax assistance locations, and contact us. The app was developed to comply with a White House mandate for government agencies to provide mobile, digital services for citizens. In the latest version, users can use the IRS2Go as a "second factor" authenticator to secure their eAuthentication (Secure Access) account. A decision was received from Privacy, Governmental Liaison and Disclosure/Privacy Policy and Knowledge Management on 6/27/2017 that an e-RA was not required for IRS2Go because IRS2Go is not a system – it's a mobile frontend to existing IRS systems & inherits/obeys whatever e-RA requirements these applications already enforce. The date the e-RA will be conducted is actually a "not applicable" choice (the application does interact with the public – but the application itself doesn't store any personal identifiable information (PII); all PII is served via other IRS systems/applications through the IRS2Go app). The Office of Privacy advised that the default date of 6/27/2017 be used as the response to Question 16.

B. PII DETAIL

6. Does the system use, collect, receive, display, store, maintain, or disseminate IR Code 6103 taxpayer information; or any type of Sensitive but Unclassified (SBU) or Personally Identifiable Information (PII)? Yes

6a. If **yes**, does the system use, collect, receive, display, store, maintain, or disseminate Social Security Numbers (SSN s) or tax identification numbers (i.e. last 4 digits, etc.)? Yes

If **yes**, check who the SSN (or tax identification number) is collected on.

Yes On Primary No On Spouse No On Dependent

If **yes**, check all types SSN s (or tax identification numbers) that apply to this system:

Yes	Social Security Number (SSN)
No	Employer Identification Number (EIN)
No	Individual Taxpayer Identification Number (ITIN)
No	Taxpayer Identification Number for Pending U.S. Adoptions (ATIN)
No	Practitioner Tax Identification Number (PTIN)

Describe the planned mitigation strategy and forecasted implementation date to mitigate or eliminate the use of SSN s (or tax identification numbers).

SSN is required for certain applications such as Where's My Refund. IRS could not provide the service without it.

6b. Does this system contain other (non-SSN) PII that it uses, collects, receives, displays, stores, maintains, or disseminates? (i.e. Names, addresses, etc.) No

6c. Does this system contain SBU information that is not PII, it uses, collects, receives, displays, stores, maintains, or disseminates? No

6d. Are there other types of SBU/PII used in the system? Yes

If **yes**, describe the other types of SBU/PII that are applicable to this system.
eAuthentication (Secure Access) User ID

6e. Cite the authority for collecting SBU/PII (including SSN if relevant)

Yes	PII for federal tax administration is generally Internal Revenue Code Sections 6001, 6011, 6012e(a)
Yes	SSN for tax returns and return information is Internal Revenue Code Section 6109
No	SSN for personnel administration (IRS Employees) is 5 USC & Executive Order 9397
No	PII for personnel administration is 5 USC
No	PII about individuals for Bank Secrecy Act compliance 31 USC
No	Information by CI for certain money laundering cases may be 18 USC

6f. Has the authority been verified with the system owner? Yes

B.1 BUSINESS NEEDS AND ACCURACY

7. Explain the detailed business needs and uses for the SBU/PII, and how the SBU/PII is limited only to that which is relevant and necessary to meet the mission requirements of the system. If SSNs (or tax identification numbers) are used, explicitly explain why use of SSNs meets this criteria. Be specific.

The public user or taxpayer provides the information on a voluntary basis if they are seeking certain information from the IRS. They must provide PII about themselves to receive these services. The information they provide is matched against taxpayer information from IRS tax systems to authenticate the user and to provide the information requested. For services that do not require identification (ID) of the user, no PII is requested, used or stored. The taxpayer provides requested PII. The information entered by the user is matched against retrieved information to authenticate the user. IRS2Go does not store SSNs on-device; SSNs are provided directly to the web service requested by the user (such as Where's My Refund).

8. How is the SBU/PII verified for accuracy, timeliness, and completeness? Explain how steps are taken to ensure that all information maintained by the system that is used by IRS to make any adverse determination about an individual's rights, benefits, and/or privileges is maintained with such accuracy, relevance, timeliness, and completeness as is reasonably necessary to assure fairness to the individual in the determination

The PII in the system is used only for authentication purposes and mirrors the Where's My Refund web application using similar controls. A Secure Access user ID is voluntarily collected if a taxpayer uses any features in IRS2Go tied to the eAuthentication (Secure Access) service, which uses user IDs to identify a taxpayer's account.

C. PRIVACY ACT AND SYSTEM OF RECORDS

9. Are 10 or more records containing SBU/PII maintained, stored, and/or transmitted by or through this system? Yes

9a. If **yes**, are records in the system retrieved by any personal identifier (e.g., name, SSN, Photograph, IP Address) for an individual? Yes

If **yes**, is there a System of Records Notice(s) or SORNS that addresses the PII records in this system? Yes

If **yes**, enter the SORN number(s) and the complete the name of the SORN.

SORNS Number	SORNS Name
Treas/IRS 24.030	IMF Individual Master File
Treas/IRS 24.046	BMF Business Master File
Treas/IRS 34.037	IRS Audit Trail and Security Records System

If **yes**, does the System of Records Notice(s) (SORN) published in the Federal Register adequately describe the records as required by the Privacy Act? Yes

D. RESPONSIBLE PARTIES

10. Identify the individuals for the following system roles. # # Official Use Only

E. INCOMING PII INTERFACES

11. Does the system receive SBU/PII from other system or agencies? Yes

11a. If **yes**, does the system receive SBU/PII from IRS files and databases? Yes

If **yes**, enter the files and databases.

System Name	Current PIA?	PIA Approval Date	SA & A?	Authorization Date
Where's My Refund	Yes	02/24/2015	Yes	09/05/2013
eAuthentication	Yes	10/07/2015	Yes	10/13/2015

11b. Does the system receive SBU/PII from other federal agency or agencies? No

11c. Does the system receive SBU/PII from State or local agency (-ies)? No

11d. Does the system receive SBU/PII from other sources? No

11e. Does the system receive SBU/PII from **Taxpayer** forms? No

11f. Does the system receive SBU/PII from **Employee** forms (such as the I-9)? No

F. PII SENT TO EXTERNAL ORGANIZATIONS

12. Does this system disseminate SBU/PII? No

G. PRIVACY SENSITIVE TECHNOLOGY

13. Does this system use social media channels? No
14. Does this system use privacy-sensitive technologies such as mobile, global position system (GPS), biometrics, RFID, etc.? Yes
- 14a. If **yes**, briefly explain how the system uses the referenced technology. The application resides on an end user's mobile device and connects to IRS systems to retrieve and display information in a similar manner to the Where's My Refund and Volunteer Income Tax Assistance (VITA) locator web applications. Users of the VITA locator may select to search for nearby VITA locations based on their device's GPS feature. This is completely voluntary, and if selected, IRS2Go asks for the user's acknowledgement before retrieving location data.
15. Does the system use cloud computing? No
16. Does this system/application interact with the public? Yes
- 16a. If **yes**, was (or will) an electronic risk assessment (e-RA) conducted on the system/application? No
- If **no**, when will the e-RA be conducted? 6/27/2017

H. INDIVIDUAL NOTICE AND CONSENT

17. Was/is notice provided to the individual prior to collection of information? Yes
- 17a. If **yes**, how is notice provided? Was the individual notified about the authority to collect the information, whether such is mandatory or voluntary, the purpose for which the information will be used, with whom the information may be shared, and the effects, if any, if they decide not to provide any of the requested information?
A privacy notice is provided within the IRS2Go app, using same language as on the Where's My Refund website.
18. Do individuals have the opportunity to decline from providing information and/or from consenting to particular uses of the information? Yes
- 18a. If **yes**, describe the mechanism by which individuals indicate their consent choice(s):
Individuals can choose not to use the system to check their refund status.
19. How does the system or business process ensure due process regarding information access, correction and redress?
The Taxpayer Bill of Rights publication 1 at <http://core.publish.no.irs.gov/pubs/pdf/p1--2014-12-00.pdf> outlines the baseline for 'due process' that business follows. Due process is not applicable to the public in general as the system does not "use" the event information to interact with the taxpaying public in any way. IRS2Go is not a data source itself; any corrections would be handled with the system that IRS2Go interacts with (e.g. Where's My Refund).

I. INFORMATION PROTECTION

20. Identify the owner and operator of the system (could be IRS owned and Operated; IRS owned, contractor operated; contractor owned and operated)

IRS Owned and Operated

21. The following people have access to the system with the specified rights:

IRS Employees? No

Contractor Employees? No

21a. How is access to SBU/PII determined and by whom? Not Applicable - IRS2Go does not store SBU/PII. Any SBU/PII is passed directly to another system, such as Where's My Refund or eAuthentication, securely over the internet. Access to SBU/PII is handled by that system's policies.

21b. If computer matching occurs, can the business owner certify that it meets requirements of IRM 11.3.39 Disclosure of Official Information, Computer Matching & Privacy Protection Act? Not Applicable

I.1 RECORDS RETENTION SCHEDULE

22. Are these records covered under the General Records Schedule (GRS), or have a National Archives and Records Administration (NARA) archivist approved a Record Control Schedule (RCS) for the retention and destruction of official agency records stored in this system? No

22b. If **no**, how long are you proposing to retain the records? Please note, if you answered no, you must contact the IRS Records and Information Management Program to initiate records retention scheduling before you dispose of any records in this system.

IRS2Go is non-recordkeeping. It is a mobile application used to collect and display information from other, existing IRS.gov services on mobile devices. It is not a data repository system. Any records, such as those associated with retrieving a taxpayer's refund status, are kept in that system's own logs (for example, Where's My Refund/Internet Refund Fact of Filing) - the same as if the taxpayer had visited the IRS.gov website to perform the same task.

I.2 SA&A OR ECM-R

23. Has the system been through SA&A (Security Assessment and Authorization) or ECM-R (Enterprise Continuous Monitoring Reauthorization)? In-process

23b. If **in process**, when is the anticipated date of the SA&A or ECM-R completion? 10/20/2017

23.1 Describe in detail the system's audit trail. As part of the ELC, IRS2Go is required to receive approval through the SA&A process prior to release. IRS2Go cannot be released without receiving SA&A approval during the ELC milestone exit process. There is no audit trail for IRS2Go specifically. IRS2Go is non-recordkeeping. It is a smartphone application used to collect and display public IRS.gov website information to mobile devices. It is not a data repository system. No records scheduling actions for IRS2Go are required.

J. PRIVACY TESTING

24. Does the system require a System Test Plan? No

24c. If **no**, please explain why. The followings testing and validation activities are in process to verify and validate the application for both versions of IRS2Go (iOS/ Android): 1. System Acceptance Testing/ Application Quality Testing 2. Section 508 Testing Techniques - Software and Web and Functional and Support 3. Google Analytics 4. Cyber Security Tests (SA&A)

K. SBU Data Use

25. Does this system use, or plan to use SBU Data in Testing? No

L. NUMBER AND CATEGORY OF PII RECORDS

26. Identify the number of individual records in the system for each category:

26a. IRS Employees:	<u>Not Applicable</u>
26b. Contractors:	<u>Not Applicable</u>
26c. Members of the Public:	<u>Not Applicable</u>
26d. Other:	<u>No</u>

M. CIVIL LIBERTIES

27. Does the system maintain any information describing how any individual exercises their rights guaranteed by the First Amendment? No

28. Is the system information used to conduct data-mining as defined in the *Implementing the 9/11 Commission Recommendations Act of 2007, Public Law 110-53, Section 804*? No

29. Will this system have the capability to identify, locate, and monitor individuals or groups of people? No

N. ACCOUNTING OF DISCLOSURES

30. Does the system include or require disclosure of tax or employee information to anyone other than IRS employees in the performance of their duties, or to the person to whom the information pertains or to a 3rd party pursuant to a Power of Attorney, tax or Privacy Act consent? No

End of Report
